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## INTRODUCTION

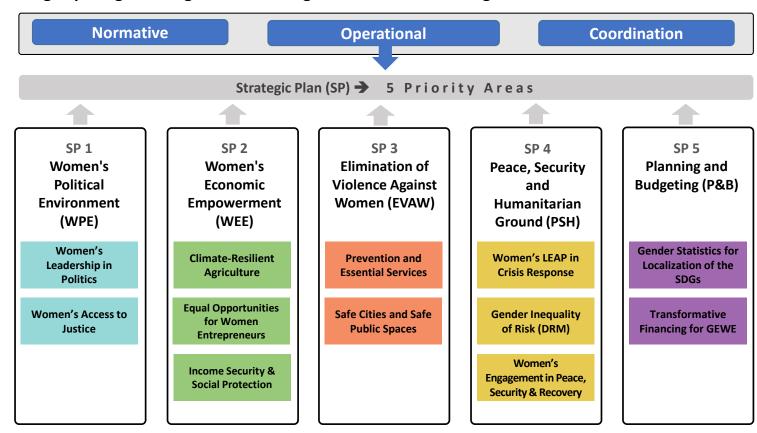
UN Women has developed twelve Flagship Programming Initiatives (FPIs) to further deepen its programming and achieve transformative results for gender equality and women's empowerment. FPIs are high-impact, scalable programmes that will carry the bulk of UN Women's growth. They build on and supplement, not replace, UN Women's ongoing programming work.

All FPIs adopt a human rights-based approach by strengthening the voice of women and girls to remove structural barriers for gender equality and women's empowerment (GEWE). Each FPI is guided by international human rights treaties and contributes towards achieving the outcomes and goals articulated in UN Women's Strategic Plan. Each FPI is based on a comprehensive theory of change (TOC), which articulates the causal linkages and actions required by national, CSOs, UN, ODA and private partners in order to achieve transformative change in the lives of women and girls.

The role of UN Women in each of these partnerships will be context-specific. In some countries, UN Women will focus on creating a coalition for change while in some other it will play a broader operational role.

Furthermore, all FPIs respond to the expanded agenda of Beijing + 20 and the need for UN Women to be optimally geared to deliver on the Sustainable Development Goals. They aim to ensure that UN Women will be "fit for purpose" for the post 2015 development agenda. In terms of 'fitness', the FPI will enable UN Women to: leverage the inherent economies of scale associated with operations; have a universal presence through differentiated but consistent country presences; mobilize high quality non-core resources to complement and optimize the impact of its core resources; and monitor and report on development impacts in a casual & transparent manner. In terms of 'purpose', the FPI will enable UN Women to: fully align and leverage its unique composite mandate (normative, coordination and operations) within the framework of UN 'Delivery as One'; operationalize a human rights approach to development; reduce poverty and inequality; promote evidence-based and integrated policy development to localize and address multiple SDGs in a synergistic manner; operate across the crisis-development contiguum; and support member states address global development challenges, including climate change. Most FPIs will be implemented through a portfolio of country and/or regional projects supported by a global technical support project.

## Flagship Programming Initiatives: Alignment with the Strategic Plan





contribute

towards

achieving

12 SDGs



(SRMNCAH).











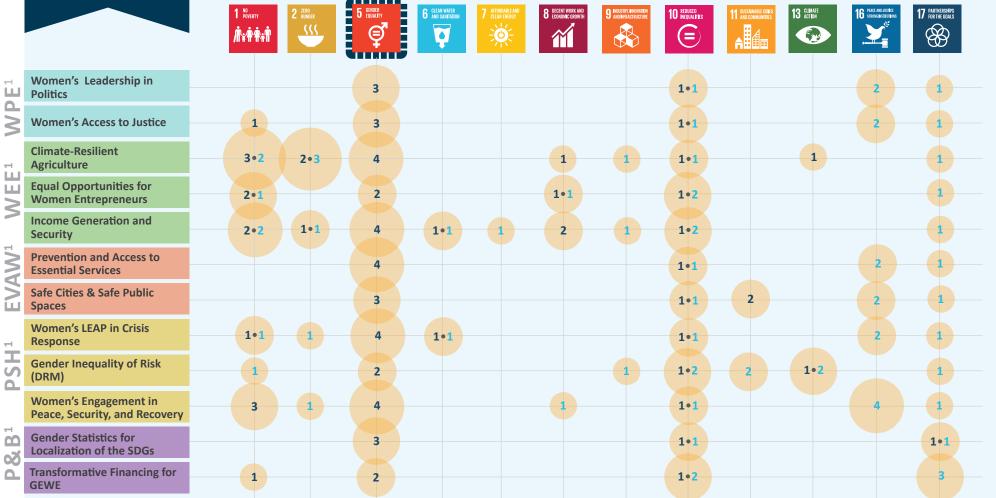








GENDER EQUALITY



### Total # of SDG targets by Flagship **Programme**



Breakdown by # of targets into "Explicit" (women are directly referred to) & "Implicit" (women are indirectly referred to)



Each FPI can support multiple SDG targets. Given the indivisible nature of the SDGs, the FPIs are expected to have an indirect impact on most SDGs.

1. WPE: Women's Political Empowerment; WEE: Women's Economic Empowerment; EVAW: Ending Violence Against Women; PSH: Peace, Security and Humanitarian P&B: Planning and Budgeting.

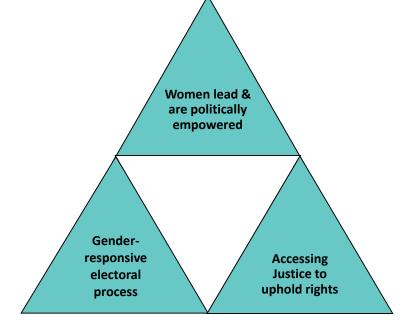
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UN Women Strategic Plan Impact Area 1:

Women's Political Empowerment (WPE)

Goal 1 of UN Women's Strategic Plan is that 'women lead and participate in decision-making at all levels'. The two Flagship Programming Initiatives under this impact area are mutually reinforcing to contribute towards ensuring that women's human rights – as defined in numerous Conventions including CEDAW – are universally upheld, and that women have the necessary agency to determine their own lives.



The table below illustrates the contribution of the Flagship Programming Initiatives under Impact Area 1 to specific outcomes in the Strategic Plan.

STRATEGIC PLAN	FLAGSHIP PROGRAMMES		
	Women's leadership in politics	Women's Access to Justice	
Outcome 1.1: Constitutions, legal frameworks, and policies to advance women's right to participate in decision making at national and local levels are reformed/adopted and implemented.	Outcome 1. Electoral frameworks and arrangements promote gender balance in elections.	Outcome 1. Legislation, justice policies, and budgets related to justice are gender responsive	
<b>Outcome 1.2:</b> Gender responsive measures (mechanisms, processes and services) promote women's leadership and participation in politics.	Outcome 4. Women are promoted as leaders in gender sensitive political institutions.	Outcome 2. Improved performance and accountability of justice institutions to women	
Outcome 1.3: Gender equality advocates influence constitutions, legal frameworks and policies to increase women's leadership and political participation.	Outcome 2. A cadre of interested, diverse and capable women political leaders is formed.  Outcome 3. Women are perceived as equally legitimate and effective political leaders as men.	Outcome 3. Women are empowered to access & participate in formal and informal justice delivery.	

# Flagship Brief Women's Political Empowerment and Leadership

## I. BACKGROUND

### Assessment

Women are significantly under-represented in political and electoral processes – be it as voters, candidates, elected representatives or electoral administrators. As of February 2015, only 22 percent of all national parliamentarians were women and 15.8 percent of all parliamentary speakers were women <sup>1</sup>. Women are also largely excluded from the most senior decision-making positions of executive government. As of January 2015, 6.6 percent of all heads of state were women; 7.3 percent of all heads of government were women; and women represented 17 percent of all ministers, only a small increase from the 14.2 percent they represented almost a decade earlier, in 2005. This level of political participation falls well short of the aspirational target of 30 percent set in 1990,<sup>2</sup> and of 'gender balance' set in the Beijing Declaration and Platform for Action.<sup>3</sup> While these figures are concerning, a knowledge gap exists in a range of other areas of women's political participation, including as candidates and voters, and as elected representatives at local level, where the global baseline does not yet exist.4

This political under-representation occurs despite women's right to participate equally in democratic governance, and their proven abilities as leaders and agents of change. Women's right to participate, express, assemble and be elected are stipulated in numerous human rights instruments including the UDHR, CEDAW and the Beijing Platform for Action, as well as several regional instruments. These international and regional accords have been frequently translated at the national level: women are entitled to hold positions of political authority, as provided in almost every national constitution and 189 Member States of the United Nations are Parties to CEDAW. Years of experience and research have also shown that women's inclusion in political processes improves them.<sup>5</sup> Women consistently show political leadership by working across party lines through parliamentary women's caucuses – even in the most politically combative environments – and by championing issues of gender equality such as the elimination of gender-based violence, parental leave and childcare, pensions, gender-equality laws and electoral reform.<sup>6</sup>

## **Analysis**

Women's political under-representation is due to the combined effect of institutional and structural constraints, as well as cultural and attitudinal barriers that suggest women should not have a role in public life.

Structural constraints manifest in: political parties that resist the inclusion of women and do not nominate them in winnable positions; certain kinds of electoral systems that, for example, elect one person per district and reduce the opportunity for women to compete with men on an equal footing; women can- \* strategy: didates' lack of access to financial resources to run electoral campaigns; and practical difficulties for women to participate, such as lack of access to identity documentation or the high incidence of violence, which preclude women from registering, nominating and voting. In addition, electorates and media organisations perpetuate negative gender-based stereotypes rather than showcase positive examples or the benefits of that participation for all. Furthermore, political institutions – political parties, parliaments, electoral management bodies are steeped in norms, practices and policies that discriminate against women, making it difficult for women to be promoted and effect change, including policy

Cultural and attitudinal barriers also constraint women's voice and political agency. In addition to the limited endowments of women and girls (in terms of health, education and assets), social norms about gender roles limit women's participation. These norms keep women largely responsible for domestic work and child care even when they work outside the home; reduce women's mobility and ability to network; reinforce inequality through gender-based (4) Support women leaders in gender-sensitive political institutions, including parviolence and a restriction of women's choices; and perpetuate legal discrimination. These same norms limit women's representation in politics and government. They devalue the important contributions that women can make to decision-making and in setting the policy direction of a country and hamper democratic development.

## **Action**

Advancing women's political empowerment and leadership requires action on multiple fronts. Research shows that women's increased political participation and leadership results from a confluence of factors, including removal of legal and logistical barriers; implementation of supportive frameworks; promotion of women's leadership through political party reform; support of CSOs and a positive shift in societal gender norms.8 Taking action to ensure women's full and effective leadership at all levels of decision-making requires a four-pronged

- (1) Support development and implementation of robust legal frameworks and administrative arrangements that facilitate women's participation. This includes relevant reforms to constitutions, gender equality laws or party statutes, and policy actions such as setting numerical targets for women in leadership positions with temporary special measures (TSMs) and reforming party statutes.
- (2) Expand the pool of qualified and capable women to run for election, including through initiating programmes that boost women's confidence and capacity to lead, enhancing their campaign strategies and techniques and promoting linkages with supportive CSOs.
- (3) Transform gender norms so that women are accepted as legitimate and effective leaders including through developing campaigns that sensitize the media and electorate on the need for women in public life at all levels.
- liament, political parties and EMBs, to attract, promote and retain women leaders and highlight the constructive contribution they make to decision-making.

<sup>1.</sup> UN Women-IPU Map of Women in Politics: 2015.

<sup>2.</sup> ECOSOC Resolution, E/RES/1990/15

<sup>3.</sup> Critical Area G 'Women, Power and Decision-Making'

<sup>4.</sup> SG Report on Beijing + 20.

<sup>5.</sup> UN Women 'In Brief: Women's Leadership and Political Participation.'

<sup>6.</sup> IPU, 2008, Equality in Politics: A Survey of Men and Women in Parliaments

<sup>7.</sup> World Bank Group 2014 Voice and Agency: Empowering women and girls for shared prosperity.

<sup>8.</sup> SG Report on Women and Political Participation. 2013. Measures taken and progress achieved in the promotion of women and political participation, A/68/184.

## II. DRAFT THEORY OF CHANGE: Women's Political Empowerment and Leadership

A TOC by nature encompasses the actions required **by all partners** to achieve a transformative change. The aim of the TOC is to help UN Women identify these actions and strategic partnerships. Consequently this draft TOC reflects interventions beyond those that UN Women will do by itself.

#### Goal Women Lead in Political Decision-Making **Key indicators:** % women in local governments; % women in national parliaments If (1) electoral frameworks and arrangements promote gender balance in elections; if (2) a cadre of interested, diverse and capable women political leaders is formed; if (3) women are perceived as equally legitimate political leaders as men in society; and if (4) women are promoted as leaders in gender sensitive political institutions, then (5) women will be politically empowered and realize their rights, because (6) women will have political agency and lead in decision-making. 1. Electoral frameworks and arrangements promote gender 2. A cadre of interested, diverse and capable women 4. Women are promoted as leaders in gender sensitive 3. Women are perceived as equally legitimate and effective Outcomes balance in elections. Key indicators: % of countries with electorpolitical leaders is formed. Key indicators: % women who political leaders as men. Key indicators: % of population political institutions. Key indicators: % of women Speakers of who believe women are credible political leaders al frameworks that promote gender balance regularly undertake various forms political action **Parliament** If (1) women from diverse groups have enhanced capacity to If (1) communities, civil society, the media and political lead-If (1) elected women are empowered by institutional Outcome TOC If (1) women's participation is enabled through policy and ers support women's role in public life then (2) the number reforms and (2) women's leadership is promoted then (3) legal frameworks, electoral arrangements and selection proseek leadership and have skills to mobilize resources, then (2) more women will be nominated as political contestants women will encourage more women into leadership because of women will increase because (3) discriminatory attitudes cesses, and if VAW is mitigated, then (2) women will run for because (3) there are sufficient numbers of skilled women will be removed and women will be accepted as legitimate (3) they are role models election because (3) there is a more level playing field political leaders for gatekeepers to select from 1.1. Strengthened legislative framework enables women's 2.1. Increased technical capacity of women to engage 3.1. Increased community and civic understanding of gender 4.1. Elected women apply leadership skills (mentoring; caparticipation and leadership (reforms to constitutions, in leadership contests (capacity development of women equality and women's right to political participation (propacity building of newly elected leaders, forums for women electoral frameworks-voters, candidates, party members; aspirants; public speaking; constituency engagement; vision of outreach; community dialogues; women & gender leaders, women's caucuses; legislative drafting expertise; promotion of GE/parity; political finance & campaign expentransformative leadership training, including of young and advocates articulate demands) leadership training; peer-to-peer learning) diture caps; quotas with sanctions legislated and enforced; marginalized women) violence criminalized) 4.2. Institutions are receptive to women leaders (fami-2.2. Enhance women's capacity to conduct competitive, 3.2 The media promotes positive portrayals of women 1.2. Political party policies and procedures reform to ly-friendly policies; child care; hours of operation; unwritten well-resourced and innovative campaigns (capacity deleaders and gender equality as a social goal (media awareinclude women (campaigns target gate-keepers to select rules of debate/decorum; accountability for gender equality Outputs women; voluntary reforms to party statutes; women nomivelopment of women candidates on campaign messaging, ness raising, targeted campaigns, media code of conduct, commitments) outreach, using ICT and social media campaigns; access to social media) nated in winnable positions; codes of conduct, accountability 4.3. Political institutions promote and monitor a viowomen's fundraising networks) of women leaders) lence-free culture (political parties adopt codes of conduct; 1.3. Violence against women in elections is mitigated (caparliaments reform standing orders (i.e. to combat harass-3.3. Political leaders publically promote gender equality pacity building of security forces; data collection; CSO moni-2.3. Diverse networks of support for women leaders creatand women's leadership (stakeholders like traditional toring mechanisms and women's situation rooms in place) ed and sustained (e.g. working with professional networks, leaders, political party leaders publicly support women; 4.4. Women serve as role models to inspire a new genera-1.4. Electoral arrangements enhance women's political CSO networks, social media networks, political parties, public statements; male political leaders support HeForShe tion of leaders (role-model effect, showcase positive examparticipation and leadership (EMBs guarantee women can youth groups) campaign) ples through iKNOW Politics, retention of women leaders; register and vote; women have access to ID documents; outreach to young women and women from marginalized measures put in place to encourage participation in elecgroups) tions; voter outreach; women lead in electoral management) • There is political will to adopt reforms. • A select group of women are willing to enter politics and lead. Media shapes public perceptions • Institutions are historically gendered but open to change Assumptions Some technical knowledge already exists among key national • Most women are outside moneyed networks. · Lack of understanding of gender equality leads to discrim-• Newly elected leaders require capacity building/skills stakeholders. • Political parties will nominate skilled and resourced women candidates inatory behavior development • Providing technical support will result in reformed legal National stakeholders and donors willing to support wom-• Raising awareness about gender discrimination will lead to • Creating forums for women aids in creating a supportive en's networks and GE advocates transformation in attitudes • Political party nomination procedures discriminate against • The capacities of women to run innovative and well-resourced cam-• Leading my example has positive effect in communities • Women are interested in supporting other women women. paigns can be strengthened.

## Risks & Barriers

- Political upheavals stall parliamentary and legislative processes
- Gender equality not considered a priority in electoral administration
- Legislation not enforced
- National partners have limited capacities to apply knowledge
- Parties may nominate women but voters don't elect them
- Male incumbents are able to raise more money than women
- Deeply ingrained mentalities impossible to change
- Men benefit from, and perpetuate, status quo
- Combating discriminatory attitudes is insufficient without additional structural changes
- Limited capacity of partners to put in place systems for
- gender responsive planning and policy making
   Institutions are slow to reform.

## Flagship Brief Women's Access to Justice

## I. BACKGROUND

### Assessment

There are gender gaps in access to justice globally, particularly in legal systems which operate on the basis of formal and informal rules. For instance. in Jordan, men are three times more likely than women to report having a legal dispute in the last five years. Of the households reporting disputes, 92% are headed by men and 8% by women.<sup>2</sup> In the Solomon Islands 67.9% of women over the age of 30 years indicated in a 2013 Household Survey that they were not satisfied with the response received from the police on reporting violence.<sup>3</sup>

There is built-in gender bias in the formal justice sector, notably in family law. Over 30 States have reservations to Article 16 of CEDAW, which relates to equality in marriage and family relations. In 50 countries, the minimum legal age for marriage is lower for females than for males, while 60 countries limit the ability of women who marry foreign nationals to pass on their citizenship to their spouses or children. In some legal settings, the testimony of a woman is not given equal weight as that of a man. Gender bias is also demonstrated in impunity and indifference to violence against women.

The formal justice system's capacity is very limited. For example, Bangladesh currently has 2.8 million cases backlogged in different formal courts. It takes 5 years for a case to be decided in formal justice settings and 28 days in village courts.<sup>5</sup> In India, the New Delhi High Court is so behind in its work that it could \$\frac{1}{2}\$ take up to 466 years to clear the enormous backlog. In Nigeria's Delta State, court users report having to attend an average of 9 times per case. In Ghana. the ratio of judges and magistrates to the population in its poorest Upper East Region is 1:171,913, compared to 1:33,416 in its capital city, Accra.<sup>8</sup>

With little or no access to their country's formal justice system, the majority of the world's poorest women have little choice but to rely on informal customary and religious dispute mechanisms which tend to be resolve disputes more expeditiously. While these mechanisms resolve over 80% of disputes, they are limited in their efforts to uphold gender equality principles.

## : Analysis

Women are less likely than men to access justice due to higher levels of illiteracy: In order to address these structural barriers, the flagship will provide support to and general lack of knowledge of their rights and related institutions. Ugan- countries in three broad areas: da's Justice, Law and Order Sector Strategy notes for instance, that such limited : Firstly, there will be sustained justice reform through a gender responsive enawareness among women restricts their demand for justice. 10

Women's accessibility may be influenced by geographic, physical, linguistic and financial factors. The indirect costs of accessing justice—e.g. time burdens and opportunity costs associated with delays are as prohibitive as the direct costs e.g. filing fees and expenses for witnesses.

Justice policies and planning also tend to be gender blind. A recent assessment reveals that the vast majority of national justice sector planning processes and policies of developing and middle-income countries do not involve women or adequately reflect structural barriers which hinder their access to justice. 11 This consequently affects the ability of governments to effectively budget for critical needs of the formal and informal justice sector e.g. geographical expansion of services, a reduction in population and justice personnel ratios, training of personnel in women's rights, the creation of specialized facilities, enhanced provision of legal aid and filing fee waivers, and data management capabilities to monitor disposal, conviction and attrition rates.

Furthermore, discriminatory social norms, practices and attitudes have the potential of restricting women's access to justice: Patrilineal rules of inheritance exclude daughters in Papua New Guinea<sup>12</sup>, in the DRC women do not possess legal capacity to sign a contract, register a business or open a back account their husband's to go out. 13 Related perceptions among both men and women that acts of violence are acceptable also abound—in Egypt, around one-third of ever-married women age 15-49 agree that wife beating is justified in instances such as where she goes out without informing her husband, neglects the children, argues with him, or burns the food. 14

## : Action

abling environment (in laws, policies and fiscal space). Review of laws will not only entail the passage of new legislation, but also continued analysis of impediments to the implementation of those already in place. National situation analysis on the structural barriers to women's access to justice will inform policy design and implementation. A unified approach to budgeting and monitoring will be promoted through a set of agreed gender responsive indicators. The participation of relevant stakeholders will be central to all stages of justice sector planning, design, implementation, monitoring and budgeting.

Strengthening the capacities of formal and informal justice actors will be directed towards eliminating institutional and cultural biases and enhancing accountability. Using a two-pronged approach, the Flagship will provide (1) technical assistance to engendering the training curriculum of national justice institutions to ensure system-wide impact, (2) support partners e.g. CSOs to implement training programmes for justice intuitions to which address the strategic needs of poor women e.g. community police stations and formal and informal courts which deal with violence-related cases, small commercial claims and personal status issues-marriage, divorce, child custody and maintenance and inheritance. Capacity building will also be accompanied by simple data collection techniques that will allow these institutions to monitor disposal, conviction and attrition rates on a regular basis.

and in 9 countries (e.g. West Bank and Gaza) they require the permission of \$ Increasing demand for justice by women and their visibility in justice administration will form the third component: using the He for She Campaign and other advocacy opportunities, this will support downstream interventions e.g. engagement with traditional and religious rulers, community rights awareness and the community based para-legal and para-judicial services.

<sup>1.</sup> UN Women, 2012

<sup>2.</sup> World Bank, 2011, 2013

<sup>3.</sup> UN Women, 2014

<sup>4.</sup> UN Stats, UNHCR, 2015

<sup>5.</sup> UNDP, 2014

<sup>6.</sup> Ministry of Justice, India, 2014

<sup>7.</sup> UN Women 2012.

<sup>8.</sup> Ghana Judicial Service, 2012

<sup>9.</sup> UN Women, UNDP, UNICEF, 2012

<sup>10.</sup> Government of Uganda, 2012-2017

<sup>11.</sup> SUN Women, 2015

<sup>12.</sup> UN Women, UNDP, UNICEF, 2012

<sup>13.</sup> World Bank, 2013

<sup>14.</sup> Egypt Demographic and Health Survey 2014

## II. DRAFT THEORY OF CHANGE: Women's Access to Justice

A TOC by nature encompasses the actions required by **all partners** to achieve a transformative change. The aim of the TOC is to help UN Women identify these actions and strategic partnerships. Consequently this draft TOC reflects interventions beyond those that UN Women will do by itself.

Goal

Women have access to fair justice mechanisms and redress based on relevant gender equality standards

**Key indicator: SDG Indicator (16.3):** % of victims of violence in the previous 12 months who reported their victimization to competent authorities or other officially recognized conflict resolution mechanisms (also called crime reporting rate) (proxy)

Goal TOC State If (1) legislation, justice policies, and budgets related to justice are gender-responsive; (2) Justice institutions improve their performance and accountability to women; and (3) women are empowered to access and participate in formal and informal justice delivery; then (4) women will have access to fair justice mechanisms and redress based on relevant gender equality standards; (5) because systems and capacities will be in place to address gender discrimination and other barriers to women's access to justice at all levels and stages of the justice delivery chain.

Outcomes

Outcome TOC 1. Legislation, justice policies, and budgets related to justice are gender-responsive and respond to the impunity for the violation of women's rights (% of constitutional reviews which adopt standalone provisions on women's rights; % of countries which reform discriminatory nationality laws; % proportion of judges and police to population; average distance to nearest court; access to legal aid by sex and income; % of countries which provide for court waivers for indigent populations).

2. Improved capacity of the justice sector to enforce women's rights (mandatory in-service training for justice personnel (police/judges/prosecutors) in women's rights; % of existing staff who receive on-going training and coaching on women's rights; existence of complaints procedures; % of people expressing confidence in justice system by sex; annual attrition in rape cases; % disposal of family and land disputes annually).

**3.** Women are empowered to access & participate in formal and informal justice delivery (% of population who know their rights by sex; % of population with access to the media (print, TV and radio) by sex; % of judges and police who are women).

If (1) existing discriminatory laws are repealed, laws are adopted to uphold the rights of women, including for cases of VAW, and justice policies and budgets address capacity gaps of duty bearers and rights holders; then (2) national legislation, justice polices and budgets are gender-responsive; because (3) there is political commitment and financial resources committed towards improving women's access to justice.

If (1) capacities of duty bearers are enhanced, their attitudes towards women conform to gender equality standards, and if mechanisms are in place to monitor the delivery and quality of justice services to women; then (2) the performance and accountability of justice institutions to women will improve; because (3) investing in duty bearers is critical to improving the quality of justice services for women.

If (1) women know their rights as potential users of the justice system and are accepted as actors of the justice system; then (2) women will be able to claim their rights; because (3) evidence shows that rights awareness and the active participation of females in the justice sector increases access and fair justice outcomes for women.

Outputs

**1.1. Gender discriminatory constitutions and legislations are reformed** (technical support to ministries of justice, law reform commissions and civil society organizations to undertake reform of constitutions and discriminatory substantive and procedural laws in affected countries).

- 1.2. Justice policies identify & address gaps & barriers to women's access to justice and respond to the impunity for VAW cases, including gender-related killing (gender risk analysis of women's access to justice, recommendations to address gender barriers integrated into the design, implementation and monitoring of justice policies, including mid-term and annual reviews; preparation, dissemination and taking action on annual WA2J status reports).
- 1.3. National and sub-national budgets address capacity gaps of duty bearers and rights holders in implementing and benefiting from justice reforms (budgets address limitations in geographical, physical, financial and linguistic access of marginalized and excluded women; specialized courts and police stations and fast tracking mechanisms established; legal aid and court fee waivers and other capacity enhancement mechanisms for duty bearers and rights holders in place).
- **2.1. Justice sector personnel** (technical, administrative and operational) respond to women's practical and strategic needs (develop, adopt and disseminate performance indicators on integrating and applying gender equality standards in operational activities and human resource policies; education and training in women's rights; tailored curriculum, mandatory induction and continuous training for justice actors, co-ordination with other sectors e.g. education and health; South-South cooperation).
- **2.2.** Gender discriminatory attitudes and behavior of justice sector towards women addressed (understanding the root causes of discriminatory attitudes and behaviours, awareness raising, peer-to-peer learning, finding 'champions' in the justice sector at senior levels to promote gender-sensitive attitudes).
- **2.3.** Women are satisfied with the processes and outcomes of justice services (complaints/feedback systems in place; mechanisms (e.g. monitoring roles of NHRI's and Ombuds institutions); to monitor the quality of justice delivery through the justice chain by sex; administrative data in place on attrition, length of disposal of cases and satisfaction; introduction of women's access to justice modules in HH and User Surveys).
- **3.1.** Increased awareness of women's rights among women and communities (community awareness programmes, paralegal services delivered by civil society organizations at community, sub-national and national levels; translation of relevant laws into different languages, media engagement, including community radio and SMS text messaging through collaboration with private and public telecommunications bodies).
- **3.2.** Discriminatory traditional and religious personal status norms—marriage, divorce, child custody, maintenance and inheritance are reformed (in the context of the He For She Campaign, engagement with male and female traditional and religious leaders in the identification and reform of norms which are inconsistent with gender equality standards, no. of reservations to Article 16 of CEDAW).
- **3.3.** Increased female participation & representation at all levels in the justice sector (undertake advocacy among academic institutions, judicial and security appointments bodies and training institutions to enhance female intake and recruitment).

Key Assumptions - Political will to repeal gender discriminatory laws and address gender barriers in justice policy development, implementation and monitoring; - Key gaps and barriers to women's access to justice are physical, geographic, linguistic, and financial; -Ministries of Finance will accept appropriate lines in budgets

- National governments and partners will be willing to: Invest in capacity building of justice sector staff; address corruption in the delivery of justice; integrate justice issues into national systems of data collection; address discrimination faced by different categories of women.
- Women have access to information through different media channels; Women are willing to claim their rights once they acquire the necessary knowledge; Relevant academic institutions adopt affirmative action in support of female enrollment; There will be a critical mass of females who are interested in applying to relevant justice sector groupings; Male and female traditional and religious rulers support of women's rights.

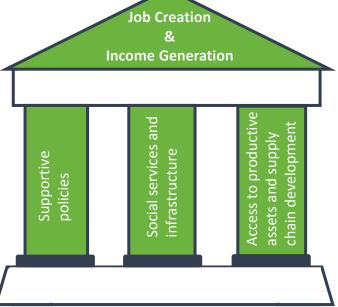
- Limited or no political will and capacity to undertake legal, policy and fiscal reforms at national and local levels.

- Attitudinal and behavior change among staff of the justice sector is difficult to change and monitor; Social norms and practices limit women from complaining.
- Female and male traditional and religious norms, attitudes and beliefs towards women and girls limit their access and participation;
   Women may not be able to access the justice system due to poverty, illiteracy, and conflicting time burdens.

Risks & Rarriere UN Women Strategic Plan Impact Area 2:

Women's Economic Empowerment (WEE)

Goal 2 of UN Women's Strategic Plan is that 'women, especially the poorest and most excluded, are economically empowered and benefit from development'. The three Flagship Programming Initiatives under this impact area work in synergy to drive job creation and income generation for women from less formal, vulnerable and unprotected occupations, to formal, decent and sustainable work and business opportunities.



The table below illustrates the contribution of the Flagship Programming Initiatives under Impact Area 1 to specific outcomes in the Strategic Plan.

STRATEGIC PLAN	FLAGSHIP PROGRAMMES			
	Women's access to land & other productive assets for climate-resilient agriculture	Stimulating Economic Opportunities for Women Entrepreneurs	Improving women's income security	
Outcome 2.1: National plans, legislation, policies, strategies, budgets and justice mechanisms adopted and implemented to strengthen women's economic empowerment.	Outcome 1. Women's secure land tenure is increased.	Outcome 1. Public procurement is gender responsive	Outcome 2. Decent work for women is promoted through collective action, the revision of labour laws and the strengthening of government and corporate policies	
Outcome 2.2: Women's sustainable livelihoods enhanced by gender-responsive services and access and control over means of production and resources.	Outcome 2. Female small holders' productivity in a changing climate increased and physical burden of agricultural work reduced Outcome 3. Financing barriers removed and women's capacity to invest in climate-resilient agriculture increased Outcome 4. Opportunities for female small holders to move up the value chain promoted	Outcome 2. Capacity of women's associations and women entrepreneurs strengthened	Outcome 4. Women's access to social protection, including floors that guarantee basic income security across their life course is enhanced	
Outcome 2.3: Gender equality advocates influence economic policies and poverty eradication strategies to promote women's economic empowerment and sustainable development.		Outcome 2: International public and private investments create new economic opportunities for WBEs Outcome 3: Corporations implement their commitments to the Women's Empowerment Principles	Outcome 1. Macroeconomic policies support employment opportunities for women and create fiscal space for public investment Outcome 3. Unpaid care and domestic work is recognized, reduced and redistributed through investment in public infrastructure and services	

## Flagship Brief Women's Access to Land and Productive Resources for Climate-Resilient Agriculture

## I. BACKGROUND

#### Assessment

Women comprise some 43 percent of the agricultural labour force in developing countries, and play a critical role in supporting food and nutrition security, improving rural livelihoods and generating income and overall wellbeing, while performing most of the unpaid care work.

Yet even though they are the main producers of food in many developing countries, women in agriculture have less access than men to land across regions. Only in 37 percent of 161 countries analyzed do women and men have equal rights to own, use and control land. Women's access is also restricted to other productive resources (energy, water, pasture, forests, agricultural inputs, credit : and savings, agricultural extension services, information, technology and markets), limiting their rights, potential and wellbeing – as well as capacities to build climate resilience.

Women farmers are at the forefront of coping with the impacts of climate change, natural disasters and post-conflict situations. When alternative sources of food and income need to be found, for example during droughts, floods and : other extreme or chronic weather events, the burden of additional work often falls on women and further adds to their unpaid care burdens. Lack of appropriate infrastructure, technologies and financial services further restricts their ability to adapt to shifting agricultural constraints and opportunities in a changing climate. Women farmers are at risk of being trapped in a downward spiral as they confront climate change given insufficient public and private investment in building community and productive resilience.<sup>2</sup>

Closing the gender gap in agriculture in the context of a changing climate would benefit women and increase the wellbeing and resilience of society as a whole. According to the FAO (2011), if women had the same access to productive resources as men, they could increase yields on their farms by 20–30 percent. This could raise total agricultural output in developing countries by 2.5-4 percent, which could in turn reduce the number of hungry people in the world by 12–17 percent.

## **Analysis**

Women's lack of land tenure security is historically related to inadequate or : The gender gap in agriculture can be reduced and the climate resilience of womfull implementation of national legal codes; this is the case in the majority of • countries in the OECD SIGI database.

Insecure land tenure is directly linked to other barriers, such as lack of civil registration, lack of collateral, and poor security in conflict-prone areas, which in (i) eliminating formal and informal (statutory and customary) discriminatory turn jeopardize women's access to other productive resources. Limited access: frameworks to improve women's land rights and tenure security; to financial, technological and agricultural extension services is also a significant barrier to building women farmers' capacities to adapt to climate-induced (ii) ensuring equal access to other productive resources and assets, such as agchanges in the agricultural sector.

Most agricultural policies and investments still fail to consider differences in the resources available to men and women, their roles, labour burdens and the i (iii) removing financing barriers to allow women to better manage a changing constraints they face – and how these gender differences might be relevant to : climate by investing in climate-resilient agriculture; and the proposed interventions. It is often assumed that interventions to facilitate access to finance, technology or markets will have the same impacts on men (iv) facilitating the participation of women in flexible, efficient and fair green and women; however, a growing body of evidence indicates that most likely a value chains and agricultural markets. they will not.

usually lack essential information and analysis about the diversity of women in agriculture and their rural environments in order to make gender-responsive structure and institutions to implement measures to build resilience; and the areas vary widely by location, age, ethnicity, culture and social class – as do their agricultural contexts in a rapidly changing climate.

### **Action**

discriminatory legal and policy frameworks and social and cultural norms, such en farmers increased through decisive and informed public and private investas male preference in inheritance, male privilege in marriage and so-called sec- ; ments and interventions. Reforms aimed at removing structural barriers and ondary land rights through male family members. When the law guarantees promoting equal rights and access to land and productive resources can help women and men the same rights to own, use and control land, customary, tra- ensure that women are better prepared to cope with the challenges and to take ditional and religious practices discriminate against women and undermine the advantage of the opportunities arising from increased climate variability and concomitant structural changes in agriculture.

Priority areas for policy action include:

- ricultural inputs and extension services, climate and weather information and tools, and technologies that would also reduce labour burdens;

Supporting women in agriculture to fully and equally participate in deci-Furthermore, policy-makers, development practitioners and the private sector 🖁 sion-making at all levels will be necessary for the development of appropriate policy interventions; deployment of more effective tools, technologies, infradecisions about the sector or measures to improve resilience. Women in rural ; achievement of sustainable resource management for resilient green value chains. At the same time, improving sex-disaggregated data and gender-sensitive indicators on access to and control over land and resources, assets and income, agricultural production, time use, leadership and participation will be essential for monitoring progress on closing the gender gap in agriculture and building resilience.

<sup>1.</sup> OECD Social Institutions and Gender Index (SIGI), 2014.

<sup>2.</sup> United Nations, World Survey on the Role of Women in Development: Gender Equality and Sustainable Development, 2014 (A/69/156).

## II. DRAFT THEORY OF CHANGE: Women's Access to Land and Productive Resources for Climate-Resilient Agriculture

A TOC by nature encompasses the actions required by **all partners** to achieve a transformative change. The aim of the TOC is to help UN Women identify these actions and strategic partnerships. Consequently this draft TOC reflects interventions beyond those that UN Women will do by itself.

Goal	Women farmers are economically empowered and resilient in a changing climate  Key indicators: Share of women among agricultural land owners by age and location (U/R); Legal framework includes special measures to guarantee women's equal rights to land ownership and control  Guiding normative frameworks include CEDAW (article 14); Beijing Platform for Action; SDGs; CSW56; UNFCCC & UNCCD gender provisions							
Goal TOC State- ment	If (1) women farmers realize rights to land and secure land tenure; if (2) they have equal access to productive resources, services and technologies for sustainable farming; if (3) they attain the financial capacity to invest; and if (4) they participate fully in green value chains and markets; then (5) women farmers are economically empowered and resilient in a changing climate; because (6) the root causes and drivers of gender gaps in agriculture have been removed.							
Outcomes	1. Women's land tenure security is increased. Key indicators: Share of women among agricultural land owners by age and location (U/R); Legal framework includes special measures to guarantee women's equal rights to land ownership and control	2. Women smallholder productivity in changing climate increased and physical burden of agricultural work reduced. Key indicators: Value of production per labour unit by sex; Average daily time spent on agricultural work by sex	3. Financing barriers removed and women's capacity to invest in climate-resilient agriculture increased. Key indicators: % change in loans to women small-holder farmers; % change in women using financial services	<b>4. Opportunities for women farmers to move up the value chair promoted.</b> Key indicators: % of women's participation in cooperatives; % of women farmers with access to extension services				
Outcome TOC	If (1) barriers to women's equal rights and access to land are removed; and if an enabling legislative framework, supported by strong technical capacities are in place, then (2) women's land tenure security will increase; because (3) discrimination against women to own, control and use land is removed.	If (1) women have access to climate-resilient productive assets, technologies and skills, and this is supported by enabling social norms and practices; then (2) women's productivity will increase and their labour burden will be reduced; because (3) increased access to productive assets improves productivity and reduces the labour intensity of sustainable farming systems.	If (1) women have access to affordable and safe finance, appropriate financing services and products, business skills, and insurance; then (2) women will have increased capacity to invest in climate-resilient agriculture; because (3) key financing barriers for rural women are removed.	If (1) the agency and decision-making capacity of women farmers is strengthened; and if they have access to local infrastructure, and are included in green agricultural supply chains; then (2) women will have opportunities to move up the value chain; because (3) they will have equitable access to markets.				
Outputs	1.1. Social, customary and political barriers to women's equal land rights and access removed (assess root causes; engagement/advocacy with communities, traditional and religious leaders, CSOs, women's machinery and governments; increase public awareness)  1.2. Gender-biased statutory and customary land tenure frameworks, laws, policies reformed (gender-based assessment of land and property rights and laws, South-South learning and technical assistance, advocacy with political and customary authorities, communities)  1.3. Strengthened capacity of land registry institutions to improve systems and ease access (strengthen land mapping and registration systems; increase women's access to civil documents and application procedures)	2.1. Improved access to productive, time-saving and climate-resilient assets, tools and technologies (Increase proportion of women with access to appropriate technologies, machinery, fertilizers, improved seeds, pest control, etc. for sustainable agriculture)  2.2. Improved access to climate-resilient agricultural extension services for women and households (capacity development for women farmers on using new technologies and applying local and indigenous knowledge, increase proportion of trained women extension agents)  2.3. Recognition of women's roles in agriculture and favourable attitudes/practices about women using technology promoted (assess root causes of negative attitudes/practices; advocacy strategies and media campaign; awareness raising with men and women farmers and families, extension workers, policymakers; outreach to community/religious leaders)	of financial institutions to change gender-biased lending practices)	<ul> <li>(rural roads and sustainable transport, post-harvest storage facilities, cooperative processing plants and quality control, etc.).</li> <li>4.3. Increased inclusion of women small holders in green agricultural supply chains at the national, intra-regional and international levels (promote fair trade, link private companies with women small holders and cooperatives, preferen-</li> </ul>				
Key Assumptions	-Lack of land and property rights is a structural cause of gender inequality; -Secure land tenure can be individual or collective in diverse land and resource management systems; -Land/water/forest rights are connected; -Women's demonstrated land tenure security or ownership is important collateral for access to finance.	-Gender gap exists for agricultural technologies, tools and practices; - New technologies and tools will save women time and be less physically intensive; - Women farmers have access to energy (covered by another FP).	- Gender gap in access to affordable finance and insur- ance; – Women are in a weaker intra-household bargaining positioning, which reduces their ability and/or willingness to save and invest.	- Gender gap in women's access to markets and in the value chain (aggregation, processing, distribution, decision-making) - Greater access to markets and moving higher in the value chain will increase incomes. – Women farmers have access to ICTs.				
Risks & Barriers	- Social and cultural attitudes and political will cannot be changed in favour of equal land rights; -Equal rights in laws and policies are not translated into practice.	- Uncertain social acceptance of new technologies and practices; - Women's unpaid domestic and care work reduce time for learning new technologies; - Men resent women having access to new technologies if they do not have the same; -Macroeconomic policies do not support sustainable climate-resilient agriculture	- Social and cultural attitudes, and political will cannot be changed in favour of increasing women's equal access to finance; - Macroeconomic policies do not support micro-lending and financial services for the poor; -Local financial institutions are under-capitalized.	- Social/cultural/political/trade barriers to women moving up the value chain and having preferential access to markets; - Private sector unwilling to pay slightly more for products from women small holders or engage with new women distributors; -Macroeconomic policies do not support women farmers in national/global value chains.				

# Flagship Brief

## Stimulating Equal Opportunities for Women Entrepreneurs through Affirmative **Procurement, Investment and Supply Chain Policies**

## I. BACKGROUND

#### Assessment

Women's rights to economic equality and opportunities and their critical impor- : Women entrepreneurs face enormous structural barriers, which limit their : Addressing the structural barriers faced by women entrepreneurs will require tance for sustainable development are enshrined in a number of multilateral growth and development. These barriers include the lack of access to produc-sustained engagement on a sectoral basis. However, affirmative procurement, normative frameworks, including CEDAW, the Beijing Platform for Action, and tive assets, finance and ICTs; insufficient entrepreneurial and management investment and supply chain policies have the potential to create economic opin proposed Sustainable Development Goals (SDGs). Corporations are also assuming responsibility for action within their purview through the Women's Empowerment Principles (WEPs) promulgated by UN Women and the UN Global Compact. Money in the hands of women fosters development through women's higher spending on the family - in the health and education of their children. contributing to breaking inter-generational poverty.

Small and medium-sized enterprises (SMEs) form the backbone economic empowerment accounting for about 90% of businesses and more than 50% of employment worldwide. They are key engines of job creation and economic growth in developing countries, particularly following the global financial crisis.<sup>1</sup>

However, women do not currently have equal entrepreneurial opportunities. For example, the rate of participation in entrepreneurship is 4 times greater for men than for women in the MENA/Mid-Asia.<sup>2</sup> Further, while it is estimated that SMEs with full or partial female ownership represent 31-38% of formal SMEs in developing countries, women's entrepreneurship is skewed towards smaller firms.<sup>3</sup> They make up 32 to 39% of the very small segment of firms, compared with 17 to 21% of medium size companies, and a disproportionate share of women's business fail to grow. Women entrepreneurs are also more likely to be in the informal sector, mainly in lower value added services.4

Yet women entrepreneurs in developed countries have demonstrated their ability to start and grow businesses more quickly than their male counterparts. In the United States, women-owned firms are growing at more than double the rate of all other firms and have done so for nearly three decades.5

## **Analysis**

and investment policies rarely consider gender equality as selection criteria, negatively affecting women owned businesses' ability to sell to large buyers. for unpaid domestic care and work.

As a result of these structural barriers, women entrepreneurs and business owners have been largely unable to capitalize on government spending and i locate at least 30% of their procurement spend on procuring goods, services and international sourcing. A multi-trillion dollar industry accounting for 15-30 percent of GDP in countries, public procurement represents an enormous share \* with disability. of global demand for goods and services. 6 However, women-owned businesses (WOB) only access 1% of public procurement.<sup>7</sup>

There is also a lack of data for procuring entities, international financing institutions (IFIs) and corporations to analyze the gender impact of their decisions. it more expensive for procuring entities to identify female suppliers. These higher transaction costs create a low incentives for procuring entities to invest and owned-enterprises. source goods from women entrepreneurs. Furthermore, asymmetric power relations between buyers and suppliers along global value chains marginalize iii. Gender-Responsive Corporate Procurement: By implementing the Women's smallholders, who are often disproportionately women.8

Similarly, although women-owned enterprises have been the target of microfinance interventions, there has been a dearth of activity supporting "the missing middle," or SMEs with high growth potential. For example, only about 14-19 percent of IFC loans are issued to women-owned SME clients, despite evidence that they perform just as well those owned by men. 9

Women entrepreneurs also face a lack access to information and networks in order to respond to procurement calls. This reinforces a negative cycle and prevents them accessing markets, developing their supply capacity.

### Action

skills; limited opportunities to markets and distribution networks. Procurement i portunities for women by creating incentives to invest in and source from women owned businesses. Hence, action in this area will require:

- Discriminatory social norms also result in their disproportionate responsibilities i. Gender-responsive public procurement: Procurement policies can stimulate demand for goods and services produced by WOB by setting specific targets and quotas for sourcing goods and services from companies owned by women. This practice is already in place in Kenya, where procuring entities are required to alworks from micro and small enterprises owned by youth, women and persons
- ii. Engendering international public and private investment: IFIs can engender their investment decisions by embedding requirements for borrowers that promote positive economic outcomes for women. This begins with conducting gender-impact assessments that analyse the opportunities for women's entre-The fact that women owned businesses are smaller and more dispersed makes: preneurship and employment and creating reporting requirements and collecting data to hold borrowers accountable to creating opportunities for women
  - Empowerment Principals, and adopting gender responsive procurement policies that promote supplier diversity and collect gender-disaggregated supply chain data, companies can stay accountable and create opportunities for women.
  - iv. Capacity of women-owned businesses to benefit from procurement strengthened: This includes capacity building of WOB to lobby for changes in procurement policies and investment and hold governments and corporations to account. It will also include strengthening their capacity to respond to procurement calls, including through better leveraging of ICTs. On a sector basis, the capacity of WOB will be strengthened to move up the supply chain (FPI on agriculture & energy).

This FPI will build synergies with on-going work to engender UN Procurement policy and with the Flagship on Improving Women's Income Security, which addresses the key structural barriers to WEE. Data collection will also be prioritized to create an evidence-base and fill the current data gap.

<sup>1.</sup> SIFC (2012) IFC and Small and Medium Enterprises, Issue brief 2. Kofi Annan (2015) http://www.ifc.org/wps/wcm/connect/277d1680486a831abec2fff995bd23db/AM11IF-C+IssueBrief SME.pdf?MOD=AJPERES

<sup>2.</sup> The Global Entrepreneurship Monitor (GEM) 2012 Report on Women and Entrepreneurship, Babson College, and London Business School.

<sup>3.</sup> IFC (2011). Strengthening access to finance for women-owned SMEs in developing 4. IFC (2011).

<sup>5.</sup> IFC (2011).

<sup>6.</sup> The Commonwealth Secretariat (2014), "Gender, Trade and Public Procurement Policy"

<sup>7.</sup> Valikai, K. October 2013. "Why bringing women-owned business into the supply chain makes business sense". Accessed June 2015.https://www.devex.com/news/why-bringingwomen-owned-business-into-the-supply-chain-makes-business-sense-82015

<sup>8.</sup> USAID (2015). "Public Private Partnership in Global Value Chains: Can they Actually Benefit the Poor?"

<sup>9.</sup> IFC (2014). Women-Owned SMEs: A Business Opportunity for Financial Institutions"

## II. DRAFT THEORY OF CHANGE: Stimulating Equal Opportunities for Women Entrepreneurs through A TOC by nature encompasses the actions required by **all partners** to achieve a transformative change. The aim of the TOC is to help UN Women identify these actions and strategic partnerships. Affirmative Procurement, Investment and Supply Chain Policies Consequently this draft TOC reflects interventions beyond those that UN Women will do by itself. **Affirmative Procurement, Investment and Supply Chain Policies**

Annimative i recurrency investment and supply chain i choics								
Goal	Women entrepreneurs have equal economic opportunities  Key indicators: % of women entrepreneurs reporting an increase in revenues; % change in number of women's business enterprises (WBEs). Guiding normative frameworks include: Beijing Platform for Action (IV, F.); CEDAW; SDGs; WEPs							
Goal TOC State- ment		If (1) domestic and international public and private procurement policies and practices are gender-responsive, and if (2) the capacity of women entrepreneurs and their access to finance and local infrastructure is strengthened to respond to increases in demand; then (3) the gender gap in economic opportunities will be reduced; because (4) women entrepreneurs will have access to a more equal share of the market.						
Outcomes	1. Public procurement is gender responsive. # of public procurement policies targeting women's business enterprises (WBEs); % change in public procurement directed towards WBEs; % change in public procurement directed towards WBEs (migrant, black, indigenous, women with disabilities or other vulnerable group)	2. International public and private investments create new economic opportunities for WBEs % increase of development bank lending that generates business contracts for WBEs	3. Corporations implement their commitments to the Women's Empowerment Principles % increase of corporate sourcing from WBEs	4. Capacity of WBEs and women entrepreneurs to benefit from procurement is strengthened % change in bids from WBEs				
Outcome TOC	If (1) women's associations are meaningfully engaged in reviewing public procurement policies, if public procurement policies are reformed in favour of WBEs, and if the public sector is held accountable for implementation of these policies; then (2) WBEs will benefit from domestic public procurement; because (3) a more level playing field has been created for WBEs to compete for public contracts.	If (1) international public and private investors transform their practices to be gender equitable based on gender impact assessments, and if they hold their borrowers accountable to gender-responsive lending provisions; then (2) international public and private investments will create new economic opportunities for WBEs; because (3) the gender bias has been addressed	If (1) corporations implement their commitment to the Women Economic Principles; then (2) WBEs will be increasingly active in the market place; because (3) a more gender-responsive playing field has been created for WBEs to compete.	If (1) the voice, agency and capacity of women associations and WBEs are strengthened, and if WBEs procurement sector capacity is strengthened; then (2) WBEs will be able grow and take up new economic opportunities; because (3) the key supply side capacity barriers have been addressed.				
Outputs	1.1 Increased awareness, engagement & advocacy for favourable public procurement policies for WBEs (capacity development of women's associations to engage and advocate for favourable public procurement provisions for WOB; awareness raising and lobbying with local and national government)  1.2. Public Procurement & related laws and policies reviewed and reformed and information disseminated in favour of WBEs (gender audits of existing policies; targeted sourcing and quota provisions for WBEs put in place; simplification of tendering procedures; better information dissemination to WBEs)  1.3. Public sector is held accountable to gender responsive provisions in public procurement policies (data collection and monitoring systems put in place; awareness raising of parliaments and local councils of the importance of their oversight; capacity development of women's associations to hold governments accountable)	2.1 Increased engagement with development banks and private investors for gender-responsive lending and investments (capacity development of women's associations to engage and advocate for gender-responsive lending provisions, including in procurement provisions for WBEs; awareness raising and lobbying with local and national government)  2.2 Investment proposals engendered based on gender impact assessments (consultations with women's associations; subject investment proposals to a gender impact assessment; make recommendations to engender investments, including through provisions that target procurement from WBEs)  2.3 Accountability framework built into lending agreements (Develop reporting requirements that will oblige borrowers to report to lenders on meeting gender-responsive investment including procurement provisions; build these requirements into lending agreements; lobby for transparency to facilitate oversight by civil society	<ul> <li>3.1 Create top level corporate leadership in favour of gender-responsive procurement policies (advocacy campaign on business and development case; creation of top level team to advance gender responsive procurement policies and practices; identify champions and mentor/protégée schemes–WEP 1)</li> <li>3.2. Corporate sourcing policies reviewed and reformed in favour of targeting women employees and WBEs (gender audit of corporate policies; recommendation for corporates to adopt policies and practices targeting sourcing from WBEs – WEP 5)</li> <li>3.3. Corporates publicly report on implementation of gender-responsive procurement policies (data collection and monitoring systems put in place; regular reports made publicly available on Empowerwomen.org – WEP 7)</li> </ul>	4.1 Capacity development of women's associations and women entrepreneurs to lobby for & hold governments and corporations accountable for gender responsive procurement and investment (capacity building of women about gender responsive procurement and investment, and impact analyses; linking women to policy makers and corporations, advocacy)  4.2 Capacity of women's associations and women entrepreneurs to respond to procurement is increased (women's associations created; training courses/skills development on competitive bidding, procurement processes, financial management, using ICTs to grow their businesses and in ICTs, business registrations; leverage EmpowerWomen.org for peer-to peer learning etc)  4.3 Capacity development of women's associations and women entrepreneurs strengthened on a sector basis to move up the value chain (see TOC on Energy and Agriculture)				
Key Assumptions	<ul> <li>Political will and social norms supportive of fostering WEE;</li> <li>Gender responsive migrant and labour policies are in place;</li> <li>Availability of public resources.</li> </ul>	- The investment community want to transform their practices to be more gender equal - Recommendations from gender impact assessments will be included in investment proposals.	- Corporations are committed to implementation of the Women's Empowerment Principles - Social norms that favour women as entrepreneurs and business owners	- Women entrepreneurs and WBEs want to leverage pro- curement as a model to access markets and grow - Women entrepreneurs have the time to expand in light of their domestic work and unpaid care responsibilities - Availability of affordable child care facilities - Access to ICTs				
Risks & Barriers	- WBEs have the capacity to meet public procurement demands; - Gender-responsive procurement policies are not transparent and not fully implemented;	- Enforcement of gender-responsive provisions with lenders may be challenging	- Backlash from male owned business within the corporates supply chain; - Long-term relationships between corporates and male own business are hard to change. Culture that values Large volume, low price and extended payment terms.	- Social, cultural, political barriers limit women entrepreneurship				

## Flagship Brief

## Income Generation and Security through Decent Work and Social Protection for Women

## I. BACKGROUND

## **Assessment**

Access to decent employment opportunities and social security are among the : Worldwide there is a deficit of decent work. The lack of decent work reflects: Generating income and improving women's income security by expanding demost effective interventions to empower women. These earnings help boost the dampening effects of macroeconomic policies, which typically have been cent work opportunities and the availability of gender-sensitive social protective. their self-esteem and bargaining power at home and within their communities. delay early marriage and pregnancy and reduce the likelihood that they will be exposed to domestic violence. When women are economically empowered, entire communities benefit as research shows that women reinvest their earning in children's education and health.

However, women have less access to decent jobs than men. For example, in the Middle East and North Africa, 51 per cent of young women between the ages of 15-24 years were unemployed in 2013 compared to 26 per cent of young men. Globally, there is a 26 percent point gap between women's and men's labour force participation. Women also dominate the informal sector. In developing regions 75 per cent of women's employment is informal and unprotected. In OECD countries, while women make up 44 per cent of those employed, two thirds are on involuntary temporary contracts. Women are over-represented in lower-paying occupations and at lower levels of seniority. In the US for example, women make up only 36 per cent of physicians and surgeons compared to 90 per cent of registered nurses. Even where women's work is off equal value, they earn persistently less than men in almost all labour markets. Globally, the average gender pay gap is 24 per cent.1

Evidence from a diverse range of countries underscores that social protection measures can be used as key policy instruments to address the risks associated with job insecurity, reduce poverty, class and gender inequality, and accelerate economic development.<sup>2</sup> Moreover the provision of adequate social protection does not necessarily compete with other economic priorities and can potentially expand the resource envelop, contributing to greater and more sustainable growth.3

However, it is estimated that 73 per cent of the world's population still has no or only partial access to social protection—including child allowances unemployment benefits, paid maternity leave or old-age pensions.<sup>4</sup> Without secure income, either from earnings or social transfers, women and their families risk falling into poverty, depleting assets in response to shocks, and engaging in distress sales of labour to meet immediate subsistence needs.

2. See UN Women (2015) "Progress of the World's Women 2015-2016: Transforming

3. See UN Women (2015) op cit and J. Stiglitz (2009) "The global crisis, social protection

Economies, Realizing Rights", New York.

Economies, Realizing Rights", New York.

## **Analysis**

skewed towards a narrow set of goals such reducing inflation to extremely low: tion requires action on 4 fronts: levels, rather than creating fiscal space that promotes decent employment. Furthermore, macroeconomic policies are usually considered gender neutral. (1) Creating political consensus for macroeconomic policies that support em-However, the effects of such policies on specific sectors create gender specific outcomes. Cuts in social services such as childcare as a result of austerity policies will disproportionally affect women. Despite their critical implications,

Women are also constrained to low-level and paying jobs because of discriminatory social norms and stereotypes about gender roles and differences in apti- : (2) Promoting decent work for women through collective action, the revision tudes as well as the stigmatization of certain occupations. Labour laws and policies that do not take such norms into account reinforce discrimination against

The availability of decent work for women is further reduced as a result of the availability of decent work for women is further reduced as a result of the availability of decent work for women is further reduced as a result of the availability of decent work for women is further reduced as a result of the availability of decent work for women is further reduced as a result of the availability of decent work for women is further reduced as a result of the availability of decent work for women is further reduced as a result of the availability of decent work for women is further reduced as a result of the availability of decent work for women is further reduced as a result of the availability of decent work for women is further reduced as a result of the availability of decent work for women is further reduced as a result of the availability of decent work for women is further reduced as a result of the availability of decent work for women is further reduced as a result of the availability o disproportionally on women and girls, reducing their time available for education, paid work and other activities. 5 and pushing them into low-paid, part-time. informal and home-based work as a means of reconciling unpaid care work and : (3) Recognizing, reducing and redistributing unpaid care work through investpaid employment. The impacts of unpaid care work on women are exacerbated by poor infrastructure and limited publicly provided services. The effects are particularly acute in rural settings and for female headed-households.

The failure to provide sufficient social protection reflects both a lack of awareness of the importance of social protection and a belief that lower income countries are unable to finance these investments. Weak institutions, inadequate administrative systems and poorly articulated line ministries can exacerbate the under-provision of social protection and create gaps in coverage. Providing a : (4) Increasing women's access to social protection, including social protection strong evidence base for implementing gender sensitive social protection, increasing civil society engagement in the design and oversight of social protection, and strengthening governments in the delivery of social protection will be essential for securing adequate and gender-sensitive social protection.

## **Action**

- ployment creation opportunities for women: This action will require building capacity and raising awareness about the gender implications of macroeconomic policy, including trade, monetary and fiscal policies. The capacity of civil sothere is limited awareness of the impact of macroeconomic policies on women's eciety to assess the gender impacts of policies, engage with policy makers and demand accountability for gender-sensitive macroeconomic policies will also be strengthened.
- of labour laws and the strengthening of government and corporate policies: This action will require supporting women's organizing in labour and product markets to improve the terms and conditions of employment and exchange. Additionally there is a need to support gender audits of existing labour laws unequal responsibility for unpaid care work. Worldwide, this responsibility falls ; gender inequalities in hiring, firing, training and promotion, equal pay and family-friendly work arrangements.
  - ments in public infrastructure and services. This action will require targeted policy research and advocacy to quantify and value women's unpaid care work, including support for integrated time use and household surveys. Women's organizations and CSOs will also need to be strengthened to engage in policy debates about social transfers and investments in social protection and public services to reduce and redistribute unpaid care work. The capacity of governments to deliver gender-sensitive services and infrastructure will also be strengthened.
  - floors that guarantee basic income security across the life cycle: This action will require identifying and addressing the barriers limiting women's access to social protection and supporting women's organizations to participate in decision-making processes guiding the design, evaluation and financing of social protection and investments in services. Government institutions will also need to be strengthened to better manage integrated, gender-sensitive social protection systems.

<sup>1.</sup> See UN Women (2015) "Progress of the World's Women 2015-2016: Transforming

<sup>4.</sup> ILO 2014. World Social Protection Report. ILO, Geneva

<sup>5.</sup> In Africa and Asia, women are responsible for approximately 80 percent of the total time dedicated to unpaid work in the household. Although total time burdens vary, women appear to work longer hours in the sum of paid and unpaid work, with the greatest difference between men and women in Africa and Latin America. Sarah Gammage (2015) "Labour Market Institutions and Gender Inequality" in Janine Berg (ed) Labour Market Institutions: An ILO Primer, Geneva.

# II. DRAFT THEORY OF CHANGE: Income Generation and Security through Decent Work and Social Protection for Women

A TOC by nature encompasses the actions required by **all partners** to achieve a transformative change. The aim of the TOC is to help UN Women identify these actions and strategic partnerships. Consequently this draft TOC reflects interventions beyond those that UN Women will do by itself.

Goal	Income generation opportunities and income security for women increased  Key indicators: % change in women in decent work; % increase in women in women's income security (mean increased and variance reduced); % increase in social protection coverage for women)						
Goal TOC State- ment	If (1)macroeconomic policies are designed to expand employment opportunities for women and create space for gender-sensitive public investments in services and social protection; if (2) decent work for women is promoted through collective action, government and corporate policies; if (3) unpaid care and domestic work is reduced and redistributed through gender sensitive investments in public infrastructure and services; and if (4) women's access to social protection is enhanced and; then (5) income generation and income security for women will improve; because (6) the key structural barriers have been addressed.						
Out- comes	Political consensus for macroeconomic policies that support employment opportunities for women and create fiscal space for public investments is created (See TOC on Transformative Finance for fiscal policies)	2. Decent work for women is promoted through collective action, the revision of labor laws and the strengthening of government and corporate policies.	3. Unpaid care and domestic work is recognized, reduced and redistributed through investments in public infrastructure and services.	4. Women's access to social protection, including floors that guarantee basic income security across the life course, is enhanced.			
Outcome TOC	If (1) awareness about the gender implications of macroeconomic decisions is increased, (2) if civil society organizations have the capacity to assess the impact of macroeconomic policies and engage with governments on engendering policies; then (4) political consensus can be created for macroeconomic policies that support employment opportunities for women and create fiscal space for public investment; because (4) an evidence-base and dialogue space has been created	If (1) gender audits of existing labor laws and policies identify key gaps and biases, if (2) the capacity of women's organizations to negotiate and collectively bargain is strengthened; and (3) government and corporate policies are improved in key sectors to promote better working conditions for women; then (4) decent work for women is promoted, because (5) a supportive institutional and policy framework is in place.	If (1) unpaid care and domestic work is recognized as a barrier to women's access to decent work, (2) if women's organizations are empowered to participate in debates and decision-making processes about public investments, if (3) adequate financing modalities are identified and tapped, and (4) if governments have the required capacities; then (4) unpaid care and domestic work will be reduced and redistributed through gender-sensitive public investments in time-saving infrastructure and care services, because political, social and financial barriers will have been addressed	If (1) the barriers to women's access to social protection are adequately identified, (2) if women's organizations are empowered to participate in debates and decision-making processes about social protection, (3) if financing modalities for gender-sensitive social protection are identified and tapped, and (4) if governmen has the required capacities, then (5) women's access to social protection, including floors that guarantee basic income security across the life course can be enhanced, because (5) political and financial barriers will have been addressed.			
Outputs	1.1 Awareness about the gender implications of major macroeconomic decisions, including trade, monetary and fiscal policies, is increased (increase availability of data and evidence through research & knowledge production; advocacy)  1.2 Capacity of civil society organizations and government to assess the impact of macroeconomic policies is increased (e.g. technical training on impact assessments, capacity building for women's organizations)  1.3 Capacity of civil society to engage in dialogue with governments and ministries on engendering macroeconomic policies and demand accountability is strengthened (information dissemination on policy impacts, public debates & dialogues, advocacy)	2.1 Gender audits of existing labour laws and policies conducted (identify gaps and biases, including with regards to hiring, training and promotions; health and safety; equal pay; sexual harassment; quotas and targets; family-friendly work arrangements; and social protection).  2.2 Capacity of women's organizations to negotiate and collectively bargain in product and labour markets strengthened (e.g. capacity development of unions and women's associations in collective bargaining, basic accountancy, contract negotiations skills; advocacy with key line ministries to establish enabling legal framework).  2.3 Government regulations and corporate policies in key sectors and occupations to promote better working conditions and earnings is improved for women (e.g. minimum wage legislation and enforcement for domestic workers)	<ul> <li>3.1 Unpaid care and domestic work is recognized as a key barrier to women's access to decent work (e.g. support for time use surveys and related feminist advocacy)</li> <li>3.2 Women's organizations are empowered to participate in debates and decision-making processes about public investments (e.g. training and capacity building for women's organizations)</li> <li>3.3 Financing modalities for gender-responsive investments (services and infrastructure) that reduce and redistribute unpaid care and domestic work are identified and tapped into (e.g. childcare services, water, sanitation, electricity. See ToC on Transformative Financing)</li> <li>3.4 Capacities of national and local government is strengthened to deliver gender-sensitive public services and infrastructure.</li> </ul>	<ul> <li>4.1. Barriers women's access to key social protection schemes and potential responses are adequately identified (e.g. support for gender research, knowledge production and advocacy on women's access to pensions or participation in public works programs)</li> <li>4.2 Women's organizations are empowered to participate in mobilizations, debates and decision-making processes around gender-sensitive social protection (e.g. training and capacity building for women's organizations; support participatory evaluation of existing social protection programs; support pilots to show effectiveness of innovative gender-sensitive social protection programs)</li> <li>4.3 Capacities of government institutions are strengthened and coordinated to better manage social protection systems (e.g. identifying beneficiaries, putting infrastructur and services in place, transferring funds in a transparent, safe and secure manner, etc.)</li> </ul>			
Key Assumptions	- Women's organizations and CSOs want to engage on macro- economic policy; political will exists to put gender equality and social justice at the center of macroeconomic policy; policy analysts want to engage in the production of knowledge and evaluations	Political will exists to expand decent work commitments, data are available to monitor and evaluate policies and programs, women and labor unions are organized and engaged.	- Political will and supportive social norms to recognize the importance of unpaid care work, resources are available to finance investments in social protection and infrastructure.	- Women will utilize social protection if it is available to them; adequate fiscal space is created for public investments.			
tisks & arriers	- Orthodox macroeconomic policy continues to be dominant; credibility of the policy analysis is weak; social dialogue does not gain traction	- Enforcement of non-discriminatory laws is challenging; - Raising adequate resources to finance labour and family friendly policies takes time.	Despite increased investment, women are still held responsible for a disproportionate share of child and elder care	Results will be difficult to monitor in the short term and support may dwindle accordingly.			

UN Women Strategic Plan Impact Area 3:

**Elimination of Violence against Women (EVAW)** 

Goal 3 of UN Women's Strategic Plan is that 'women and girls live a life free from violence'. The two Flagship Programming Initiatives under this impact area aim to end the cycle of violence against women and girls in private and public spaces. Together, they prevent violence against women from happening in the first place, stop its re-occurrence, respond to the multiple needs of survivors, address impunity and the long-term impacts through the provision of quality essential services.



The table below illustrates the contribution of the Flagship Programming Initiatives under Impact Area 3 to specific outcomes in the Strategic Plan.

STRATEGIC PLAN	FLAGSHIP PROGRAMMES			
	Prevention and Access to Services	Safe Cities and Safe Public Spaces		
<b>Outcome 3.1:</b> Constitutions, legal frameworks, and policies to advance women's right to participate in decision making at national and local levels are reformed/adopted and implemented.	Outcome 1: An enabling legislative and policy environment in line with international standards on EVAW and other forms of discrimination is in place and translated into action	Outcome 2. Comprehensive legislation and policies to prevent and respond to SVAWG in public spaces in place and effectively implemented		
Outcome 3.2: Gender responsive measures (mechanisms, processes and services) promote women's leadership and participation in politics.	Outcome 3. Women and girls who experience violence are empowered to use available, accessible and quality essential services and recover from violence	Outcome 3. Investments in the safety and economic viability of public spaces, including public infrastructure/ economic development are effective		

## Flagship Brief

## Prevention and Access to Essential Services to End Violence against Women <sup>1</sup>

## I. BACKGROUND

### Assessment

Violence against women (VAW) persists in every country in the world as a pervasive violation of human rights and a major impediment to achieving gender equality. Global estimates show that over 1 in 3 women worldwide have expesexual violence in their lifetime,<sup>2</sup> with this figure being as high as 7 in 10 in some countries. Research shows that violence against women leads to a wide affects families and whole communities.<sup>3</sup> Violence against women also has a significant economic impact and impedes the achievement of any global development goals.

Over the past two decades there has been a growing momentum to eliminate and prevent all forms of violence against women, mainly due to the sustained efforts of the women's rights movement. Governments have demonstrated their obligations and commitments to address VAW through the further elaboration of international and regional policy and legal agreements. General Rec- \* HIV and those with disabilities. ommendation No. 19 of the CEDAW Committee affirmed that violence against women was a form of discrimination, confirming that States have to address vi- \* The most significant challenge for eliminating and preventing violence, howolence through this Convention. The Beijing Platform for Action (1995) identified violence against women as one of the 12 critical areas of concern that required urgent action to achieve the goals of equality, development and peace. The recently agreed agenda on the SDGs included ending VAW and harmful practices, as target areas, confirming that they need to be urgently addressed in order to achieve gender equality.

An increasing number of initiatives to prevent and address VAW have been undertaken in numerous countries. Progress has been mainly on the adoption of \$\cdot\$ laws and policies; improving justice and service responses for survivors; raising awareness and data collection. Whilst services have been established in some countries however, the availability and accessibility of these services is limited and the quality of services varies.

## **Analysis**

Despite progress, the level of VAW remains alarmingly high, and many challeng- : es still persist, including lack of strong political will; insufficient enforcement of legislation and allocation of adequate resources to implement laws, policies and rienced either physical and/or sexual intimate partner violence or non-partner i programmes; insufficient monitoring and evaluation of their impact; and lack of coordination among different stakeholders.

range of physical, mental and sexual health problems, including death, and it Essential multisectoral and coordinated services to adequately respond to survivors of VAW (such as health, police, justice and social services) are often lacking in countries or only available in capitals/urban areas resulting in limited access for women who need them. Even where services and responses have been established, survivors are not aware of their availability and their rights in reference to these services; the quality and consistency varies which also limits: accessibility, especially for adolescent girls, women with children and women and girls who experience multiple and intersecting forms of discrimination, such as those from indigenous communities and migrant groups, those living with

> ever, remains the persistence of attitudes and behaviours of men and women in society, including politicians, decision-makers, service providers (police/ health workers), community leaders etc. that perpetuate negative stereotypes. discrimination and gender inequality, as root causes of VAW, and addressing this challenge, including structural causes, lies in the core of prevention work.

> Despite some promising practices, prevention of violence against women and girls remains a relatively new area of work without a critical mass of data and clear evidence of "what works". Prevention initiatives are often small-scale, fragmented and stand-alone activities, limited to awareness-raising events, under-resourced and lacking impact evaluation. In addition, prevention of VAW is not often a part of comprehensive EVAW strategies and programmes, which is advisable as prevention should be part of the continuum of EVAW work, including services provision to survivors.

## **Action**

The current flagship programme is designed to implement the normative framework on EVAW, including the agreed conclusions of CSW 57 (2013) which stressed the need for a comprehensive approach by adopting laws and policies and collecting data, and emphasised the role of prevention by addressing the root causes of VAW as well as the need to enhance accessibility of survivors to quality services. The main lesson learned, substantiated by evidence, is that in order to effectively address VAW, a comprehensive, transformative approach towards gender equality and women's empowerment is required which needs to result in comprehensive EVAW laws and policies, prevention, provision of essential services and VAW data collection (see Gender statistics), where capacity-building of national statistics offices in order to collect VAW data – in line with SDGs - is incorporated). 4

The adoption of comprehensive laws addressing VAW and gender-based inequality and discrimination (e.g. family law, access to resources) (outcome 1) are important to address impunity, convey the message that VAW is not tolerated, help women leave an abusive relationship and take their lives into their own hands. Implementation of such laws remains, however, a challenge. Strengthening women's organizations, including through capacity-building, to hold governments accountable, advocate for effective implementation<sup>5</sup> and building the capacity of institutions (police, justice, health and education sectors). through codes of conduct, reporting mechanisms and awareness-raising programmes, to prevent and respond to VAW is therefore crucial.

Laws and policies can provide a comprehensive framework for addressing VAW but they need to be complemented by adequately funded strategies. VAW is a complex phenomenon and an effective prevention strategy needs to include mutually reinforcing interventions in order to facilitate transformative change in society by addressing the root and structural causes of violence. It should focus on women's empowerment and women's rights, include community mobilization and educational programmes and engage multiple stakeholders, including men and boys, media and women's organizations (outcome 2).

Prevention of VAW is closely interlinked with services/responses to VAW. Awareness-raising can result in increased demand from survivors for support, although survivors around the world substantially underuse existing VAW services for many different reasons. Women-centred quality essential services should be available to all survivors to ensure support and protection and to prevent violence from re-occurring (outcome 3). Awareness-raising of rights of survivors and availability of services need to be strengthened. Capacity-building of a wide range of service providers, development of clear protocols and guidelines for providing quality VAW services, including coordination and accountability mechanisms, are essential aspects of this proposed work. Having these services in place also sends a strong message to the community that VAW is not accepted.

This programme builds on existing work carried out and led by UN Women together with other partners, including the outcomes of CSW 57 by bridging research with policy recommendations; formulating global guidelines for the provision of services; and strengthening inter-agency understanding and collaboration in new areas such as prevention.

<sup>1.</sup> The definition of women for the purposes of this flagship programme includes girls.

UN Women works towards ensuring freedom from violence for women and girls of all ages. At the operational level however, UN Women's programmes are likely to impact older girls more directly (i.e. adolescent girls 11-19), as this means it does not duplicate, but rather complements, the efforts of other UN agencies such as UNICEF, UN Women's work on girls will also depend on its partnerships/agreements at country level.

<sup>2.</sup> WHO. 2013

<sup>3.</sup> Preventing Violence (WHO), 2010.

<sup>4.</sup> Heisse, 2011

<sup>5.</sup> Htun and Weldon, 2012.

# II. DRAFT THEORY OF CHANGE: Prevention and Access to Essential Services to End Violence against Women and Girls

A TOC by nature encompasses the actions required by **all partners** to achieve a transformative change. The aim of the TOC is to help UN Women identify these actions and strategic partnerships. Consequently this draft TOC reflects interventions beyond those that UN Women will do by itself.

Goal

Goal TOC Statement Women and girls living free from violence

Key indicators: Proportion of ever-partnered women and girls aged 15+ subjected to physical and/or sexual violence by a current or former intimate partner, in the last 12 months; Proportion of women and girls aged 15+ subjected to sexual violence by persons other than an intimate partner, since age 15 (See Flagship Program Gender statistics for UN Women's work on capacity building of NSOs to collect data on VAW)

If (1) an enabling legislative and policy environment in line with international standards on EVAW, including for ending impunity and other forms of discrimination is in place and translated into action; (2) if favourable social norms, attitudes and behaviors are promoted at community and individual levels to prevent VAW; and (3) if women who experience violence are empowered to use available, accessible & quality essential services & recover from violence; then (4) there will be a substantial reduction in violence against women; because (5) violence is being prevented before it happens or before it re-occurs, and those experiencing violence will be empowered to recover and rebuild their lives with appropriate assistance and support.

Outcomes

# 1. An enabling legislative and policy environment in line with international standards on EVAW and other forms of discrimination is in place and translated into action

Number (%) of countries with VAW laws and policies in place that are in line with international standards on VAW; Number (%) of countries with legal frameworks that promote gender equality and non-discrimination against all women and girls

If (1) women are engaged in developing and implementing legislation to EVAW, if reformed legislation is adequately resourced and monitored, and if institutions have the capacity to implement legislative and policy frameworks; then (2) an enabling legislative and policy environment on EVAW and other forms of discrimination is in place and translated into action; because (3) evidence shows that legislation must be backed up by resources, capacity & oversight in order for it to translate into practice

2. Favourable social norms, attitudes and behaviors are promoted at community and individual levels to prevent VAW

3. Women and girls who experience violence are empowered to use available, accessible and quality essential services and recover from violence

Percentage of people who think it is never justifiable for a man to beat his wife, by sex

- Ilf (1) community mobilization and education strategies are carried out in an integrated manner based on a shared understanding and approach in line with international standards and evidence on preventing VAW; then (2) favourable social norms, attitudes and behaviours are promoted at community and individual level that prevent VAW; because (3) evidence shows that multi-pronged prevention initiatives reinforce each other
- 3. Women and girls who experience violence are empowered to use available, accessible and quality essential services and recover from violence
  Percentage of women and girls who experience violence in the last 12 months who seek help form formal institutions; Percentage of victims of violence in the previous 12 months who reported their victimization to competent authorities or other officially recognized conflict resolution mechanisms

If (1) service providers have the capacity to deliver essential services in line with global standards and guidelines, if these services are made available and accessible to women, and if women understand and can exercise their rights to services; then (2); women who experience violence are empowered to use services an recover from violence; because (3) underlying barriers to women's access to services have been addressed.

Outputs

# 1.1. Women's voice and agency strengthened to advocate for the development and implementation of laws and policies on EVAW (capacity development, awareness raising and mobilization of women's organizations, parliamentarians, women's, health, education and justice ministries)

- **1.2.** Laws and policies are regularly reviewed, reformed and resourced to conform with international human rights standards and evidence (technical assistance to review/reform laws, adequate budget allocations, oversight mechanisms to monitor implementation)
- **1.3.** Capacity of institutions to implement legislation on EVAW and other forms of discrimination strengthened (support to institutions, notably in the police, justice, health and education sectors to translate laws and policies into practice through codes of conduct, risk assessment processes and other tools, reporting mechanisms, awareness-raising programmes, etc.).
- 2.1. Prevention strategies and action plans in line with international human rights standards developed and implemented by UN and national actors in a coordinated manner (Global framework on the prevention of VAW is developed, publicized and adapted for implementation at country level based on national context)
- **2.2.** Women, girls, men and boys at community and individual level are mobilized in favour of respectful relationships and gender equality (community mobilization targeting both men and women, boys and girls, and other stakeholders, including traditional and faith leaders; specific focus on groups of women and girls who face multiple forms of discrimination, use of the media, theater, and other forms of entertainment, outreach by civil society, set up of peer-to-peer groups, finding influential 'champions').
- **2.3.** Educational curricula and programmes addressing gender equality and VAW are developed and integrated into formal and non-formal education (development of educational curricula and programmes that promote gender equality and human rights, respectful relationships and non-violent communication skills, technical assistance to ministries of education)

- **3.1** Global standards and guidelines for essential services are developed and integrated in service delivery (standards and guidelines service provision across sectors developed and made available).
- 3.2. Capacity of service providers (health, police and justice, social services) to provide quality, coordinated services, to hold perpetrators to account in line with due diligence standards and collect and use data in an ethical manner is strengthened (training to meet level of quality service, and technical areas area (including gender equality and data collection and analysis), peer-to-peer learning, South-South exchanges etc.)
- 3.3. Availability and accessibility of services to women and girls who were subject to violence improved in the case of gender-related killings support to victims' families strengthened (geographic, including in urban and remote areas, linguistic and financial accessibility of services, particularly for vulnerable/marginalized women, including indigenous, women living with HIV/AIDS etc. See Access to Justice TOC)
- **3.4.** Women understand and can exercise their rights to quality services (awareness raising and outreach efforts on laws, access to services, and recourse mechanisms through women's organizations, media campaigns, public information at police, justice, health and education facilities)

Key Assumptions - Political will and commitment to EVAW as a national priority

- An integrated/multi-pronged approach to prevention is effective to change social norms and attitudes;
- -Changes in attitudes and beliefs will result in changes in behaviors.
- Quality services will increase women's confidence in seeking support and increasing their access to such services;
   Commitment and resources to collect data and coordinate services.

- As

- Political statements are not translated into action; Legislation not implemented due to lack of capacity and budget allocations; - Harmful social norms and attitudes limit women from advocating for laws on EVAW
- -Lack of political will; -Lack of technical and financial resources; Limited evidence on what works for preventing VAW; Deeply entrenched harmful social norms resistant to change.
- Limited govt. and non govt. services available in countries (health / police / justice and social services); -Lack of technical and financial resources to improve service delivery; Harmful social norms / fear prevent women from seeking services

Risks & Barriers

# Flagship Brief Safe Cities and Safe Public Spaces

## I. BACKGROUND

### Assessment

Sexual harassment and other forms of sexual violence against women and girls : Despite affecting millions of women and girls in every country of the world, (SVAWG) in public spaces is a universal issue. Women and girls experience and SVAWG in public spaces has been long tolerated and perceived as a "normal" fear various types of SV in public spaces ranging from unwanted sexual remarks apart of social life. This reflects discriminatory attitudes and behaviours that perand groping, to rape and gender-related killings. It occurs on streets, on buses petuate gender stereotypes and inequality and acts as a structural barrier to the circumstance is achieved through the four outcomes below. and trains, near schools, in parks, public toilets, fields, at markets, and water and food distribution sites, and in diverse settings (urban, peri-urban, rural, conflict or post conflict, etc.).

In London, a study conducted, in 2012 reveals that 43% of young women have experienced some form of street harassment in the past year. In Port Moresby, a scoping study<sup>2</sup> reveals that over 90% of women and girls have experienced some form of SV when accessing public transportation. In Kigali, baseline study<sup>3</sup> shows that 55% of women reported that they were concerned about going to educational institutions after dark.

The reality of SVAWG in public spaces infringes upon the rights and freedoms of women and girls as equal citizens. It reduces women's and girls' ability to participate in school, work and in public life. It limits their access to essential services and enjoyment of cultural and recreational opportunities. It negatively cities and countries. Women and girls living in poverty or from socially excluded and stigmatised groups (indigenous, migrants, immigrant, living with disability, displaced women and girls, etc.) are at greater risk of SVAWG and more vulnerable to its negative effects.

The Beijing Platform for Action (1995) distinguished VAW as one of the 12 critical areas for achievement of GEWE. In 2013, the CSW57 specifically identified various forms of sexual harassment and SVAWG in public spaces as a distinct area of concern, and called on governments to prevent it through various measures and programmes. This call should be further affirmed in the currently pro-Goals and targets, specifically under goal 5 (gender equality) and 11 (making cities and human settlements inclusive, safe, resilient and sustainable).

## **Analysis**

recognition, prevention and adequate response to SVAWG. As a result, SVAWG, especially sexual harassment in public spaces, remains a largely neglected issue. \$1) Gender-responsive locally relevant and owned interventions identified:

The under-recognition of SVAWG in public spaces is reinforced by the universal gap in availability of specific, valid, comparable, systematically and ethically collected data and the limited capacity of local governments, NGOs, and other stakeholders to produce it.

and policies to prevent and respond to SVAWG in public spaces. While some promising initiatives have been developed to address women's safety in public spaces in the last 40 years, they have been limited in scale and scope. These initiatives have often consisted of stand-alone, fragmented activities (such as 2) Comprehensive legislation and policies to prevent and respond to SVAWG women's safety audits.4 and awareness-raising campaigns). The lack of a holistic, multi-sectoral approach has exacerbated the already existing lack of coimpacts their health and well-being, and the economic and social viability of operation among different stakeholders (local authorities, women's, men's and youth grassroots, the private sector, media, etc.) to design and implement safe public spaces programmes.

> Limited capacity and the lack of dedicated measures to strengthen the capacities of the main stakeholders to prevent and respond to SVAWG in public spaces, is another key challenge.5

Unsurprisingly, the lack of recognition and cooperation as well as unsupportive policies and limited capacities have translated into an underinvestment in the planning (both physical and social) and management of public spaces, urban deposed indicators to monitor implementation of the Sustainable Development : velopment, and safety and security strategies, resulting in public infrastructure : that is unsafe for women and girls.

> As a result of these deficiencies, the global normative framework is also limited and does not provide required guidance for programming, policy development and monitoring.

## 4. Women in Cities International (WICI), Red Mujer y Hábitat de América Latina and Huairou Commission. (2008) The Global Assessment on Women's Safety. Kenya: UN Habitat

## **Action**

\* For participating cities in the Safe Cities and Safe Public Spaces Flagship, transformative change- towards increased recognition of SVAWG in public spaces and its impact, and willingness to end it through multi-stakeholder partnership

- Local ownership is the cornerstone for this flagship. This outcome focuses on building this ownership by creating multi-stakeholder partnerships and providing the evidence-base for stakeholders to identify solutions. The scoping study is an essential component. It is designed to provide valid and specific data to ensure a deep understanding of local forms of SVAWG in public spaces and the context in which it occurs. Programme design workshops are used This contributes to a legal environment that simply does not have the legislation \$\displaystar{1}\$ to engage key stakeholders in active reflections on the findings of the scoping study, and to enable them to develop programmes with a specific set of results, based on the local context, vision, and joint stakeholders' accountability.
  - in public spaces: The capacity of local stakeholders will be assessed and enhanced to ensure that comprehensive legislation and policies to prevent and respond to SVAWG in public spaces are developed, and effectively implemented including strengthening the capacity of services providers and institutions.
  - 3) Investments in the safety and economic viability of public spaces: including public infrastructure and economic development and with special concern for creating economic opportunities for women in the renewed public spaces. This includes building capacity of local governments to invest in engendering urban planning, including public infrastructure, such as markets, public transport, street lighting, social services, sanitation,, that provide safety, and economic empowerment for women and girls and benefits for the communities.
  - 4) Social and cultural transformation to ensure that attitudes and behaviours related to women's and girls' rights to enjoy public spaces free from SV improved, including through activities at community, institutional and individual level, engaging girls and boys and other influential champions in transformative activities in schools, and other venues that promote respectful gender relationships, gender equality and safety in public spaces, etc.

<sup>5.</sup> UN Guidelines for the Prevention of Crime (2012)

<sup>1.</sup> Ending Violence Against Women Coalition (2012) 2. Kofi Annan (2015)

<sup>2.</sup> UN Women (2014) Safe Public Transport Scoping Study

<sup>3.</sup> UN Women (2013)

## II. DRAFT THEORY OF CHANGE: Safe Cities and Safe Public Spaces for Women and Girls

A TOC by nature encompasses the actions required by all partners to achieve a transformative change. The aim of the TOC is to help UN Women identify these actions and strategic partnerships. Consequently this draft TOC reflects interventions beyond those that UN Women will do by itself.

Goal

proposed by UN Women: Proportion of women and girls subjected to physical or sexual harassment, in public spaces, in the last 12 months, sites of intervention

Women and girls are socially, economically and politically empowered in public spaces which are free from sexual harassment and other forms of sexual violence. SDG indicators: Goal 5, target 2 (proxy): Proportion of women and girls subjected to sexual violence by persons other than an intimate partner, since age 15 (in public spaces, sites of intervention); Goal 11 target 7 (proxy)

If (1) gender-responsive, locally relevant and owned interventions are identified on creating safe public spaces (SPS); if (2) comprehensive policies to prevent and respond to sexual harassment (SH) and other forms of SVAWG in public spaces are developed and implemented; if (3) investments in the safety and economic viability of public spaces of public spaces are effective and accessible to all; and if (4) social norms related to women's and girls' rights to enjoy public spaces free from SVAWG are improved; then (5) women and girls are socially, economically and politically empowered in public spaces; because (6) the risks of SH and other forms of SVAWG have been reduced through sustainable local solutions.

Outcomes

1. Gender responsive locally relevant and owned interventions identified (Safe city partnerships in place and inclusive of women in decision-making positions and accountability mechanisms; Programme Document, M&E Framework available and validated by local stakeholders)

2. Comprehensive legislation and policies to prevent and respond to SVAWG in public spaces in place and effectively implemented (Number of laws, policies to prevent and respond to SVAWG in public spaces that are informed by the local evidence and by international good practice are in place and implemented)

3. Investments in the safety and economic viability of public spaces, including public infrastructure/ economic development are effective (Number and type of urban depublic spaces in the sites of intervention that are assessed as economically viable and safe from SVAWG)

4. Attitudes and behavior related to women's and girls' rights to enjoy public spaces free from SVAWG improved (Proportion of women and girls, men and boys who agree that harassment and velopment/revitalization/economic development projects in violence in public spaces is not acceptable under any circumstances, in the sites of intervention)

If (1) local authorities and community stakeholders have the capacity to engage in evidence based programming; if multi-stakeholder partnerships are established; and if data about SVAWG in public spaces is locally generated and gender expertise is available; then (2) gender responsive locally relevant, owned and sustainable solutions will be identified: (3) because evidence and the views of all stakeholders will have been have been leveraged.

If (1) local authorities, women's and community groups have the capacity to engage in policy reform to prevent and respond to SVAWG in public spaces; if adequate financing and oversight mechanisms are in place; and if the capacity of service providers to implement policies is enhanced; then (2) policies to prevent and respond to SVAWG in public spaces will be effective; because (3) they will be locally owned and supported by the required human and financial resources

If (1) local authorities, women's and community groups have the capacity to engage in the formulation of gender inclusive plans to improve safety; if adequate financing and oversight mechanisms are in place; and if the capacity of service providers to implement gender inclusive plans is enhanced; then (2) investments in the safety, and economic viability of public spaces will be effective; because (3) they will be informed by designs that are gender sensitive and supported by the required human and financial resources

If 1) women and girls and men and boys participate in community mobilization strategies; if they are empowered to assert their rights; and if messages on preventing SVAWG are amplified by education and the media; then (2) transformative social norms, attitudes and behaviours that prevent SVAWG are promoted at community and individual level; because (3) evidence shows that multi-pronged prevention initiatives reinforce each other.

Outputs

1.1 Capacity of local authorities, women's and community groups to develop comprehensive interventions for prevention and response to SVAWG in public spaces in coordination with other stakeholders enhanced (conceptual approaches, key steps in integrated evidence-based policy and programme action on SC/SPS)

1.2 Multi-stakeholder partnerships established (vertical and horizontal partnerships established with mayors' offices, women's organizations, local authorities, private sector, media, civil society etc, consultations conducted; consensus building)

1.3 Local data collected, analyzed and informed participatory programme design shared with multi-stakeholder partners (scoping study/local diagnosis informed Programme Document, baseline, RBM and M&E frameworks; access to good practices, gender experts in various fields e.g urban planning, SVAWG, etc.)

2.1 Capacity and engagement of women's and community groups on model SVAWG legislation and policy enhanced (capacity assessments conducted, training, for awareness raising, community mobilization)

2.2 Capacity and engagement of local authorities and service providers on model SVAWG legislation and policy enhanced (capacity assessments, training, support provided)

2.3 Laws and policies adopted to prevent and respond to SVAWG based on local evidence and international good practice with accompanying financing and oversight mechanisms (assessment and adoption of laws and policies, budgets allocated, financing and M&E in place)

2.4 Capacity and engagement of service providers to implement legislation and policies strengthened (capacity assessments to identify capacity gaps of local service providers (police, justice, health, education sectors) trainings provided)

3.1 Capacity and engagement of women's and community groups in gender inclusive site-planning and income generation enhanced (capacity assessments, women's safety respond and prevent it, enhanced (capacity gap analysis on unaudits, business plans development trainings)

3.2 Capacity of authorities and other stakeholders to devel op and implement gender inclusive public infrastructure/ economic development plans is enhanced (capacity assessments, training that responds to capacity gaps, including on women's economic empowerment in public spaces)

3.3 Gender inclusive plans that include public infrastructure/ economic development, inclusive of women's economic empowerment in public spaces, and other measures in place with accompanying financing, and oversight mech- 4.3 Transformative Initiatives developed and integrated into anisms (capacity assessments conducted to identify gaps; budgets allocated, incentives & leveraging of partnerships with the private sector, M&E mechanism)

4.1 Capacity of women and girls and men and boys to recognize SVAWG in public spaces as violation of women's rights, to derstanding the root causes of SVAWG in public spaces, bystander role; trainings through women's organizations, civil society, government, etc. special focus on groups facing multiple discriminations )

4.2 Women, girls, men and boys at community level are mobilized in favour of respectful relationships, gender equality and safety in public spaces (community mobilization activities engaging men and women, boys and girls, and other stakeholders, including faith leaders, formal and informal key influencers, media, local businesses, set up of local-to-local dialogues, bystander programmes, etc).

formal and non-formal education & media messaging (development of curricula and programmes (arts based strategies) that promote gender equality and human rights, respectful relationships and non-violent communication skills, technical assistance to departments of arts, culture, educations, etc.).

**Assumptions** 

Stakeholders will become committed on a continuous basis to working in a collaborative manner in policy and programme action as a result of engagement in partnership building and in learning about the impact of SVAWG on their lives and on the community

- Sustained commitment from duty bearers to improving laws and policies and implementation framework for EVAWG;
- Local authority and other main partners continuously committed to learning from global good practice incorporating gender equality and committing to EVAW
- Implementation partners have time and versatile expertise to raise awareness and train stakeholders - Local government leadership ensures that staff complete training
- Turnover of staff does not affect the implementation of programmes and strategies to prevent and respond to SVAWG

- An integrated/multi-pronged approach to prevention is effective to change social norms and attitudes

- Men and boys and women and girls interested in understanding their rights and entitlements

Barriers

- Acquired capacity and knowledge not translated in transformative action; -Action adopted is not women's rights and human-rights based - Data on SH/SV created negative publicity for a city which could affect the level stakeholder commitment.

- Changes in local authorities that may affect the degree of political will and commitment, as well as turnover of technical-level counterparts in the civil service. -Depending on the context and degree of decentralization in the country, the lack of budgetary authority of local governments. This can hamper efforts to support local government
- Positive changes and investments in the physical environment and overall safety of the areas of programme intervention may increase the value of land and housing, especially in the long-term. This can trigger gentrification and consequent out-pricing of the marginalized and the poor with a more wealthy population
- Social and cultural attitudes, and political will cannot be changed in favor of equal rights; -Equal rights are not translated into practice.
  - Changes that advance the right to enjoy public spaces for some groups of women may negatively and inadvertently affect the same rights for other disadvantaged/socially excluded women and men

# UN Women Strategic Plan Impact Area 4: Peace, Security, and Humanitarian Action (PSH)

Goal 4 of UN Women's Strategic Plan is that 'peace and security and humanitarian action are shaped by women leadership and participation'. The three Flagship Programming Initiatives under this impact area closely interlinked. Together, they build synergies over the humanitarian-development continuum by strengthening women's agency, leadership and participation to rebuild their lives in situations of crisis, conflict and disaster.



The table below illustrates the contribution of the Flagship Programming Initiatives under Impact Area 4 to specific outcomes in the Strategic Plan.

STRATEGIC PLAN	PLAN FLAGSHIP PROGRAMMES		
	Women's Engagement in Peace, Security & Recovery	Women's LEAP in Crisis Response	Addressing the Gender Inequality of Risk
<b>Outcome 4.1</b> Women, Peace and Security commitments and accountability frameworks adopted and implemented in conflict and post-conflict situations	Outcome 1. An enabling environment for implementation of WPS commitments is created		
Outcome 4.2 Peace talks, recovery, conflict resolution and peace building planning processes and transitional justice processes include provisions on women's rights, participation and protection	Outcome 2. Conflict Prevention: Women participate in and inform decision-making processes & responses related to conflict prevention Outcome 3. Conflict Resolution: Representation and leadership of women is increased in formal and informal peace negotiations Outcome 4. Protection: Women and girls' safety, physical and mental health and security are assured and their human rights respected		Outcome 1. Gender dimensions of disaster risk, particularly in terms of women's vulnerability, capacity & exposure to hazards is assessed Outcome 4: Women's capacity to prevent, prepare for, and recover from natural hazards in a changing climate is strengthened
<b>Outcome 4.3.</b> Gender equality commitments adopted and implemented in humanitarian action which includes disaster risk reduction and preparedness, response and early recovery	Outcome 5. Peacebuilding & Recovery: The socio-economic recovery & political participation of women and girls are promoted in post-conflict situations	Outcome 1: Humanitarian/crisis response planning, frameworks and programming are gender inclusive & responsive; Outcome 2: Protection and economic opportunities for women in temporary shelters & in host communities displaced by sudden onset emergencies is promoted; Outcome 3: Positive coping mechanisms are promoted for marginalized women and girls affected by protracted & slow onset crises	Outcome 2: Gender equality commitments adopted and implemented in humanitarian action which includes disaster risk reduction and preparedness, response and early recovery
<b>Outcome 5.1</b> National Development Strategies and other national sectoral plans with specific commitments to advance gender equality and women's empowerment adopted and implemented			Outcome 3: The financing gap for gender-re- sponsive prevention, preparedness & recovery is addressed

## Flagship Brief Women's Leadership, Empowerment, Access & Protection (LEAP) in Crisis Response

## I. BACKGROUND

### **Assessment**

A total of 59.5 million people were displaced in 2014 due to violence or persecution, while an estimated 107.3 million people were affected by disasters caused by natural hazards. Of the 80 million who were in need of humanitarian assistance, more than 75 per cent were women and children.<sup>2</sup> In addition to being most affected, women and adolescent girls are also the main caregivers and among the first responders, holding their families and communities together.

The Inter-Agency Standing Committee (IASC) Policy on gender emphasizes the importance of humanitarian assistance on meeting the needs of the most vulnerable women. Providing targeted livelihood and protection support to women in displaced settings also has a ripple effect on the wellbeing of their families and communities. Research shows that women's active participation in economic life makes peacebuilding and recovery efforts more sustainable.<sup>3</sup>

However, while accurate figures on how much humanitarian assistance responds equally to the needs of women and men and leads to gender-related outcomes is difficult to assess due to minimal reporting against the gender marker, data show a persistent gender bias and under-investment in gender equality. According to the Global Humanitarian Assistance Report (2015), the proportion of humanitarian projects making a 'significant' or 'principal' contribution to gender equality fell from 24% in 2012 to just under 20% in 2014. In 2012, only 3 per cent (USD 257 million) of the USD 8.4 billion total in humanitarian funding was allocated to programmes focused on gender equality.

At the same time, crises are becoming more protracted. The average length of time that people worldwide who have been displaced by crisis has increased to a staggering 17 to 25 years.4 However, aid in protracted crisis tends to rely on short-term solutions to long-term problems and offers few options for women to rebuild their lifes and empower them as providers for their households.

## **Analysis**

The aim of humanitarian assistance is first and foremost to save lives of those: This flagship programme improves the gender-responsiveness of humanitarian most in need. It is based on the principles of Humanity, Neutrality, Independence action by: and Impartiality.<sup>5</sup> However, a gender blind delivery of assistance is seldom gender neutral because of the structural and emerging new barriers faced by women and in Ensuring that humanitarian/crisis response planning, frameworks and procan, unwittingly, results in gender descrimination.

of the response, women's needs and rights are often overlooked. Insufficient time and emphasis is placed on conducting gender assessments and analyses. This make it impossible for humanitarian action to adequately understand and respond to the distinct needs and vulnerabilities of women and girls.

communities face a range of distinct challenges and protection issues. These include: higher risks of sexual and gender-based violence, limited mobility to acresponsibilities, or discrimintaory social norms. For example, food that is distributed in mixed male/female lines will exclude women from certain cultures. to sexual assults. Women who have lost husbands face additional challenges \* and responsibilities as heads of households and are exposed to higher risks of exploitation.

Where gender assessments do take place, there is a lack of engagement of women's groups due to capacity, cultural, security and/or political barriers. Local women's groups play a marginal role overall in the humanitarian response, notably in camp management and coordination. Finally, the short-term and unpredictability nature of humanitarian assistance, the limited availability of development finance, and the lack of appropriate programming instruments to address protracted crises and prolonged displacement results in a collective failure to empower women and increase their resilience to future shocks.

# **Action**

- gramming are informed by gender analysis and needs assessments: This will include providing tools and guidance on gender analysis and on strengthening the Because of the life-saving nature of humanitarian assistance and the urgency agency, voice and capacities of women's machineries, civil society and gender advocates to engage effectively in humanitarian planning and programming. It will also leverage UN Women's coordination mandate in favour of ensuring women and girls' needs and priorities are fully integrated into humanitarian assistance.
- However, displaced and vulnerable women and girls living in camps and host ii) Increasing access to protection and economic opportunities for vulnerable and displaced women affected by sudden onset emergencies through safe/social spaces and income generation opportunities. These social spaces promote cess humanitarian assistance and services due to physical insecurity, childcare a positive environment for awareness raising; access to multi-sectoral services and referral mechanisms including prevention and response to SGBV; women support groups; and child-care services. The safe spaces also promote empow-Similarly, latrines for women without sufficient light make women vulnerable : erment by facilitating access to skills training, cash-for-work and livelihoods
  - (iii) Bridging the humanitarian/ development divide in protracted & slow onset crises by promoting positive coping mechanisms, and sustainable livelihoods for marginalized women. Converting the safe/social spaces into eonomic empowerment hubs, this approach involves strengthening women's access to productive assets and skills, supporting their leadership in community life and decision making, and targeting gender-specific structural barriers and discrimination rooted in prevailing social norms and attitudes. Providing economic opportunities to women in situations of protracted displaced settings strengthens their resilience and empowerment.

http://www.unwomen.org/en/digital-library/publications/2015/7/the-effect-of-gender-equality-programming-on-humanitarian-outcomes

https://docs.unocha.org/sites/dms/Documents/OOM HumPrinciple English.pdf

<sup>1.</sup> United Nations Office of the High Commissioner for Refugees, "Global Trends Forced Displacement", 2014 (p.2)

<sup>2.</sup> Women Refugee Commission: http://womensrefugeecommission.org/about/howwe-work.

<sup>3.</sup> UN Women Research:

<sup>4.</sup> United Nations Report of the Special Rapporteur on the human rights of internally displaced persons, 2015

<sup>5.</sup> UN OCHA:

## II. DRAFT THEORY OF CHANGE: Women's Leadership, Empowerment, Access & Protection (LEAP) in Crisis Response

A TOC by nature encompasses the actions required by **all partners** to achieve a transformative change. The aim of the TOC is to help UN Women identify these actions and strategic partnerships. Consequently this draft TOC reflects interventions beyond those that UN Women will do by itself.

	in Crisis Response	Consequ	uently this draft TOC reflects interventions beyond those that UN Women will do by itself.				
Goal	Women and girls affected by crisis will lead, participate in, and benefit from relief and response efforts  Key indicators: % of funding allocated to gender-responsive actions; % of women benefiting from the response						
Goal TOC State- ment	If (1) humanitarian planning and programming are gender responsive; if (2) relief efforts prioritize the participation, safety and economic wellbeing of women displaced by sudden onset emergencies; and if (3) the response promotes positive coping strategies for marginalized women who continue to be affected by protracted crises; then (4) women and girls affected by crisis will play a leadership role and benefit from relief and response efforts; because (5) their rights and needs will be at the center of humanitarian assistance.						
Outcomes	1. Humanitarian/crisis response planning, frameworks and programming are gender inclusive & responsive % of projects with gender marker 2a and 2b; % of women participating in relief planning	2. Protection and economic opportunities for women in temporary shelters & in host communities displaced by sudden onset emergencies (conflict/disease outbreak/disaster) is promoted # of women participating in camp coordination structures; % change in income of displaced women in temporary shelters and in host communities; % change in incidences GBV of displaced women in temporary shelters and in host communities	3. Positive coping mechanisms are promoted for marginalized women and girls affected by protracted & slow onset crises (conflict/disaster, temporary shelters & host communities) % change in income of marginalized women; % of FHH and marginalized women with access to support services				
Outcome TOC	If (1) women's needs inform humanitarian planning and programming; and national, UN, and civil society organizations have the capacity and opportunity to integrate gender equality into planning and programming; then (2) humanitarian planning and programming will be gender inclusive, responsive and promote women's empowerment; because (3) experience shows that explicit involvement of women in the design and implementation of humanitarian assistance facilitates meeting their needs	If (1) women who have been displaced by sudden onset emergencies are meaningfully engaged in the humanitarian relief; if they have access to productive and financial assets, protection mechanisms, and effective support services; then (2) their safety and economic wellbeing will be promoted; because (3) the relief effort will have been engendered.	If (1) marginalized women and girls affected by protracted and slow onset crises have access to the required assets, skills and partnerships; and if social services and practices are supportive; then (2) marginalized women and girls will be able to cope positively with protracted and slow onset crises; because (3) their absorptive capacity has been strengthened				
Outputs	<ul> <li>1.1. Gender analysis and assessments conducted to inform multi-sectoral humanitarian/crisis response planning and programming (guidance &amp; tools on gender analysis and assessments made available to UN and govts; analysis / assessments conducted, budgetary allocations assessed; disaggregated data collected)</li> <li>1.2. Increased capacity and effective engagement of women &amp; gender machineries in humanitarian planning, response frameworks and programming (organizational mapping, capacity development, engagement with women, networking and coalition building, increasing access and participation of affected women to planning and programming)</li> <li>1.3. National, UN &amp; aid coordination mechanisms strengthened to identify, address and monitor the needs of women and girls (technical assistance, trainings, surge support, disaggregated data collected).</li> </ul>	<ul> <li>2.1. Increased leadership and engagement by displaced women in relief efforts &amp; decision making (promote participation and leadership opportunities for women in relief distribution, monitoring &amp; coordination committees)</li> <li>2.2. Increased access to effective services &amp; protection mechanisms through social spaces in temporary shelters and in host communities (establish centers in temporary settings; identify centers with host governments, awareness raising, reduce stigma for survivors capacity development of service providers for multi-sectorial services, including prevention and response to SGBV, promote existing referral mechanisms, create women support groups, provide child-care services)</li> <li>2.3. New sources of income and economic opportunities created through targeted cash for work &amp; development of capacities and skills (identify and create partnerships with potential buyers/employers in camps/temporary settings and host communities, provide targeted cash for work in return for relevant services/products provided, transfer productive assets to women cooperatives in camps/temporary settings and host communities, vocational training based on identified market needs, business development skills)</li> </ul>	3.1. Marginalized women (including displaced and host) have increased access to the required assets, skills and partnerships (identify and create partnerships with potential buyers/employers, transfer start-up packages, including productive assets and micro-finance, vocational training based on identified market needs, business / entrepreneurship development, finance / accounting skills)  3.2. Capacity of service providers to offer support services to marginalized women and girls strengthened (capacity development of service providers to offer support services including child-care, safe/social spaces for survivors of GBV, mental and psycho-social services etc. Services provides may include civil society and local government).  3.3. Promoting positive attitudes and behaviours of men and boys towards gender equality (assess the root causes of attitudes & behaviours; develop advocacy strategies; awareness raising; outreach to community/religious leaders, capacity development of CSOs to engage men and boys; use of media)				
Key Assumptions	<ul> <li>Political will amongst government, UN, and international actors to support and promote planning, response frameworks and programming that integrate gender equality and women's empowerment.</li> <li>Supportive social and cultural norms and practices.</li> </ul>	- Host governments permit displaced women to immediately access income generation schemes and social spaces in camp and non-camp settings when there has been an onset of a sudden emergency, without balancing the need to invest in host communities in the short-term - UNW able to leverage its coordination mandate and convening role to mobilize service providers	- Host governments/communities require investments in host communities when the crisis becomes protracted and return of displaced women is not possible; Service providers are supportive of gender-responsive service delivery				
Risks & Barriers	- Recommendations from gender assessments are not adopted or prioritized due to political, social or financial barriers.	<ul> <li>Income generation schemes do not translate into higher incomes due to intra-household imbalances.</li> <li>Tension between men and women increase due to lack of opportunities for men and boys.</li> <li>Social and cultural attitudes and behaviours towards ending GBV cannot be changed.</li> <li>Insecurity limits movement.</li> <li>Stigma / cultural barriers limit survivors from coming forward.</li> </ul>	Income generation schemes do not translate into higher incomes due to intra-household imbalances and limited local markets. – Tension between men and women increase due to lack of opportunities for men and boys. – Social, cultural, political barriers towards promoting positive coping mechanisms for marginalized women – Insecurity limits movement. – Stigma / cultural barriers limit survivors from coming forward				

from coming forward.

# Flagship Brief

## Addressing the Gender Inequality of Risk & Promoting Community Resilience to Natural Hazards in a Changing Climate

## I. BACKGROUND

## Assessment

The risks associated with natural disasters, food price hikes, pandemics, pro- : Four key factors explain the persistence of the gender inequalities of risk over : The Sendai Framework offers an opportunity to address the underlying causes tracted crises are increasing faster than attempts to prevent, mitigate and reduce them. Since 1970, the number of people exposed to floods and tropical \* still not well understood. Limited technical capacity at the national and local \* gard: cyclones has doubled. In the past decade, disasters have continued to exact a level has resulted in a lack of sex and age disaggregated data (SAAD) and genheavy toll across the world with over 700 thousand lives lost, 1.7 billion people der analysis of disaster risks. This continues to impede proper understanding 1. Assess the gender dimensions of disaster risk in a changing climate through: affected and economic losses of US\$ 1.4 trillion<sup>2</sup>. The increasing effects of cli- and accurate response and development strategies. Sixty-two of 70 reporting (i) increased participation of women in disaster risk assessments by strengthenmate change exacerbates disaster risks and disproportionately affects the most vulnerable. UNISDR assessed that in the past 10 years 87% of disasters have been climate related, and this number is expected to grow.

Women and girls will be particularly affected by this expected increase in extreme weather events. The multiple discriminations that women face – in education, health care, employment, and control of property – are key underlying drivers that inevitably make women more vulnerable in crises and post disasters opportunity cost for women 4. situations. Research shows that women are more at risk of being affected by disasters and their aftermaths. In 1991 the cyclone in Bangladesh killed 140,000 people. Within the age group 20-44, the female death rate was 71 per 1000, compared to 15 per 1000 for men.

Little progress has been made in addressing this dramatic gender inequality of risks over the past 25 years. For example, more than 70% of the fatalities from the 2004 Asian tsunami were women. Similarly, when Cyclone Nargis hit the Ayeyarwaddy Delta in Myanmar in 2008, the death rate of those aged 18 to 60 en and 100% of married women lost their main source of income.3 The death toll for women and girls following the devastating earthquake in Nepal in 2015 was 55%.

This lack of progress on addressing the underlying risk drivers has been recog- Finally, the lack of women's active participation, particularly at local level in nized by the international community and new normative framework. The Sendai Framework for Disaster Risk Reduction (DRR) 2015-2030 calls for a paradigm shift through dedicated action to tackle underlying disaster risk drivers and as a : frameworks. matter a principle including gender in all policies and practices.

## Analysis

the past two and half decades. First, the gender dimensions of disaster risk are of the gender inequalities of risk. The following actions are required in this recountries within the mid-term review of the Hyogo Framework of Action did ing their capacities to understand risks in a changing climate; (ii) ensuring that not collect gender-disaggregated vulnerability and capacity information from data collection instruments and tools are gender sensitive to enable collection 2009-2011. There is also no systematic collection of SAAD in the analysis on of SADD; and (iii) undertaking gender specific disaster risks assessments in ordamages and losses caused by disasters. These are usually recorded in terms: der to understand women's vulnerabilities, capacities and exposure to hazards. of productive resources, which tend to be owned by men. Losses in the infornot often recorded leading to a substantial under valuation of the impact and

Second and partly as a result, little political attention is given to the development and implementation gender-responsive DRM policies. There is also a disconnect between institutions promoting gender equality and national disaster risk management mechanisms. Ambiguity in terms of accountability<sup>5</sup> is another reason leading to gender equality becoming an "add on" effort largely isolated from general development efforts. This undermines a comprehensive, multi-sectoral approach that is needed to support the most marginalize.

for women was double that of men, and an estimated 87% of unmarried wom- 3 Third, this lack of political commitment translates into inadequate resources allocated to address the structural drivers of gender inequality that would reduce women's vulnerability and increase their resilience to disasters. Limited public 🕯 creased gender responsive private sector investment in prevention, preparedfinance for social protection and insurances are particularly problematic.

> disaster risk management, perpetuates the status quo and impedes progress towards implementation of gender equality commitments in the normative

# **Action**

- mal sector and subsistence farming where women are over represented are : 2.Support national DRM policy and governance to implement gender responsive DRM framework by: (i) developing specific policy response that address gender inequality of risk in a changing climate and it is duly integrated in the mainstreamed DRM policy; (ii) ensuring inter-sectoral and multilevel coordination mechanisms for DRM are established to enhance gender responsiveness; and (iii) monitoring the effectiveness of DRM on reducing gender specific disaster risks through relevant frameworks.
  - 3. Address financing gap for gender-responsive prevention, preparedness & recovery through: (i) increased dedicated budget allocations at the national and local levels to respond to gender specific prevention, preparedness and recovery needs in productive, social infrastructure and services sectors; (ii) increased access of women to appropriate financing products such as social protection and insurances as mechanisms for risk transfer, risk sharing etc.; and (iii) inness and recovery in a changing climate including urban development plans, enforceable building codes and standards etc.
  - 4. Strengthen women's capacity to prevent, prepare for, and recover from natural hazards in a changing climate by: (i) ensuring that early warning and early action systems are gender responsive: (ii) increasing women's engagement in preparation of local disaster preparedness plans through supporting their technical capacities; and (iii) ensuring that women have equal access to, and protection of basic local infrastructure and services to enable their recovery in a changing climate.

<sup>1.</sup> UN ISDR (2011) "Global Assessment Report", p.32.

<sup>2.</sup> Care =: talking emergencies in an era of climate disruption: reducing risk and building resilience for the poor and vulnerable.

<sup>3.</sup> Climate Change Connections: Women at the Forefront UNFPA, WEDO 2009, www.preventionweb.net/files/12053 climateconnections1overview1.pdf

<sup>4.</sup> Women, girls and Disasters, A review for DFID by Sarah Bradshaw and Maureen Fordham, 2013, Angeles Arenas Ferriz (2001) The Relevance of Considering a Gender Perspective in Damage Assessment and Recovery Strategies: A Case Study in El Salvador, Central America.

<sup>5.</sup> Towards the post-2015 Framework for Disaster Risk Reduction: Women as a force in resilience building, gender equality in disaster risk reduction, April 2014, A background Paper on gender inclusion in HFA2

## II. DRAFT THEORY OF CHANGE: Gender Inequality of Risk (DRM)

A TOC by nature encompasses the actions required by **all partners** to achieve a transformative change. The aim of the TOC is to help UN Women identify these actions and strategic partnerships. Consequently this draft TOC reflects interventions beyond those that UN Women will do by itself.

Goal	The gender inequalities of loss of lives and livelihoods is mitigated and the resilience of communities to natural hazards is enhanced in a changing climate  Key indicators: # of causalities, disaggregated by sex; # of displaced women; # of affected, disaggregated by sex, # women reached by preparedness. Guiding normative framework: Sendai Framework for Action						
Goal TOC State- ment	If (1) the gender dimension of risk is understood, if (2) the national framework for disaster risk management is gender responsive; if (3) the financing gap for gender-responsive disaster prevention, preparedness and recovery is reduced; and if (4) the capacity of women to prevent, prepare for, and recovery from hazards is enhanced; then (5) the gender inequalities of loss of lives and livelihoods will be mitigated and the resilience of communities to natural hazards will be enhanced in a changing climate; because (6) the gender inequality of risk is a root cause of vulnerability at the community level.						
Outcomes	1. Gender dimensions of disaster risk, particularly in terms of women's vulnerability, capacity & exposure to hazards is assessed (% of women involved in disaster risk assessments; # of gender-specific disaster risk assessments undertaken)	2. National DRM Policy and Governance is gender-responsive (% of policy responses that respond to gender-specific disaster risks)	paredness & recovery is addressed % of budget allocations	4. Women's capacity to prevent, prepare for, and recover from natural hazards in a changing climate is strengthened % of women involved development of preparedness plans; % change in women's access to local basic services			
Outcome TOC	If (1) women are engaged in disaster risk assessments, and if gender specific data is collected using specific methodologies; then (2) then a comprehensive, gender-responsive understanding of disaster risk is created; because (3) the gender differences of risk, particularly in terms of women's vulnerability, capacity and exposure, have been taken into account.	If (1) gender inequalities of risk are integrated into DRM policies and if required DRM governance coordination mechanisms are put in place to implement them and monitor their effects then (2) an enabling policy environment will be in place to support appropriate responses to specific gender inequalities of risk; because (3) national DRM framework will be gender responsive.	If (1) budget allocations finance gender-responsive prevention, preparedness & recovery needs, and if the appropriate regulations, and financing products, insurances and incentives are in place; then (2) the financing gap for gender specific disaster risks will be reduced; because (3) public and private sources of finance have invested in gender-responsive disaster risk reduction.	If (1) early warning systems and emergency preparedness plans respond to the identified local gender inequalities of risk, and if there is equitable access to, and protection of essential local infrastructure and basic services; then (2) women will be better prepared for, able to respond to, and recovery from disasters; because (3) community preparedness and recovery supports women build their resilience to disasters.			
Outputs	<ul> <li>1.1. Increased engagement by women in disaster risk assessments (capacity development of women &amp; women's organizations to understand and articulate the risks that affect them, linking women's machinery to disaster risk assessments at the local and national level)</li> <li>1.2. Data collection instruments and tools are gender-sensitive (include the gender dimension in data collection tools, collection of sex-disaggregated data)</li> <li>1.3. Gender-specific disaster risk assessments in a changing climate are undertaken (identify the gender-specific disaster risks, including women's vulnerability, capacities and exposure to hazards, identify the risks where women have a greater exposure, identify the risks which will have a greater impact of women; promote the use of local and indigenous women's knowledge and practices in DRM).</li> </ul>	<ul> <li>2.1. Specific policy responses that address the identified gender inequalities of risk in a changing climate are integrated into DRM policies (gender analysis of DRM policies to ensure they address identified gender-specific disaster risks, identification of additional responses required to address gender-specific disaster risks; engagement by women's machinery in developing policy responses; capacity development of national and local DRM policy making institutions).</li> <li>2.2. Inter-sectoral and multi-level coordination mechanisms for DRM established (mainstream and integrate DRM policies within and across all sectors, support local authorities to work with civil society, communities, indigenous people and migrants in DRM)</li> <li>2.3. Effectiveness of DRM on reducing gender-specific disaster risks is monitored (gender responsive monitoring frameworks put in place, tracking of the effect of DRM policies on reducing gender-specific disaster risks)</li> </ul>	3.1. National and local budget allocations to address gender-specific prevention, preparedness & recovery, particularly in productive and social infrastructure and services, are increased (costing of gender response instruments, gender marker, awareness raising among parliamentarians)  3.2. Appropriate financing products including social protection and insurances developed and made accessible to women (mechanisms for increased capacity, risk transfer and insurances, risk-sharing and retention, weather indexes)  3.3. Gender responsive private sector investment in prevention, preparedness & recovery is increased in a changing climate (secure land and property rights; enforceable land use and urban development plans; enforceable building codes, standards and inspection systems)	tial food and non-food suppliers; capacity development of women's organizations, local government).			
Key Assumptions	of risk; - Supportive social and cultural norms and practices that		- Domestic public resources are available for allocation towards prevention, preparedness and recovery; - Flexibility exists for local governments to allocate resources towards local prevention, preparedness and recovery; - Culture of compliance with regulations	- Broader efforts to improve women's access to basic infra- structure and services			
Risks & Barriers	- Appropriate responses to gender-specific risks are not adopted and implemented due to political, social or financial barriers.	- Appropriate responses to gender-specific risks are not adopted and implemented due to political, social or financial barriers.	- Poor quality of investments; limited awareness of public and private investors; lack of financial intermediation at the local level and credit scarcity; limited culture of compliance.	- Harmful social norms limit women from participating in early warning systems; - illiteracy amongst women limit their ability to read public information on disaster preparedness			

## Flagship Brief Women's Engagement in Peace, Security, and Recovery

## I. BACKGROUND

## **Assessment**

and nature than in the past. The numbers of displaced globally are higher than they have been since WWII, the spread of violent extremism globally means that no region is safe from the threat of violence, and large numbers of countries continue to lapse from momentary stability back into conflict.

In the past decade, research and practice has built an unquestionable evidence base that women's participation and inclusion makes humanitarian assistance more effective, strengthens the protection efforts of peacekeepers, improves prevention efforts against radicalization and the spread of extremism, and accelerates economic recovery through more diverse peace dividends. Evidence also shows that women's participation in peace and security processes results in a more sustainable peace, including through the conclusion of peace talks and . implementation of peace agreements.<sup>2</sup> Research has also found a correlation between inclusion and prevention of relapse into conflict. Specifically, peace agreements are 64 percent less likely to fail when civil society representatives participate.3

The right of women to full participation in all areas of peace and security decision making has been recognized by the UN Security Council since resolution 1325 was passed in 2000. This normative framework has since grown, with six successive resolutions reiterating the importance of women's leadership as well as protection needs in relation to conflict prevention, resolution and recovery.4 Despite the establishment of a robust policy and accountability framework, women's participation continues to be minimal in relevant forums. Only 3% of peacekeepers are women, 10% of UN police,<sup>5</sup> and as of 2012 fewer than 3% 3 of signatories to peace agreements are women.<sup>6</sup> While crisis and post-conflict transitions can offer new opportunities for profound social change, the contribution of women and girls to peacebuilding and consolidation of peace is often undervalued, under-utilized and under-resourced, leaving untapped an incredible tool for transformative change and sustainable peace.

## **Analysis**

idence on women's participation shows. Obstacles to its implementation however include social and cultural norms and attitudes; violence and insecurity; political will and leadership; capacity and expertise; and financing.

Attitudinal barriers are among the greatest obstacles to women's full participation. In the absence of a strong accountability framework, evidence shows : that it will not be possible to accelerate the WPS agenda. The strong normative framework and growing evidence base of the positive impacts of women's : frameworks and continued building of evidence based advocacy will create an participation on building inclusive and peaceful societies are important foundations, greater accountability, political will and leadership is needed to address; which includes national action plans, and the key actors in their implementaattitudinal barriers.

**Physical insecurity** - Even after the end of political conflict, women and girls continue to face significant threats to their physical security, including as a result • Women participate in and inform decision-making processes & responses refour times as likely as men to be targeted for intimidation in elections in fragile : to processes. and transitional states. This insecurity is exacerbated in a number of contexts by a rise in violent extremism and the specific targeting of women's rights to public life, over their own bodies, to education and to representation. Women's access to comprehensive justice is one important contributor to protection concerns.

Capacity and expertise - evidence and good practice has found that targeted deployment of gender and technical expertise can catalyze transformative : • The socio-economic recovery & political participation of women and girls are outcomes from peace and security decision making forums and secure more gender equal outcomes.

Financing - Aid flows tend to halt during conflict and are slow to materialize in the post-conflict transition when resources are most in need, in particular to build immediate peace dividends in areas such as women's access to justice and early recovery OECD data shows less than 2% of conflict context aid goes to meeting women's needs.7 Women's work on advocacy and service delivery in crisis response is rarely leveraged or financially supported by national and international humanitarian actors, despite evidence that they are often the only actors filling this role.

## **Action**

The evolving nature of peace and security threats today are of a different scale: The women, peace and security agenda provides a comprehensive agenda of: The gap in harnessing the capacities of women towards the goals of sustaincommitments, which if implemented would have transformative impacts as ev- able peace and security can be reduced through strengthened accountability frameworks, the creation of an enabling environment for participation, dedicated financing, building of capacity of all actors – including both women as well as gatekeepers, protection from violence, and targeted measures to benefit women during peacebuilding and recovery.

- An enabling environment for implementation of WPS commitments is created - addressing attitudinal and cultural biases through strong accountability enabling environment for implementation of commitments. These frameworks, tion, in particular women's organizations, must be adequately resourced and appropriate **financing** instruments in place (including the GAI).
- of sexual violence and other forms of gender-based violence, hampering their : lated to conflict prevention through provision of expertise, capacity strengthability to fully participate in recovery. In just one example, female voters are ening both of women as well as those involved as gatekeepers and supporters
  - Women and girls' safety, physical and mental health and security are assured and their human rights respected through in particular the strengthening of accountability mechanisms and justice and security responses which protect and redress women's rights and allow for their full participation.
  - promoted in post-conflict situations including through capacity strengthening of women and provision of technical expertise to ensure institutions and processes are gender responsive and adopt early recovery policies that further women's protection, participation and equal benefits

<sup>1.</sup> See, forthcoming Global Study on implementation of Security Council resolution 1325 (2000)

<sup>2.</sup> Thania Paffenholz, Nick Ross, Steven Dixon, Anna-Lena Schluchter, Jacqui True, "Making Women Count: Assessing Women's Inclusion and Influence on the Quality and Sustainability of Peace Negotiations and Implementation" (Graduate Institute of Geneva,

<sup>3.</sup> Desirée Nilsson (2014). See also Paffenholz, et al.

<sup>4.</sup> Resolution 1325 (2000) was followed by resolutions 1820 (2008), 1888 (2009), 1889 (2009), 1960 (2010), 2106 (2013) and 2122 (2013).

<sup>5.</sup> United Nations, Department of Peacekeeping Operations:

http://www.un.org/en/peacekeeping/issues/women/womeninpk.shtml

<sup>6.</sup> UN Women Sourcebook on Women, Peace and Security (2012)

<sup>7.</sup> Brief prepared by the OECD-DAC Network on Gender Equality as a contribution to the global study and the High-level Review of the implementation of resolution 1325 (2000).

## II. DRAFT THEORY OF CHANGE: Women's Engagement in Peace, Security & Recovery

Poor quality accountability frameworks adopted: -Imple-

mentation is impeded by social, political & financial barriers

- Early warning information will not be acted upon

by authorities; Women who are most in need -

cannot be accessed/protected

A TOC by nature encompasses the actions required by all partners to achieve a transformative change. The aim of the TOC is to help UN Women identify these actions and strategic partnerships. Consequently this draft TOC reflects interventions beyond those that UN Women will do by itself.

More peaceful and gender equal societies Key indicators: % of fragile countries with a gender equality index (based on select indicators from SDG goals 5 and 16) higher than X; # of countries that relapsed into violence in the Goal last calendar year). Guiding normative frameworks include: The primary normative framework is the Security Council resolutions on women, peace and security: S/RES/1325 (2000), S/RES/1820 (2008), S/RES/1888 (2009), S/RES/1880 (2009), S/RES/18 RES/1889 (2009), S/RES/1960 (2010), S/RES/2106 (2013), and S/RES/2122 (2013); and the international human rights If (1) an enabling environment for implementation of WPS commitments is created; if (2) women participate in decision-making processes related to the prevention, management and resolution of conflict in a quality manner; and if (3) the safety, physical and mental health and economic security of women and girls are assured, their human rights respected, and their specific needs met in the peacebuilding and recovery process; then (4) societies will be more peaceful & gender equal; because (5) evidence shows that women are drivers of peace and security, inclusive societies are more likely to be stable & post-conflict settings are opportunities to address underlying gender inequality barriers. 1. An enabling environment for implementation of 5. Peacebuilding & Recovery: The socio-economic recov-2. Conflict Prevention: Women participate in and 4. Protection: Women and girls' safety, physical and 3. Conflict Resolution: Representation and leader-WPS commitments is created (Proportion of 1325 inery & political participation of women and girls are pro-Outcomes inform decision-making processes & responses mental health and security are assured and their ship of women is increased in formal and informal moted in post-conflict situations (Proportion of peacedicators that have sufficient data availability for trend related to conflict prevention (Proportion of fragile human rights respected (Proportion of women (15peace negotiations (Proportion of active peace analysis; Number of member states that allocated building and recovery funds allocated to programmes states that include gender analysis in early warning 49) victims of SGBV (where available); Proportion of processes with women mediators and negotiators; budget specifically for the implementation of NAPS on reporting to regional/international systems; Proportargeting gender (Principal and significant objective), people that reported feeling safe walking alone in the Proportion of active peace processes with gender tion of fragile states where women's organizations 1325 in the last calendar year) Percentage of benefits from temporary employment area where they live, by sex) experts) provided specific information to early warning (monetary equivalent, estimate) through early economic recovery programmes received by women and girls systems during the last calendar year) If (1) mediators and parties to the conflict favour If (1) there are mechanisms in place, informed by If (1) favourable attitudes towards women's partici-If (1) women participate in and benefit from peace-If (1) quality accountability frameworks to meet women's participation, to increase the physical sewomen's participation in the peace negotiations, pation in conflict prevention is promoted; if women building & recovery efforts; and if post-conflict WPS commitments are in place; and if these frameif gender expertise is made available, and if the curity of women and girls: if perpetrators of SGBV are empowered to lead early warning and conflict institutions and processes are gender-responsive; works are adequately financed and monitored; capacity and influence of women to engage in are held to account; and if survivors have access then (2); peace dividends will be more inclusive; prevention mechanisms, and if these mechathen (2) implementation of WPS commitments the negotiation process is strengthened; then (2) to comprehensive redress; then (2) women and security reforms will benefit all, and economic nisms are connected to national and international will improve; because (3) the necessary conditions peace agreements are more likely to be successful; girls will be safer and their human rights respected; reporting and response systems; then (2) conflict recovery will be accelerated; because (3) including required for implementation of WPS commitments because (3) women's participation is proven to shift because (3) security, justice, and support services prevention efforts will be more successful: because women in the recovery brings broader benefits to will be in place power dynamics towards peace, inclusiveness and reduce the likelihood of conflict-related crimes (3) evidence shows that women have access to communities and nations equality. unique sources of information which can improve both conflict prevention and response. 1.1 Gender equality advocates have the resources 2.1. Favourable attitudes of parties to the conflict 3.1. Favourable attitudes of mediators and parties 4.1. Operational mechanisms and structures in 5.1. Women participate in peacebuilding & place for strengthening physical security and safety recovery planning (capacity development and enand capacity to promote evidence based advocacy & communities towards women's participation in to the conflict towards women's participation is profor women and girls (training peacekeepers and gagement of women in peacebuilding and recovery (support to women's civil society capacity building, conflict prevention is promoted (awareness raising **moted** (awareness raising and on the important role national security forces; develop strategies on implanning) ongoing research and evidence base to underpin and on the important role played by women conflict played by women in creating and sustaining peace, plementation of 1820; new technology and preven-WPS commitments) access to neutral experts)offered through UN Women 5.2. Women benefit from peacebuilding and tion responses for SGBV, build capacity of women's Santo Domingo Centre and UN Women's e-campus) 1.2. UN system empowered to meet WPS commitrecovery efforts (engagement with civil society, 2.2. Women participate and lead in effective organizations for identifying & reporting on SGBV, ments (lead coordination & implementation of UN early-warning and conflict prevention mechanisms livelihood support, support to extension services, including in relation to violent extremism; linking accountability frameworks on WPS (Seven Point Ac-3.2. Availability of gender expertise in the negotiidentification of partnerships with buyers/employ-(capacity development of women and women's women's organizations to operational mechanisms) ations increased (deployment of gender advisors tion Plan. Strategic Results Framework and Indicators to ers; transfer of productive assets, micro-finance, organizations to identify and respond to new and to mediator office: gender training of mediation Track Progress on 1325; develop evidence and knowl-4.2. Women and girls at risk and SGBV survivors Outputs vocational training, entrepreneurial developing, emerging threats, including on countering violent exedge to guide UN system implementation of WPS). experts, technical training of women, development have access to comprehensive redress, includbusiness/finance skills) tremism through women's networks. & engaging with of networks of women mediators) ing justice, appropriate health & psycho-social 1.3. Member States adopt quality accountability the media; inclusion of gender in existing early-warn-5.3. Post-conflict institutions and processes (includsupport services (provision of safe spaces and frameworks, which are locally contextualized, ing systems, develop women early-warning networks, 3.3. Increased leadership capacity and influence ing security, justice, reconciliation & governance) services, capacity development of CSOs to manage including to meet emerging threats and challenges mobilize women's organizations for early warnings of women to engage in formal and informal peace and are gender-responsive (engagement with development of SMART National Action Plans on referral networks; strengthening the justice supply including through mobile phones, conduct early **negotiations** (support to women's organizations, women's machinery, support constitution-making, side: training judges and prosecutors, legal reform resolution 1325; lobby Member States to make warning trainings for women in communities suscepcreating platforms for women's engagement, conelectoral processes; social cohesion; gender-responon SGBV; support the demand side: legal aid) concrete commitments on WPS implementation, tible to violent extremism: establish peace huts: sensus building) sive and transformative truth seeking and reparacommitments adapted to emerging threats such as 4.3. International, national and non-state actors 2.3. Women's early-warning mechanisms are contions and DDR; gender policies for police, training, countering violent extremism are responsive and held to account for any nected to national and international reporting and community policing, security action in relation to violations of the rights of women and girls in line 1.4. Accountability frameworks are adequately response systems (awareness raising of national countering violent extremism; quotas is security with international standards (justice deployments financed, monitored & adapting to changing cirand international actors on women's early warning sectors: decentralization and capacity of local govfor COIs, international and national war crimes cumstances (resource mobilization, development mechanisms; linking women with response and ernments to provide basic social services, including courts, post-conflict rule of law responses prioritize of monitoring frameworks and reporting, revisions reporting systems). child care and services to GBV survivors women's access to justice) when necessary) - There is a willingness from key international actors - Security & justice will facilitate women's participa-Political will to develop accountability frameworks - The information women have on early warning and - Women's participation in the security sector leads and be held to account conflict prevention can be linked with national and and conflicting parties to include women in conflict tion in peacebuilding & recovery; -Mechanisms to to more gender-responsive security outcomes for resolution processes; - There is a minimum level strengthen women's physical safety & ensure perpethe whole of society; - economic recovery is accelerinternational reporting & response systems; - Sufficient security in place for women to participate in of women' participation in public life: -Availability trators are held to account will prevent conflict-reated by women's targeted inclusion conflict prevention mechanisms; - Attitudes can be of financial resources: Transfer mechanisms exist lated sexual violence: - Survivor require access to changed in favour of women's participation in CP between informal and formal negotiation processes. quality services in order to rebuild their lives

- Cultural resistance to women's participation is

difficult to change in the short-term:

- Comprehensive redress does not translate into

security; - Enforcement of redress mechanisms are

challenging; - Under reporting of incidence of SGBV

Post-conflict states are unwilling to adopt temporary

special measures for governance and elections.

UN Women Strategic Plan Impact Area 5:

Planning and Budgeting (P & B)

Goal 5 of UN Women's Strategic Plan is that 'governance and national planning fully reflect accountability for gender equality commitments and priorities. The two Flagship Programming Initiatives under this impact area work together to provide the evidence base and transformative financing required to ensure accountability and implementation of the Sustainable Development Goals.

Evidence
based
policymaking
&
accountability

Localization &
Implementation of
the SDGs

Production &
availability of
quality data

Production &
availability of
quality data

The table below illustrates the contribution of the Flagship Programming Initiatives under Impact Area 5 to specific outcomes in the Strategic Plan.

STRATEGIC PLAN	FLAGSHIP PROGRAMMES			
Outcome 5.1 National Development Strategies and other national sectoral plans with specific commitments to advance gender equality and women's empowerment adopted and implemented	Outcome 1. Political consensus created to address the GEWE financing gap  Outcome 2. Fiscal laws, policies & national action plans prioritize revenue and budgetary allocations in favor of GEWE	Better production and use of data for localization of the SDGs  Outcome 1. Supportive policy environment in place to ensure gender-responsive localization and effective monitoring of the SDGs  Outcome 2: Quality, comparable and regular gender statistics are available to address national data gaps and meet policy and reporting commitments under the SDGs, CEDAW and Beijing		
Outcome 5.2 Mechanisms are in place to increase accountability of national government towards gender equality and to monitor implementation of gender equality commitments.	Outcome 4: Accountability on spending for results is increased	Outcome 3: Gender statistics are accessible to all users (including governments, civil society, academia and private sector) and can be analyzed to inform research, advocacy, policies and programmes, and promote accountability		

# **Flagship Brief**

## **Better Production and Use of Gender Statistics** for Evidence-Based Localization of the SDGs

## I. BACKGROUND

### Assessment

Data and statistics are important tools for devising policies to achieve gender : These challenges for gender statistics are linked to at least three distinct but : Improving the availability, accessibility and use of gender statistics to inform polequality and women's empowerment, assessing their impact, and ensuring accountability. At the international level there have been important advances in normative and technical standards related to gender statistics.

In 1995, the Beijing Platform for Action included a strong call "for generating " and disseminating gender-disaggregated data and information for planning and evaluation". More recently, in 2013 the UN Statistical Commission endorsed: inform research, advocacy, policies and programmes. a minimum set of gender indicators to serve as a guide for data compilation, and following a request from the General Assembly, adopted a set of violence : 1- Weak policy space and legal environment. Lack of political will and limited against women indicators.<sup>2</sup> Many of the indicators proposed for monitoring the gender-related targets in SDGs build on these advances.

However, despite these developments, there are data gaps in many areas of in an under-prioritization of the sector in terms of budget allocations. Out of gender equality. Out of the 52 outcomes indicators in the minimum set, there are only 35 for which internationally accepted standards for measurement exist and for which data are regularly collected by countries (referred to hereafter as Tier I indicators). Out of the remaining indicators, many have accepted standards but data collection by countries is irregular (Tier II); while for others : international standards do not exist and countries do not regularly collect the data (Tier III).3

In the post-2015 agenda, localization of the SDGs will be critical to ensure | lence against women, or where the technical and financial requirements are their implementation at the national level. As with MDGs, targets that are not monitored due to lack of data are likely to receive less priority.<sup>4</sup> Given these cal work is needed in order to develop internationally accepted standards (e.g. monitoring requirements the need to produce more and better data, including gender-sensitive indicators, is now greater than ever. This will increase the importance of, and demand for quality statistics by national governments.

Without significant technical and financial support to tackle these challenges, there will be important gaps in our ability to adequately monitor the implementation of the SDGs.

inter-related problems: (1) Weak policy space and legal environment due to the icies, advocacy and accountability for delivering gender equality and women's lack of political will and understanding of the importance of quality gender statistics, 2) Technical challenges within National Statistical Systems (NSS), particularly with regard to sensitive, methodologically demanding or emerging areas; and (3) A confluence of limited data dissemination capacity by the NSS and limited ability by governments and other stakeholders to use gender statistics to

- awareness of the importance of data on gender equality are critical barriers to gender statistics is not specified in statistics laws and policies, often resulting 126 countries with data, only 15% have specific legislation requiring the NSS to conduct specialized gender-based surveys, 37% have a coordinating body for gender statistics and only 13% have a regular dedicated budget for it.6
- 2- Technical challenges within the NSS limit the production of gender statistics. Due to financial and technical constraints, in most developing countries, the production of gender statistics is still quite limited and gender data gaps are pervasive. This is particularly true in areas that are sensitive such as viosignificant (e.g. time use), or in emerging areas where significant methodologiasset ownership).
- 3- Lack of access to data and limited capacity on the part of policymakers and : 3- Ensure greater availability and use of data: Data sharing protocols will be other users to analyse them to inform policies. Gaps in gender statistics are compounded by low dissemination capabilities on the part of the NSS and the bestrengthened through training and technical assistance. User-producer difailure to build the capacity of policymakers and other stakeholders to use the alogues will be institutionalized, including by creating forums for the NSS to data. There is strong evidence of a vicious cycle whereby low use of data to inform policies and advocacy leads to low demand, which in turn reduces the incentive for their production. 8

### **Action**

empowerment requires action on three fronts: (1) Putting in place a supportive policy environment to ensure gender-responsive localization and effective monitoring of the SDGs; (2) removing technical barriers constraints to ensure that quality, comparable and regular gender statistics are produced by the NSS to monitor national policies and meet policy and reporting commitments under the SDGs; and (3) ensuring that the data produced are widely available and can be used effectively by different actors with sufficient capacities to analyze these data to inform advocacy, research, policies and programmes.

- progress. 5 As recent reviews have shown, in many countries, the production of : 1- Supportive policy environment in place to ensure gender-responsive localization and effective monitoring of the SDGs. An assessment will be conducted to look at the national legal framework, policies and practices governing the production of gender statistics. Legal, institutional and financial constraints will be addressed and partnerships put in place to ensure that plans for the production of gender statistics to monitor SDGs locally are developed and financed. A participatory national plan is developed with inputs from actors to localize gender-related SDGs, using the globally agreed SDGs indicators as a basis.
  - 2- Improve the regular production of gender statistics: The technical capacity of the NSS to produce quality gender statistics will be strengthened through: (i) direct technical support to compile Tier I indicators: (ii) identification of suitable data sources and technical and financial support to collect Tier II indicators; and (iii) methodological work to develop standards to enable NSS produce Tier III indicators.
  - strengthened and dissemination tools provided. The capacity of all users will engage with policymakers to improve their understanding of statistical information and identify data gaps to increase accessibility, quality and demand for gender statistics.

**Analysis** 

<sup>3.</sup> Violence against women and time use indicators would for instance fall under Tier II while asset ownership and entrepreneurship would fall under Tier III. Through EDGE, UN-Women and the UN Statistics Division are developing and testing international standards for measuring asset ownership and entrepreneurship.

<sup>4.</sup> Fukuda-Parr and Yamin. 2013. The Power of Numbers: A critical review of MDG targets for human development and human rights. Development 56, 58-65 (March 2013)

<sup>1.</sup> United Nations. 1995. Beijing Declaration and Platform of Action, adopted at the Fourth World Conference on Women, 27 October 1995.

<sup>2.</sup> United Nations. 2013. Report of the Secretary-General on Gender Statistics, prepared for the Forty-fourth session of the Statistical Commission.

<sup>5.</sup> Report of the Secretary-General on the Review and Appraisal of the Implementation of the Beijing Declaration and Platform for Action and the Outcomes of the Twenty-Third Special Session of the General Assembly.

<sup>6.</sup> Ibid.

<sup>7.</sup> United Nations 2013. op-cited

<sup>8.</sup> UN Women 2015. Progress of the World's Women 2015-2016.

## II. DRAFT THEORY OF CHANGE: Better Production and Use of Gender Statistics for **Evidence-Based Localization of the SDGs**

A TOC by nature encompasses the actions required by **all partners** to achieve a transformative change. The aim of the TOC is to help UN Women identify these actions and strategic partnerships. Consequently this draft TOC reflects interventions beyond those that UN Women will do by itself.

Goal

Gender statistics are available, accessible and analyzed to inform policy making, advocacy and accountability for delivering gender equality and women's empowerment

Key indicators: Proportion of Sustainable Development Indicators with full [sex] disaggregation produced at the national level; Number of gender-related indicators in SDGs with at least 75% country level data coverage; Number of countries reporting on at least 75% of the indicators in the minimum set. Guiding normative frameworks CEDAW; the Beijing Platform for Action; the SDGs.

If (1) a supportive policy environment is in place to ensure gender-responsive localization and effective monitoring of the SDGs; if (2) quality, comparable, regular and accessible gender statistics are available to address national data gaps and meet policy and reporting commitments under the SDGs and Beijing; and if (3) all users analyse data to inform policies, advocacy, and to promote accountability; then (4) gender statistics can contribute to evidence-based national policy-making and accountability for delivering gender equality and women's empowerment; because (5) conditions will be met for a participatory and rigorous assessment of the data gaps, and steps that need to be taken to address these gaps will have been conducted, debated and agreed.

Outcomes

1. Supportive policy environment in place to ensure gender-responsive localization and effective monitoring of the SDGs (Resources devoted to improving gender statistics (as a % of total resources devoted to statistics); Number of countries that have formal institutional arrangements for the coordination of the compilation of gender statistics (at international, national and regional level)

If (1) the data gaps are identified, and if enabling policy frameworks, backed by required resources are in place; then (2) national statistic systems will be able to produce and publish quality gender statistics to monitor localized SDGs; because (3) political, structural and financial barriers will have been addressed

2. Quality, comparable and regular gender statistics are available to address national data gaps and meet policy and reporting commitments under the SDGs, CEDAW and Beijing (Number of countries producing Tier 1, tier 2 and tier 3 indicators of the minimum set and gender-related SDGs indicators; Number of countries producing core set of Violence Against Women indicators)

If (1) national statistic systems have the required technical capacity; then (2) quality, comparable, regular and accessible gender statistics will be produced; because (3) national statistic systems will meet international standards

3. Gender statistics are accessible to all users (including governments, civil society, academia and private sector) and can be analysed to inform research, advocacy, policies and programmes, and promote accountability (Number of countries supported to regularly publish statistical information on the status of women compared to men; Number of countries and regions publishing gender assessments of SDGs using agreed SDGs indicators)

If (1) data are widely disseminated and accessible to the public, if regular dialogue between producers and users is institutionalized, and if users have the capacity to analyze data; (2) then civil society, government agencies and all other actors will be able to transform data into information for decision-making; because (3) they will have the required statistical tools, resources and capabilities

Outputs

1.1 An assessment of gender statistics and identification of gaps is conducted at the national level (The assessment will look at the national legal framework, policies and practices governing the regular production of gender statistics; the extent to which gender is mainstreamed into the national statistical plan; financial and human resources, including technical capacity, available at national level; national protocols for publishing statistical data; inventory of available data, existing data sources and gaps in gender data production; assessment of cost-recovery policies; and costing of engendering data collection).

1.2. Enabling legal frameworks, institutional arrangements, and adequate resources for gender statistics are in place (National statistics laws and policies are amended and practices are transformed to include strong mandates and conditions for the NSS to regularly produce quality gender statistics; adequate institutional arrangements are put in place to ensure that gender statistics are systematically included in National Strategies for the Development of Statistics (NSDS); adequate resources, including specific budgets are dedicated to the production of gender statistics; and partnerships with relevant institutions are established).

1.3. National plans to localize gender-related SDGs targets and indicators are developed (A participatory national plan is developed with inputs from government. CSO and all other actors to localize gender-related SDGs indicators. Using the globally agreed SDGs Indicators as a basis, a list of indicators tailored to the national context is identified and agreed by all stakeholders).

- The agreed SDGs provide space for national statistical systems to improve data

production, including data on gender related-targets. There is political will to

localize SDGs and translate these globally agreed goals to the national context.

2.1. Capacity of the national statistical system (NSS) strengthened to compile Tier I indicators in the minimum set and SDGs (Based on output 1.1 and 1.2, direct technical support is provided to enable the compilation of Tier I indicators (i.e. indicators for which definitions are internationally

2.2. Capacity of the NSS strengthened to collect Tier II indicators in the minimum set and SDGs (Based on outputs 1.1 and 1.2, suitable data sources are identified and direct technical and financial support are provided to enable the collection of Tier II indicators (i.e. indicators for which definitions are internationally accepted but data are not regularly collected by most countries).

2.3. Capacity of the NSS strengthened to produce Tier III indicators in the minimum set and SDGs (Methodological work to develop concepts and internationally accepted standards for Tier III indicators is conducted and testing is done in pilot countries to provide tools to NSS to start producing comparable Tier III indicators—i.e. indicators for which definitions are no internationally accepted and data are not regularly collected by countries).

3.1. Increased dissemination of data at national, regional and global levels

(Development of data sharing protocols, including working with relevant actors to ensure data are anonymized; regular publication of data by NSS on the status of women compared to men at the national and local level; use of web-based platforms, data dissemination and visualization tools for a broad audience at national, regional and global levels).

3.2. User-producer dialogues institutionalized to increase accessibility, quality and demand for gender statistics (create forums for national statistical offices to engage with policy and decision-makers to improve their understanding of statistical information & identify data gaps, including the strengthening global, regional and national knowledge sharing networks).

3.3. Capacity of civil society, government and other actors to use and analyse and use gender statistics to inform decision-making is strengthened (training is regularly provided to data users to use and analyze statistical information to translate data into evidence to inform policies; and ensure accountability).

Lack of political will and weakness of institutional and governance structures inhibit efforts leading to the inclusion of a gender approach to statistics. Lack of resources/funds allocated to the production of gender statistics.

- SDGs provide an impetus for national statistical systems to improve their production of gender statistics, including in areas where data are not traditionally produced.

- Weak support to NSOs, lack of funding and technical skills resulting from frequent rotation of personnel or insufficient human resources for national statistical offices reduce their ability to produce and publish timely and periodic data.

- Freedom of information is respected and governments are increasingly open to sharing data with all stakeholders; - Gender statistics will be used in various sectors to inform policy making and budgeting (notably gender-responsive budgeting - See TOC on Transformative Financing of GE).

- Restricted political freedoms, absence of a culture of political dialogue and public engagement do not enable civil society organizations and other actors to participate fully in the monitoring process, reducing accountability. General lack of access to modern technologies reduce the scope for information sharing, including data availability and use.

Risks & Barriers

## **Flagship Brief Transformative Financing for Gender Equality & Women's Empowerment**

## I. BACKGROUND

### Assessment

Financing new and existing commitments on gender equality is central to implementing and achieving all of the proposed sustainable development goals. A number of successive, international agreements and policies, including the Beijing Declaration and Platform of Action, the 23rd Special Session of the Gen- tween fiscal policies and gender equality objectives coupled with weak capacity ments. To mobilize the requisite financing, this flagship programme seeks to: eral Assembly (Beijing+5), the 52nd, 58th, 59th CSW agreed conclusions and the to integrate gender into planning and budgeting processes; (3) insufficient pri-International Conferences on Financing for Development outline the normative oritization of gender equality in other financing streams such as ODA, private framework for financing gender equality. Most recently, in the Addis Ababa Action Agenda, governments reaffirmed that achieving gender equality, empowering all women and girls, and the full realization of their human rights are essential to achieving sustained, inclusive and equitable economic growth and : sustainable development and reiterated the need for gender mainstreaming, including targeted actions and investments in the formulation and implementation of all financial, economic, environmental and social policies.

The recent twenty-year review of the Beijing Platform for Action found that underinvestment in gender equality and women's empowerment has contributed to slow and uneven progress in all 12 critical areas of concern. Inadequate financing hinders the implementation of gender responsive laws and policies evidenced by governments placing low priority on GE in budgets. Even in countries that are considered to spend significant resources on gender equality, data shows that these allocations range from a mere 0.5% to 21.9% of the national budget. Financing gaps for implementing national actions plans on gender equality are often as high as 90%.

Similarly, 2012-2013 OECD data highlights that only 5% of sector allocable ODA screened against the DAC Gender Equality Policy Marker targeted gender equality as a principal objective with major funding shortfalls in the economic and : productive, family planning and peace and security sectors.

Research examining MDG-related spending in 55 countries in the areas of agriculture, education, environment, gender, health, social protection, and water and sanitation found that, spending has fallen as a percent of GDP or total expenditure in almost all areas and remained stagnant in one (water and sanitation) across the majority of countries.<sup>2</sup> To illustrate the impact of such gaps: an extra year of primary school can increase women's eventual wages by 10-20%3; GDP<sup>4</sup>, and gender inequality in work costs low income countries USD \$9 trillion per year. 5

## **Analysis**

Mobilizing financing for the full implementation of national gender equality: The ambitious and comprehensive vision of the SDGs to achieve gender equality commitments is challenged by (1) lack of awareness and political commitment and empower all women and girls requires a transformative financing frameto allocate resources from all sources and at all levels: (2) lack of coherence beand innovative financing and (4) lack of accountability for the full implementation of gender equality commitments.

- 1. Insufficient awareness and political commitment to mobilize resources, from all sources and at all levels, for the full implementation of gender equality commitments. This is often due to the lack of high quality data and statistics on the gender financing gap and lack of engagement by civil society in planning and budgeting processes.
- 2. Lack of coherence between fiscal policies and gender equality objectives. Fiscal policies – both revenue and expenditure – fail to build equity in tax systems and to increase expenditure in infrastructure and essential public services critical for women and girls wellbeing. Further National Action Plans on Gender Equality are often not aligned with broader national development or sectoral frameworks and processes. As a result, gender equality principles and priorities are not systematically reflected in national/sectoral policies, practices and budgets. There are also significant capacity gaps on gender analysis which result in gender blind planning and budgeting.
- 3. Insufficient prioritization of investment in gender equality from donors, private actors and innovative financing mechanisms. These investments are often not aligned with national development strategies and gender equality actions
- 4. Lack of accountability for the full implementation of gender equality commitments. This is often due to inadequate oversight mechanisms and monitoring capacity to track budget allocations and expenditures. Civil society organization, especially women's organizations, often lack adequate capacity for productivity losses resulting from VAW are estimated at between 1.5 to 2% of \$\cdot\$ budgetary oversight and are often lack access and resources to influence budgetary decision making and exercise oversight.

## **Action**

work that significantly increases the scale and scope of resources and invest-

- 1. Create political awareness and consensus to increase allocations to and implement national gender equality commitments. Through gender gap analyses of budgets and ODA, public spending impact assessments and costing, the flagship will improve the evidence and make data available on the financing gaps. The leadership capacity of GE advocates will be strengthened through their participation in gender analyses of budgets, parliamentary committees, and advocacy efforts.
- 2. Promote gender responsive fiscal laws, policies and national action plans that prioritize both revenue and budgetary allocations for gender equality. Technical support and capacity development for putting in place progressive tax policies that eliminate discriminatory provisions and result in more equitable distribution of socio-economic benefits will be provided. In addition, legislative frameworks and institutional capacities of finance ministries on gender responsive public financial management systems will be strengthened. The capacities of line ministries and local government on GRB will also be enhanced to enable strategic budget allocations for gender equality.
- 3. Mobilize additional financing for gender equality. The flagship will increase international financing for GE by improving the awareness and capacity of donors to analyze gender gaps and strengthening donor monitoring and tracking systems. It will also increase resources for GE from the private sector and innovative financing mechanisms through efforts to align them with national gender equality commitments.
- 4. Hold all actors accountable for implementing GEWE commitments. Establishing multi-stakeholder partnerships will ensure comprehensive responses to address financing gaps. The capacities of multi-stakeholder partners to analyze the impact of their investments will be strengthened. Gender advocates and women's organizations will be enabled to demand greater accountability for and transparency on government expenditures through enhanced capacity on gender analysis of budgets and advocacy.

http://www.unwomen.org/~/media/headquarters/attachments/sections/library/publications/2015/sg%20report synthesis-en web.pdf

<sup>1.</sup> See E/CN.6/2015/3 at:

<sup>2.</sup> Unpublished paper prepared by Debbie Budlender, Matthew Martin and Richard Watts, UN Women (2013).

<sup>3.</sup> United Nations, Inter-agency Task Force on Rural Women, Fact Sheet: Rural Women and the Millennium Development Goals.

<sup>4.</sup> http://www.worldbank.org/en/news/press-release/2013/11/25/violence-againstwomen-exacts-high-economic-price-world-bank-says

<sup>5.</sup> http://www.actionaid.org.uk/sites/default/files/publications/womens\_rights\_online\_version\_2.1.pdf

# II. DRAFT THEORY OF CHANGE: Transformative Financing for Gender Equality & Women's Empowerment

A TOC by nature encompasses the actions required by **all partners** to achieve a transformative change. The aim of the TOC is to help UN Women identify these actions and strategic partnerships. Consequently this draft TOC reflects interventions beyond those that UN Women will do by itself.

Goal

New and existing internationally agreed commitments on gender equality and women's empowerment adequately and effectively financed

Key indicators: % of national budget allocated to gender equality; % of the sector allocable ODA allocated to gender equality. Guiding normative frameworks: International human rights framework and other instruments including, CEDAW, Beijing PfA, CSW Agreed Conclusions 52,58,59, UPR, SDGs and ICSECR

Goal TOC State If (1) political consensus to address the financing gap in GEWE is created; if (2) budgetary allocations prioritize GEWE; if (3) additional public financing for GEWE is mobilized; and if (4) there is increased accountability on spending for results; then (5) new and existing internationally agreed commitments on GEWE will be realized; because (6) additional financial resources for GEWE will be available and effectively used.

Outcomes

 Political consensus created to address the GEWE financing gap (# of countries that allocate specific resources in national financing strategies to implement SDG 5 and other gender related targets) 2. Fiscal laws, policies & national action plans prioritize revenue and budgetary allocations in favor of GEWE (# of countries with budgetary laws that have specific provisions for gender equality)

**3.** Additional financing for GEWE is mobilized (# of countries with measures in place to mobilize additional resources from private, international and innovative financing sources)

4. Accountability on spending for results is increased. (% of countries with systems to track and make public GEWE allocations)

If (1) data is available on the gender financing gap and awareness is created on the benefits of greater investment in GEWE; and if there is greater advocacy and lobbying capacity for increased GEWE investments; then (2) there will be political consensus to allocate more resources towards GEWE; because (3) evidence based political agreements can be reached.

If (1) government institutions integrate gender into fiscal laws and policies; and if they have the capacity to promote gender responsive revenue raising and spending; then (2) GEWE budgetary allocations will increase; because (3) government budgets will fully mainstream GEWE by reprioritizing existing and new resources.

If (1) international sources of finance increase allocations in GEWE; and if private and innovative sources of finance are mobilized in favor of GEWE; then (2) additional resources will be available to reduce the gender financing gap; because (3) all financing actors are investing in GEWE.

If (1) multi-stakeholder oversight mechanisms are strengthened; and if women's organizations are empowered to demand transparency and accountability on public spending; then (2) spending directed towards GEWE is more likely to achieve its intended results; because (3) strengthened accountability and monitoring frameworks improve performance.

Outputs

1.1: Increased availability of data and evidence on gender financing gaps, including from assessments of existing gender related expenditure. (Evidence on financing flows to GEWE, gender gap analysis of national/sectoral/local budgets and ODA, impact assessment of public spending on GEWE, costing)

**1.2:** Awareness created on the benefits of GEWE to achieve sustainable development (public dissemination of data, analysis, advocacy, awareness raising).

1.3: Enhanced leadership by gender advocates for increasing financing for gender equality

(Gender gap analysis of plans and budgets, participation of women parliamentarians in budget committees, advocacy by women's organizations) **2.1: Gender responsive tax policies in place.** (Capacity building of MoFs and revenue authorities on formulating progressive tax policies; analyzing fiscal policy impacts; policy dialogue on tax cooperation; advocacy campaigns)

2.2: Legislative frameworks and institutional capacity for gender responsive public finance management and planning strengthened (Issuance of GRB statements and budget call circulars by MoFs, capacity building on GRB & PFM reforms, GRB centers of excellence & curricula)

2.3: Enhanced capacity of line ministries and local governments to make strategic budget allocations through mainstreaming GEWE in planning and budgeting (Gender gap analysis; costing; capacity building of line ministry and local gov on GRB; increase in social spending, phase out socially financial incentives, e.g. fossil fuel subsidies, reduce military spending)

3.1: Increased international financing allocated to address gender inequality.

(Capacity and knowledge of donors to analyze gender gaps, strengthen monitoring systems with gender-sensitive indicators, increased gender expertise, beneficiary consultations)

3.2: Increased resources for gender equality mobilized through the private sector.

(Foreign direct investment, blended finance i.e. Public-private partnerships)

3.3: Increased resources for gender equality mobilized through innovative financing mechanisms and instruments. (Climate funds, innovative health funds, taxes on extractive industries and corporations)

4.1: Institutional set up created for multi-stakeholder coordination and oversight of spending

(Multi-stakeholder groups on financing for and accountability to GEWE priorities, action oriented work plans of the group to address gaps on funding for GE)

**4.2:** Increased capacity of multi-stakeholder partners in analyzing the impact of their investments on gender (technical training on impact assessments, sex-disaggregated beneficiary assessments, gender sensitive programmes and Performance Assessment Framework)

**4.3:** Enhanced capacity of gender advocates and women's groups to demand accountability and transparency on spending (Advocacy campaigns, gender analysis of budgets and aid, preparation and dissemination of data on donor practices and financing for gender equality, gender audits of local budgets, gender score card (FundHer)

Key Assumptions Political consensus can be reached through data and evidence

 Gender advocates, parliamentarians and NWM have the capacity to influence national financing decisions • Political will to increase allocations for GEWE and adopt legislative reforms

• Line ministries adopt MoF directives on budgets provided

Government is willing to enhance the role and mandate of NWM

• Political will to undertake tax policy reforms.

• Development partners take concrete actions to integrate gender in aid and innovative financing mechanisms.

Oversight committees and coordination mechanisms are formed.

• Development partners actively participate in coordination mechanisms on key decisions on gender spending

Risks & Barriers • Changes in global macroeconomic environment in terms of financial and economic crises.

Changes in government regime and policies.

• Lack of women's access to policy making forums.

• Changes in global macroeconomic environment and impact on national budget priorities

Political instability

• Shifts in global macroeconomic factors such as financial and economic crises could have negative impacts on aid flows and other sources of financing.

• Tax reforms are not implemented

• Irregularities in the oversight and monitoring of implementation.

• Information on actual spending is not made available

• No regular impact assessments are conducted to analyze results

