Overview of Management and Administration

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UN Women’s Institutional Oversight Arrangements

OVERSIGHT INCLUDES:
1. Independent oversight of all programme activities and organizational units
2. Results of oversight communicated to relevant and concerned parties
3. Management action taken to implement oversight recommendations
UN Women’s Three Lines of Defense

1st Line of Defense
Functions that own and manage risks
Framework to implement controls
- Delegation of Authority Framework
- Internal Control Framework
- Anti-Fraud Policy Framework
- Policy, Procedure and Guidance Framework
- HQ, Regional and Country Office line managers

2nd Line of Defense
Functions and Frameworks that oversee risks and controls
- Enterprise Risk Management
- Results-Based Management System (planning / budgeting process)
- Safety and Security Management
- Business Continuity Management
- NIM/NGO Project Audit Management

3rd Line of Defense
Functions providing independent assurance (internal)
- Investigations
- Independent Audit and Evaluation Services
- Internal Audit Service
- Independent Evaluation Office
- Ethics Office

Senior Management

UN Women Executive Board (EB)

Advisory Committees (Audit Advisory Committee and Global Evaluation Advisory Committee)

Independent assurance (external)
- UNBoA External Audit
- Joint Inspection Unit
Funding of UN Women (2018-2019)

Voluntary Contributions
From Member States and non-governmental partners

Integrated Budget
($948.0 million)

Regular (Core) Resources
($434.4 million)

Other (Non-Core) Resources
($513.6 million)

Institutional Budget (IB)
($172.4 million)

Core Programmable
($230.6 million)

Extrabudgetary Income
($31.4 million of the IB)

Received from 8% cost recovery on other (non-core) resources

Assessed Contributions
Normative intergovernmental mandate

Regular Budget
($16.8 million)
Funding of UN Women

UN Women has two separate budget frameworks driven by the General Assembly mandate (A/RES/64/289).

- Assessed Contributions (requirements related to intergovernmental processes)
- Voluntary Contributions (requirements related to operational intergovernmental processes and operational activities)

The Budget preparation therefore follows two paths with Regular Budget now following an Annual Cycle and Integrated Budget on a Biennial Basis:
Regular Resource (core) Funding

Budget versus Revenue 2017 to 2019

Regular Resources Revenues

<table>
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<tr>
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<th>Millions</th>
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<tr>
<td>2017</td>
<td>$200.0</td>
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<tr>
<td>2018</td>
<td>$146.4</td>
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<tr>
<td>2019</td>
<td>$151.0</td>
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- **2017**: Income Projections in Integrated Budget - $200.0
- **2018**: Preliminary Forecast - $147.3
- **2019**: Forecast - $151.0

*Note: The figures represent millions of dollars.*
Voluntary Contributions 2011 to 2018
External Audit

• Received Unqualified opinion for seven consecutive years since 2011
• Implemented 84% of outstanding audit recommendations in 2017

Internal Audit

• Received satisfactory opinion in 2017
• Achieved 99% in audit recommendation implementation rate in 2017 as reported by OAI, UNDP
Risk Management

- **Contextual risks:**
  - Political Uncertainty
  - Civil unrest
  - Safety and security
  - Economic climate
  - Partnership capacity

- **Normative & Coordination Risks:**
  - Global and normative reforms
  - External communication
  - External governance
  - Coordination

- **Programmatic Risks:**
  - Programme delivery
  - Project delivery
  - Humanitarian risk

- **Institutional Risks:**
  - Limited funding/resourcing
  - Key person risk
  - Donor Management
  - Information security
  - Fraud risk