

# Management Response to Annual Report on Internal Audit & Investigations Activities

**For the year ended 31 December 2016**



United Nations Entity for Gender Equality  
and the Empowerment of Women

# Internal Audit Activities

## Highlights of Management Actions

- An overall recommendation implementation rate of 98 percent; Well above set target;
- Fifty-seven per cent (or 4 audits) Satisfactory ratings received, including one audit with no issues nor recommendations out of seven (7) full scope audits;
- Continuous commitment to promote the adequacy and effectiveness of the governance, risk management and controls that are “generally established and functioning well, but needed improvement” as per the Annual Audit and Investigation Report;
- Assurance coverage in addition to the 15.4% Internal Audits:
  - ✓ UNBoA External Audit: 6 field offices and Headquarters
  - ✓ Global Auditors project audits: 69 projects with 227 partners
  - ✓ OAI Investigation activities: global service
  - ✓ Independent Evaluation: 2 Corporate and 34 decentralized evaluations

# Internal Audit Activities

## **Audit recommendations implementation status:**

- *Recurring*: 14 (or 93%) out of 15 recommendations implemented;
- *Non-recurring high priority*: 10 (or 71%) out of 14 recommendations implemented;
- *Direct Implementation Modality projects*: 14 (or 100%) out 14 recommendations implemented; Six (6) out of 17 DIM projects audited had no issues or recommendations;
- *Long outstanding*: 6 (or 75%) out of 8 recommendations implemented.

# Investigations Activities

- Increased investigation case load can be attributed to:
  - ✓ Significant efforts to increase awareness about reporting wrongdoing; and
  - ✓ Improved visibility of the internal reporting mechanism of wrongdoing and awareness of staff responsibilities through training and corporate communications
- In the two investigation cases during 2016: one resulted in no loss to UN Women; in the other, UN Women took administrative, financial recovery and accountability action
- Senior management will continue to work closely with the Office of Audit and Investigations to ensure timely reports and relevant management action in all cases

# Future Internal Audit and Investigations Services at UN-Women

- As proposed in the 2018-2019 Integrated Budget
  - ✓ Establishment of In-house Internal Audit function
  - ✓ Outsource Investigation function to the UN Office of Internal Oversight Services (OIOS)

Thank You!  
Q & A