

Independent Evaluation and Audit Services (IEAS)

Internal Audit Service (IAS)



ANNUAL REPORT ON INTERNAL AUDIT AND INVESTIGATIONS

2018

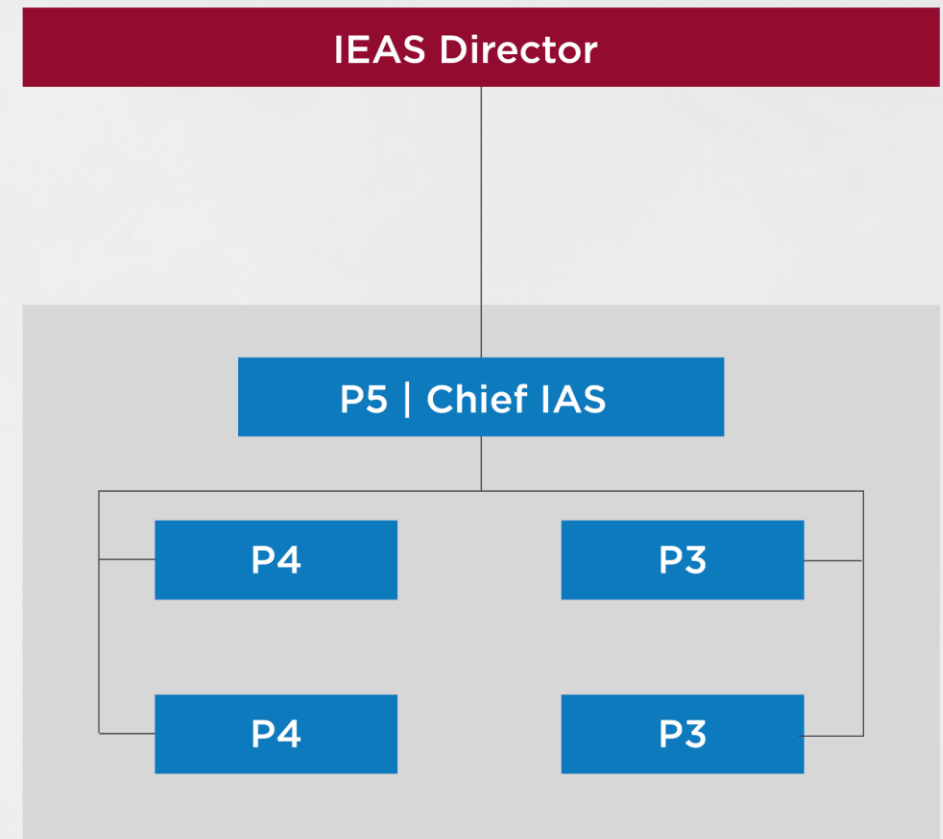
Lisa Sutton

Director, *Independent Evaluation and Audit Services (IEAS)*

OVERVIEW OF INTERNAL AUDIT SERVICE (IAS)

Throughout 2018, IAS continued to be operationally independent of UN-Women management and exercised freedom in determining the scope of its audits

- + **Newly established** function in 2018
- + Fully funded with **5 approved posts**
- + **US\$ 510,000** for non-staff expenditures, in 2018
- + Committed to undergoing an **External Quality Assessment by the end of 2020**



IAS ANNUAL OVERALL OPINION

Based on the internal audit work performed in 2018

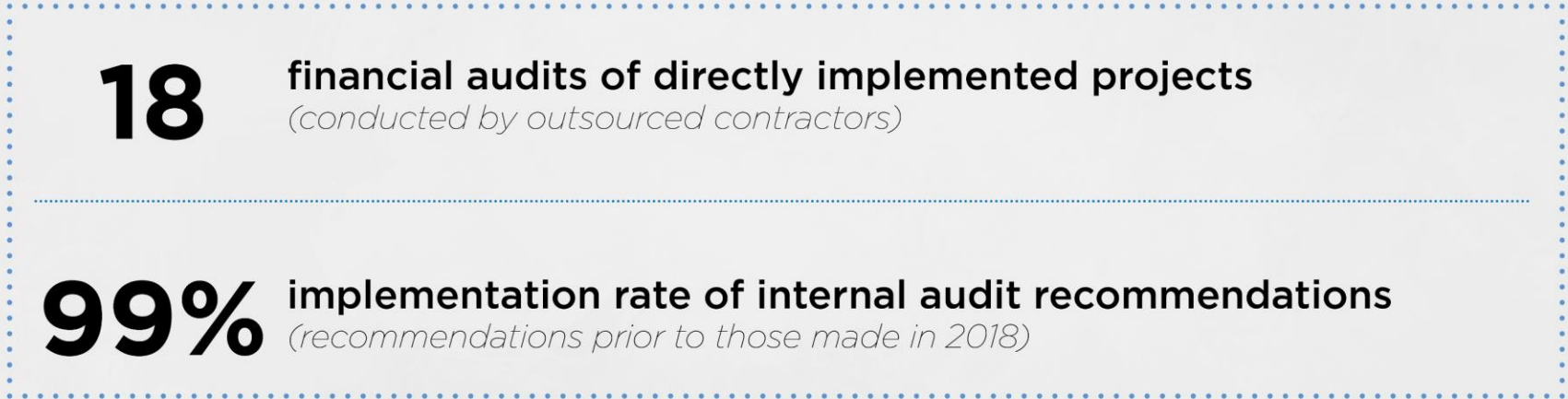
“No significant weaknesses were identified in the Entity’s governance, risk management and control processes that would seriously compromise the achievement of UN-Women’s strategic and operational objectives.”

Sources of evidence

considered to formulate IAS opinion



- + Transitional audit plan and its implementation;
- + Individual audit engagement ratings;
- + Status of implementation of internal audit recommendations;
- + Work conducted by the United Nations Board of Auditors



SUMMARY OF WORK & CRITERIA FOR OPINION

SIGNIFICANT INTERNAL AUDIT RESULTS



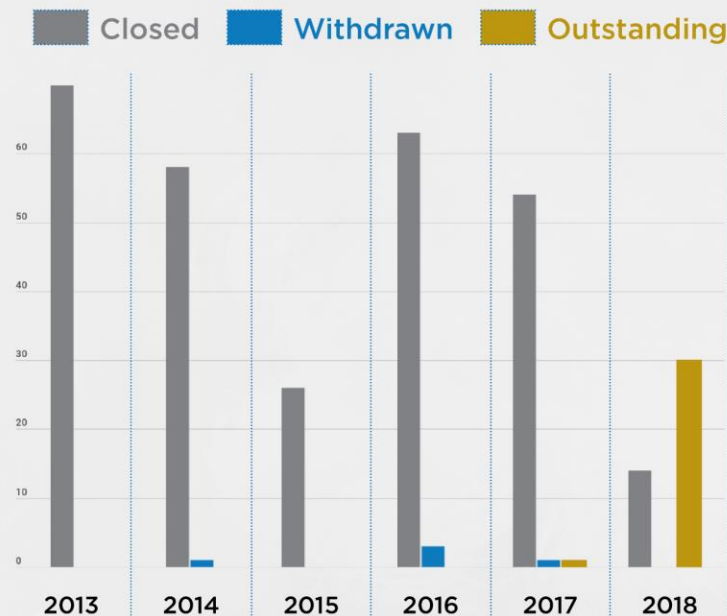
Recurring issues in some areas, such as:

- + Implementing partners
 - + Procurement
- + Local HR strategies
- + Risk management processes

FOLLOW-UP ON AUDIT RECOMMENDATIONS

99%

Overall closure rate for recommendations



ADVISORY SERVICES



- + Comments on policies and procedures
- + Assurance mapping
- + Participation in working groups

INVESTIGATIONS

2018 CASELOAD

10

Carry over from 2017

36

2018 Intake | OIOS

3

2018 Intake | UNDP

STATUS OF CASES

36

Cases closed

13

Carry over to 2019

UNDP OAI

12 Investigated

4 Substantiated

OIOS

21 Investigation not warranted

3 Investigated

1 Substantiated

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THANK YOU

Find out more about the Internal Audit Service at UN Women at:

<http://www.unwomen.org/en/about-us/accountability>