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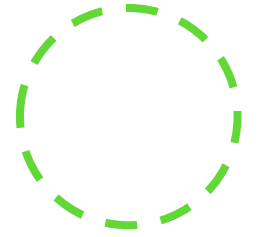
Management Response to Annual Report on Internal Audit and Investigations Activities

for the year ended 31 December 2019

Presented by:

Ms. Anita Bhatia

- Deputy Executive Director for Resource Management,
UN System Coordination, Partnerships and Sustainability



Overview of Internal Audit Activities and Management

Response to Overall Recommendation

“No significant weaknesses were identified in the Entity’s governance, risk management, and control processes that would seriously compromise the achievement of UN-Women’s strategic and operational objectives”

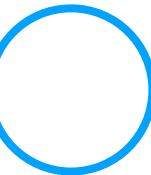
Overall Recommendations

- ❑ Ongoing actions to consider pursuing effective preparation of relevant **Statement of Internal Control**
- ❑ ‘UN-Women 2.0’ continue to strengthen **Second Line of Defense** at Regional Offices and Headquarters

99%

Prior Years'
Recommendations
Implementation Rate

Above corporate target of
90% for **5** years



Beneficial results from completing 2019 recommendations



Main Business Process Owner accountable for effective partner management and lead development of an end-to-end partner monitoring system



Improved programme results monitoring and timely project reports submission



Programme implementation designed with exit strategy for project sustainability towards national ownership



Aligned regional priorities and donor funding through Gender Equality Bilateral Partners Group consultations



Regional programmes with cross-border significance and complementary results at the country level



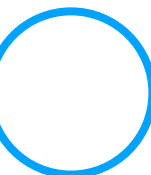
Human resources strategy and recruitment plan supports effective programme and project implementation



Advocated establishment of UN Country Team's gender scorecard and gender & human rights training

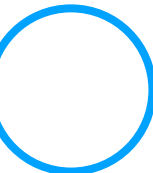


Updated Risk Management Policy streamlined communication protocols for timely and efficient risk escalation to senior management



Status of prior years' audit recommendations

Implemented one & completed one out of three long-outstanding recommendations



Response to Executive Board Decision 2019/3



All recurring issues and implementing partner related recommendations for 2018 are **Implemented**

Appropriate partnership or IP capacity development for sustainable projects towards national ownership

Increased assurance on proper use of project funding through improved advance liquidation review

Minimized risks of not achieving programme results with rigorous IP capacity assessment

Correct contract modality and proper engagement, monitoring and reporting by third parties on activities carried out

Efficient risk-based and focused programme monitoring included in MERP and AWP



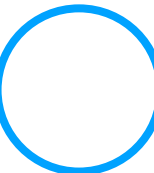
Last long outstanding recommendation and three high priority recommendations for 2018 are **Implemented**

Small Grants Policy and Procedure Promulgated

Marked improvement in Gender Scorecard for gender equality and women empowerment

Synergized Private Sector resource mobilization strategy and clear roles and responsibilities

Demonstrated continuity of business and agile operations amid global health crisis



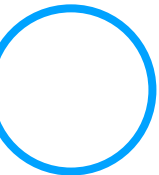
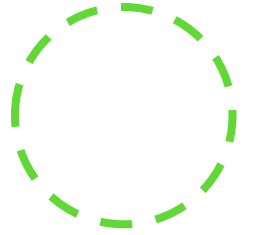
Investigations Activities

Overall actions:

- Commitment to offer a positive and respectful workplace
- Workplace concerns dealt with in a holistic manner; identify issues and root cause of conflicts addressed
- Transparent 2019 Disciplinary Report; zero-tolerance policy

Strategy and Policy in place to promote ethical and respectful workplace:

- Inclusive Workplace Strategy that promotes diversity & inclusion and upholds UN Standards of Conduct
- Updated Policy on Prevention of Harassment, Sexual Harassment, Discrimination and Abuse of Authority





Thank you!

Questions?

