

A large graphic on the left side of the page. It features a circular wheel with 17 colored segments, each representing a Sustainable Development Goal. In the center of the wheel is a blue female symbol (a circle with a vertical line and a cross) overlaid on a white female symbol. The entire graphic is set against a white background with a blue brushstroke effect around the edges.

Management Response to the Report of the Board of Auditors

for the year ended 31 December 2018

Lene Jespersen, Director, a.i.
Strategy, Planning, Resources and Effectiveness Division

Overview of 2018 UNBoA audit of UN-Women



8th

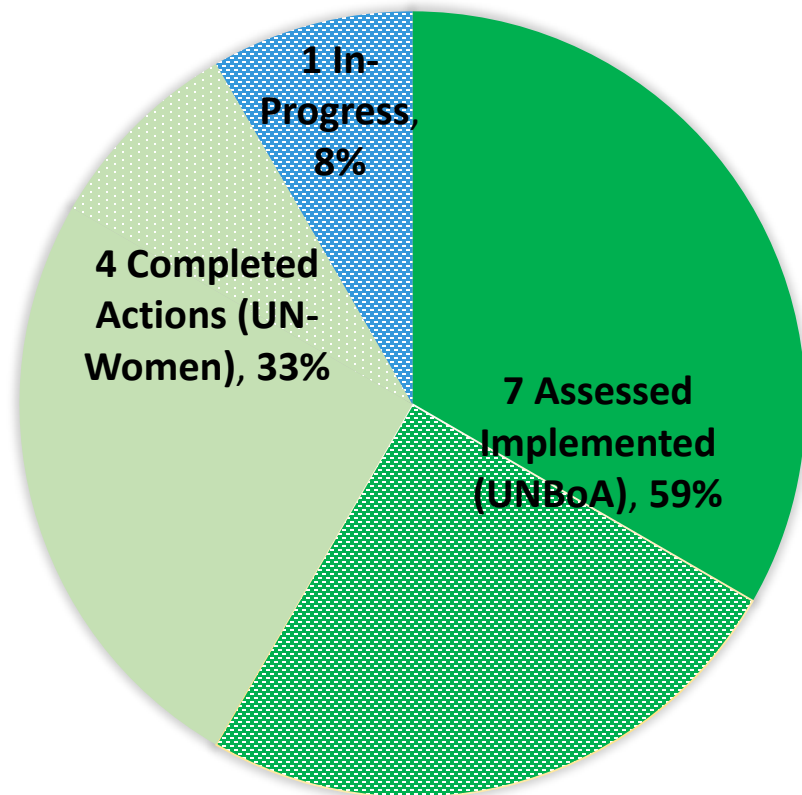
Unqualified Audit Opinion

12

Recommendations Issued

2018 Audit Recommendation Implementation Status as of Jan 2020

Issued in 2018



Status of **12** new recommendations issued:



Actions completed for **92% (11 of 12)** of 2018 audit recommendations



UNBoA **assessed implemented 7** of 11 audit recommendations, 4 pending assessment

Note: dotted areas represent recommendations with High priority

Five Key Findings and Recommendations Issued in 2018



Key Finding #1:

Non-Compliance
on Procurement
Plan

Recommendation:

Develop dashboard
to monitor
procurement
planning
compliance



Action:

Corporate Procurement Plan
monitoring dashboard launched

UNBoA Assessed Implemented

Five Key Findings and Recommendations Issued in 2018



Key Finding #2:

Inconsistent
Procurement
Planning for
Projects

Recommendation:

Improve project
procurement
planning



Action:

Procurement Plan template to
be included in Project Appraisal
Committee checklist

UN-Women Action In-progress

Five Key Findings and Recommendations Issued in 2018



Key Finding #3:

Inconsistent project expenditure sampling documentation

Recommendation:

Standardize project expenditure sampling and documentation



Action:

Standardized project expenditure liquidation review process included in the revised Cash Advances and Transfer Policy

UN-Women Actions Completed

Five Key Findings and Recommendations Issued in 2018



Key Finding #4:

Untimely project expenditure recording

Recommendation:

Review and record project expenditures on time



Action:

Recorded partner project expenditures on time

UNBoA Assessed Implemented

Five Key Findings and Recommendations Issued in 2018



Key Finding #5:
Enhancements
required in
Implementing
Partners' capacity

Recommendation:

Provide training on
implementing
partners' project
expenditure
liquidation



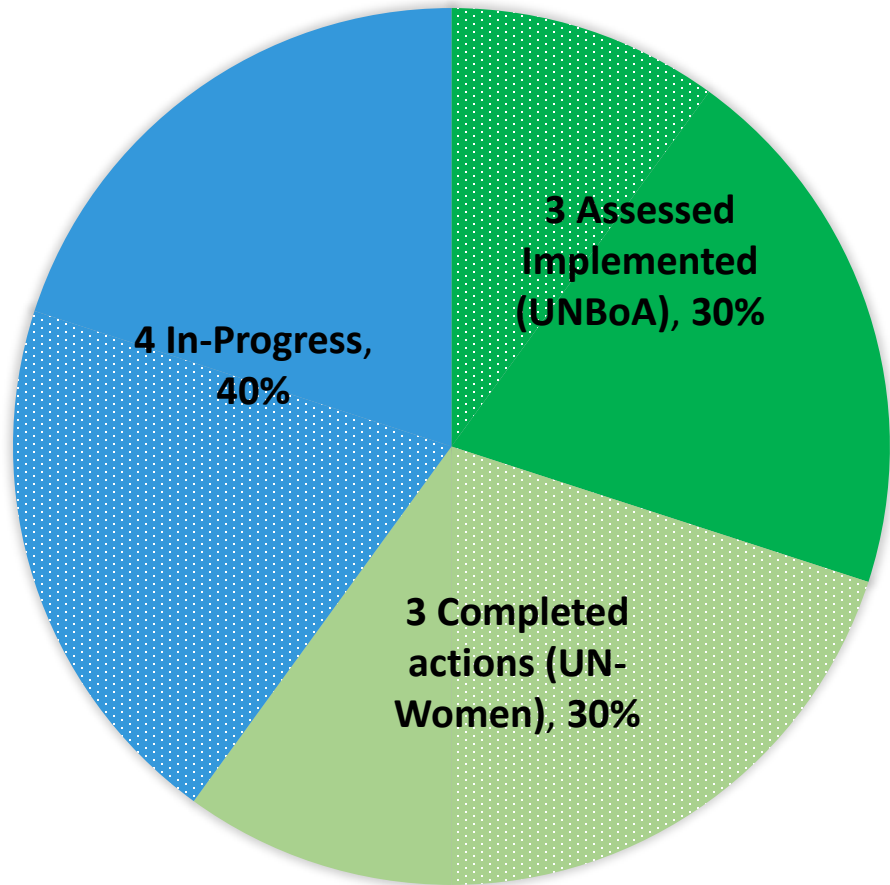
Action:

Trained implementing partners
on expenditure liquidation



UNBoA Assessed Implemented

Prior Years' Audit Recommendation Implementation Status as of Jan 2020

Issued in 2016 and 2017



Actions taken in 2019 on remaining **10** recommendations:

-  Actions completed for **60% (6 of 10)** of 2016, 2017 audit recommendations
-  UNBoA **assessed implemented 3** of 6 audit recommendations, 3 pending assessment

Note: dotted areas represent recommendations with High priority

Prior Years' Audit Recommendation Implementation Status as of Jan 2020

Issued in 2016 and 2017

UNBoA Assessed as Implemented (30%)

- 1) Fraud risk assessments conducted
- 2) Small Grants Policy launched
- 3) Global consultant monitoring system upgraded

UN-Women Actions Completed (30%)

- 4) 5) Procedure for implementing partners selection updated (2 recs)
- 6) Monitoring of findings from project partner audits strengthened

UN-Women Actions In-Progress (40%)

- 7) Finalize guidelines for programme and field presences (country typology)
- 8) Enhance capacity of Audit Coordination Unit
- 9) Improve linkage between Results Management System and Atlas
- 10) Establish policies for project management and accounting for prior years' ineligible expenditure

Executive Board Decision 2019/3

Status of 5 main audit recommendations issued in 2017



Action plan/guideline for handling staff with disabilities established

Implemented



Fraud risk assessments conducted

Implemented



Procedure for implementing partner selection updated

Action completed



Monitoring of findings from project partner audits strengthened

Action completed



Establish policies to guide project management and accounting for prior years' ineligible expenditures

In-Progress

Executive Board Decision 2019/3

Status of 4 remaining audit recommendations issued in 2016

Staff advances
liquidation are
timely resolved

Implemented



Procedure for
implementing
partner selection
updated

Action
completed



Develop policy and
guidelines to define
the establishment
of programme
presence

In Progress



Enhance capacity of
the Audit
Coordination Unit

In-Progress



**Thank you for your
Attention!**



Q & A