

## Annex A

### PROGRESS AGAINST ENTITY-SPECIFIC COMMITMENTS RELATED TO UN-WOMEN

#### MEMBER STATE COMMITMENTS

Relevant Commitment	Relevant Funding Compact Indicators	System-wide Baselines & Targets from Funding Compact	UN-Women Progress 2019 <sup>1</sup>
<b>Aligning funding to entity requirements</b>			
<b>1. Increase core resources for the UNDS</b>	Core resources as share of voluntary funding for development related activities	Baseline (2017): 19.4% Target (2023): 30%	Core resources from member states to UN-Women as a share of voluntary funding: <b>Baseline 2018:</b> 37.2% <sup>2</sup> (\$146.1 million/\$392.3 million) <b>Progress 2019:</b> 27% <sup>3</sup> (\$138.87 million/\$510.58 million) <b>Milestone 2020:</b> n/a
	Core share of funding for development-related activities (including assessed contributions);	(2017): 27.0%	Core resources from member states including assessed contributions to UN-Women as a share of voluntary funding <b>Baseline 2018:</b> 39% <sup>4</sup> (\$154.13 million/\$392.3 million) <b>Progress 2019:</b> 29% <sup>5</sup> (\$149.03 million/\$510.58 million) <b>Milestone 2020:</b> n/a
<b>2. Double share of non-core contributions provided through development related pooled &amp; thematic funds</b>	% of non-core resources for development related activities channeled through inter-agency pooled funds	Baseline (2017): 5% Target (2023): 10%	Inter-agency pooled funding as a share of development related non-core to UN-Women: <b>Baseline 2018:</b> 13.74% (\$32.3 million/\$235.3 million) <b>Progress 2019:</b> 14.2% (\$50.8 million/\$357.4 million) <b>Milestone 2020:</b> n/a
	% of non-core resources for development related activities channeled through single agency thematic funds	Baseline (2017): 3% Target (2023): 6%	Single agency thematic funding as a share of development related non-core to UN-Women: <b>Baseline 2018:</b> 7.09% (\$16.7 million/\$235.3 million) <b>Progress 2019:</b> 11.52% (\$41.2 million/\$357.4 million) <b>Milestone 2020:</b> n/a
<b>Providing stability</b>			
<b>3. Broaden the sources of funding support to the</b>	Number of UNSDG entities reporting an annual increase in the number of contributors of core	Baseline (2017): 66% Target (2023): 100%	Number of member states contributing core resources to UN-Women: <b>Baseline 2018:</b> 91 <sup>6</sup> <b>Progress 2019:</b> 100

<sup>1</sup> Milestones may not be applicable to all indicators (e.g. 'yes/no' indicators will not have a milestone).

<sup>2</sup> For comparison purposes, the 2018 figure has been re-calculated to reflect core contributions from member states only.

<sup>3</sup> The 2019 figure is based on core contributions received from member states only.

<sup>4</sup> For comparison purposes, 2018 figure has been re-calculated to reflect contributions from member states only, including assessed contributions.

<sup>5</sup> The 2019 figure is based on core contributions received from member states only, including assessed contributions.

<sup>6</sup> For comparison purposes, the 2018 figure has been re-calculated to reflect core contributions from member states only.

Relevant Commitment	Relevant Funding Compact Indicators	System-wide Baselines & Targets from Funding Compact	UN-Women Progress 2019 <sup>1</sup>
UNDS	resources		<b>Milestone 2020:</b> n/a
	Number of Member State contributors to development related inter-agency pooled funds and single agency thematic funds	Baseline (2017): 59 and 27 Target (2023): 100 and 50	Number of member states contributions to the UN trust fund to End Violence against Women and the Fund for Gender Equality: <b>Baseline 2018:</b> 13 <b>Progress 2019:</b> 13 <b>Milestone 2020:</b> n/a
<b>4. Provide predictable funding to the specific requirements of UNSDG entities as articulated in their SPs and to the UNDAF funding needs at country level</b>	Funding gaps in UNSDG strategic plan financing frameworks	Baseline (2019): <i>tbd</i> Target (2021): <i>tbd</i>	Based on the Integrated Budget 2018-2019, the UN-Women core and non-core resource funding gaps are: <b>Baseline 2018:</b> 25% core gap (\$149 million received of \$200 million planned); 2% non-core gap (\$235.3 million received of \$240 million planned) <b>Baseline 2019:</b> 28.5% core gap (\$143 million received of \$200 million planned); 48.9% non-core surplus (\$357.5 million received of \$240 million planned)
	Fraction of UNDS entities indicating that at least 50% of their contributions are part of multi-year commitments	Baseline (2017): 48% Target (2023): 100%	Fraction of UN-Women contributions that was multi-year: <sup>7</sup> <b>Baseline 2018:</b> <sup>8</sup> 54% of volume of core was multi-year (\$79.9 million/\$149 million); 79% of volume of non-core was multi-year (\$242.1 million/\$306.4 million) <b>Progress 2019:</b> 54% of volume of core received was multiyear (\$76.8 million/\$143 million); 68% of volume of non-core received was multiyear (\$243 million/\$357 million) <b>Milestone 2020:</b> n/a
<b>Facilitating coherence and efficiency</b>			
<b>7. Fully comply with cost recovery rates as approved by respective governing bodies</b>	Average # of cost recovery support fee waivers granted per UNDS entity per year	Baseline (2017): <i>tbc</i> Target (2019 onwards): 0	Number of cost recovery waivers granted by UN-Women with approximate impact: <b>Baseline 2018:</b> UN-Women granted 10 cost recovery waivers for a total of \$0.42 million <b>Progress 2019:</b> UN-Women granted 1 exceptional case-by-case cost recovery waiver with a financial impact of \$1,359. In addition, 1 exceptional case-by-case waiver was issued prior to 2018 for

<sup>7</sup> Multi-year agreements are those with a lifetime of two years or more. These do not include amendments.

<sup>8</sup> For comparison purposes, the 2018 figure has been adjusted to reflect the volume of funds received as part of multi-year commitments.

Relevant Commitment	Relevant Funding Compact Indicators	System-wide Baselines & Targets from Funding Compact	UN-Women Progress 2019 <sup>1</sup>
			activities on-going in 2019 with a financial impact of \$3,080. <sup>9</sup> <b>Milestone 2020:</b> n/a

#### UN DEVELOPMENT SYSTEM COMMITMENTS

Relevant Commitment	Relevant Funding Compact Indicators	System-wide Baselines & Targets from Funding Compact	UN-Women Baselines (2018 or 2019)
<b>Accelerating results on the ground</b>			
<b>1. Enhance cooperation for results at country level</b>	Fraction of UNSDG entities reporting at least 15% of development related expenditures on joint activities	(2017) 9 entities on a total of 29 or 31% reporting at least 15% of development related expenditures on joint activities	<b>Baseline 2019:</b> To date, an agreed definition among the UNSDG of what constitutes ‘joint activities’ does not exist. This is acknowledged in the <a href="#">2020 Secretary-General’s Report on the Implementation of the QCPR (Addendum 1)</a> , page 17, stating “Two entities pointed to methodological challenges: one, there is not yet an agreed definition of joint activities, and two, there is lack of an adequate tracking system, which is currently being established”.
	% of RCs that state that at least 75% of CPDs are aligned to the UNDAF in their country	Baseline (2017): <i>tbd</i> Target (2023): 100%	<b>Baseline 2019:</b> As the new guidance for the UN Sustainable Development Cooperation Framework (replacing the UNDAF) is rolled out, UN-Women will aim to ensure that new country-level Strategic Notes are aligned to the new UNSDCF. <b>Progress 2019:</b> 100% of all Strategic Notes continue to be reflective of the respective UNDAF/UNSDCF.
<b>2. Increase collaboration on joint and independent system-wide evaluation products to improve UN support on the ground</b>	% of UNSDG Evaluation Offices engaging in joint or Independent System Wide Evaluations (ISWE)	Baseline (2018): 29% (joint eval), 20% (ISWE) Target (2021): 75% (joint eval), 50% (ISWE)	<b>Progress 2019:</b> 5 of 39 evaluations (13%) were undertaken with other UN entities.
<b>Improving transparency and accountability</b>			

<sup>9</sup> In addition to these exceptional case-by-case cost recovery waivers there are existing framework agreements with multilateral funding where the agreed cost recovery rates are below the 8 percent rate. These framework agreements are those with legacy arrangements, such as with the European Commission, Joint Programmes, MPTF-administered Multi Donor Trust Funds and funds received from other UN agencies as applicable. The total 2019 impact of these framework agreements is \$1.081 million.

<b>6. Strengthen the clarity of entity specific SPs and IRRFs and their annual reporting on results against expenditures</b>	% of UNSDG entities that in their respective governing bodies held structured dialogues in the past year on how to finance the development results agreed in the new SP cycle	Baseline (2017): 62% Target (2021): 100%	<b>Yes</b> - UN-Women holds a structured dialogue every year with its Executive Board, with a focus on funding its Strategic Plan.
<b>7. Strengthen entity &amp; system-wide transparency &amp; reporting, linking resources to SDG results</b>	% of UNDS entities individually submitting financial data to CEB	Baseline (2017): 69% Target (2021): 100%	<b>Yes</b> – UN-Women submits its financial data to CEB annually. UN-Women fully complies with all requirements to report against the UN Datacube Standards.
	% of UNDS entities publishing data as per the highest international transparency standards	Baseline (2017): 36% Target (2021): 100%	<b>Yes</b> – UN-Women reports to the IATI (date of first report was 2012).
	% of UNDS entities with ongoing activities at country level that report expenditures disaggregated by country to the CEB baseline	Baseline (2017): 46% Target (2021): 100%	<b>Yes</b> – UN-Women reports expenditures to the CEB disaggregated by geographic location, per UN Datacube Standard III.
	% of UNDS entities that report on expenditures disaggregated by SDG	Baseline (2017): 20% Target (2021): 100%	<b>Yes</b> – UN-Women reports expenditures to the CEB disaggregated by Sustainable Development Goal, per UN Datacube Standard V.
<b>9. Increase accessibility of corporate evaluations and internal audit reports within the disclosure provisions and policies set by governing bodies at the time of report issuance</b>	% of UNDS entities authorized within disclosure provisions and policies who have made their corporate evaluations available on the UNEG website	Baseline (2018): 21% Target (2021): 100%	<b>Yes</b> – All UN-Women corporate evaluations are posted to the UNEG website.
	% of internal audit reports issued in line with the disclosure provisions and policies set by the relevant governing bodies, which are available on a dedicated searchable UN-RIAS platform/ website, pending availability of resources;	Baseline (2018): 0 Target: TBD	<b>Baseline 2019:</b> All of the 2019 UN-Women internal audit reports were published on the UN-Women website. As of December 2019 the United Nations Representatives of Internal Audit Services platform and website is not yet available.
	% of inter-agency pooled funds posting evaluation reports on the UNEG website	Baseline (2018): 0% Target (2019): 100%	<b>Baseline 2018:</b> UN-Women initiated an inter-agency pooled fund in 2018 to conduct a joint evaluation of the implementation of the common chapter of the strategic plans of the United Nations Development Programme, the United Nations Children's Fund, the United Nations Population Fund and UN-Women. The joint evaluation will be finalized in 2020.
			<b>Progress 2019:</b> The joint evaluation of the implementation of the common chapter was conducted in 2019 and presented

			during the joint briefing to the Executive Boards in May 2020 <sup>10</sup> . The final report was not posted the UNEG website; however, the <a href="#">joint report on the evaluability assessment of the common chapter to the strategic plans of UNDP, UNFPA, UNICEF and UN-Women</a> was finalized and is available on the UN-Women website.
<b>10. Increase visibility of results from contributors of voluntary core resources, pooled &amp; thematic funds and for program country contributions</b>	Specific mention of voluntary core, pooled and thematic fund contributors, and program country contributions in UNCT annual results reporting and entity specific country and global reporting	Baseline (2018): <i>n/a</i> Target (2020): Yes	<b>Baseline 2018:</b> UN-Women public sector partners were recognized in the annual report, funding compendium and on the UN-Women website. <b>Progress 2019:</b> Yes. Partners contributing to UN-Women voluntary core resources, pooled and trust funds are recognized in the annual report, funding compendium, regular resources report and on the UN-Women website. UN-Women does not currently have thematic funds; in the process of developing these.
	Specific mention of individual contributors in all results reporting by pooled fund and thematic fund administrators and UNSDG recipients	Baseline (2018): <i>n/a</i> Target (2020): Yes	<b>Baseline 2018:</b> In the annual report on the United Nations trust fund to End Violence against Women and the Fund for Gender Equality. <b>Progress 2019:</b> Yes. In the annual reports of the trust fund to End Violence Against Women, and the Fund for Gender Equality. Also, in Financial Reports where UN-Women receives pooled funding from donors. UN-Women does not currently have thematic funds.
<b>Increasing efficiencies</b>			
<b>11. Implement the SG's goals on operational consolidation for efficiency gains</b>	% of UNSDG entities that report to their governing bodies on efficiency gains	Baseline (2017): 41% Target (2021): 100%	<b>Yes</b> – UN-Women reports regularly to its Executive Board on efficiency gains as part of its Annual Reporting.
	% of UNSDG entities that have signed the High-Level Framework on Mutual Recognition	Baseline (2018): 28% Target (2021): 100%	<b>Yes</b> – UN-Women signed the Framework in December 2018.
<b>12. Fully implement &amp; report on approved cost-recovery policies and rates</b>	% of UNSDG entities that report annually on the implementation of their approved cost recovery policies and rates to their respective governing body	Baseline (2017): 51% Target (2021): 100%	<b>Yes</b> – UN-Women reports annually to its Executive Board on implementation of its cost recovery policy and rate as part of the Annual Report of the Executive Director.

<sup>10</sup> The assessment concluded that the common chapter is not evaluable; but in the implementation of the reform, evaluation offices will continue to conduct joint evaluations to assess the effectiveness and efficiency of the agencies' support to Member States for the achievement of SDGs.

## Annex B

### EXPLANATION OF METHODOLOGY/APPROACH PER INDICATOR

#### MEMBER STATE COMMITMENTS

<b>Relevant Commitment</b>	<b>Relevant Funding Compact Indicators</b>	<b>Methodology / Approach<sup>11</sup></b>
<b>Aligning funding to entity requirements</b>		
<b>1. Increase core resources for the UNDS</b>	Core resources as share of voluntary funding for development related activities	Use DESA methodology for 19.4% baseline. Baseline is calculated by dividing core DEV voluntary contributions by total DEV voluntary contributions [i.e. Column C/(column C+ column D) in Table A-3a of statistician annex to QCPR report]. Core funding income should be expressed as a share of development-related contribution - As this is a Member State commitment, the figures should be limited to contributions from member states.
	Core share of funding for development-related activities (including assessed contributions);	Use DESA methodology for 27.0 % baseline. Baseline is calculated by dividing: core & assessed DEV contributions by total DEV voluntary contributions [i.e. (Column B+ Column C)/column E) in Table A-3a of statistician annex to QCPR report]. Core funding income should be expressed as a share of development-related contribution. As this is a Member State commitment, the figures should be limited to contributions from member states.
<b>2. Double share of non-core contributions provided through development related pooled &amp; thematic funds</b>	% of non-core resources for development related activities channeled through inter-agency pooled funds	Use of DESA methodology for 5% baseline: Using the inter-agency pooled fund database to obtain the total contributions made to development, transition and climate inter-agency pooled funds (\$706 million in 2017), divide this by total non-core contributions to development-related activities (column D in Table A-3a of statistical annex).
	% of non-core resources for development related activities channeled through single agency thematic funds	Use of DESA methodology for 3% baseline. Total contributions to development-related thematic funds, divided by total non-core funding to development-related activities (column D in Table A-3a of statistical annex).
<b>3. Broaden the sources of funding support to the UNDS</b>	Number of UNSDG entities reporting an annual increase in the number of contributors of core resources	Number of Member States contributing to core and increase/decrease from previous year & from 2017 baseline (in percentage and number).
	Number of Member State contributors to development related inter-agency pooled funds and single agency thematic funds	Number of Member States contributing to entity administered pooled funds (breakdown per fund) and to agency managed thematic funds (breakdown per fund) and annual increase/decrease (in percentage and number). A single agency thematic fund is a single-entity funding mechanism designed to support high-level outcomes within the strategic plan; it is established by one UN entity, receiving contribution from several donors. The entity assumes full accountability for the funds received and is the sole direct recipient of the funding. A single agency can be Global (multi country) and Outcome or Thematic specific.
<b>4. Provide predictable funding to the specific requirements of UNSDG entities as</b>	Funding gaps in UNSDG strategic plan financing frameworks	Percentage of funding received versus overall budget (per year and cumulative) - where possible and relevant, indicate funding gap per strategic plan outcome [and other relevant criteria, as appropriate]. Two proxies that assess funding gap against the Strategic Plan: a) Percentage of resource planned versus actual expenditure by Strategic Plan outcomes: Total programme expenditure for 2018-2019

<sup>11</sup> Methodology/approach is provided by UN Development Coordination Office for overall reporting on the Funding Compact and QCPR. In some cases, the methodology/approach has been adjusted or updated.

<b>Relevant Commitment</b>	<b>Relevant Funding Compact Indicators</b>	<b>Methodology / Approach<sup>11</sup></b>
<b>articulated in their SPs and to the UNDAF funding needs at country level</b>	Fraction of UNDS entities indicating that at least 50% of their contributions are part of multi-year commitments	against the 2018-2021 resource plan or X% of the mid-point target; b) Percentage of estimated contributions versus actual contribution received: In 2019, X received XX million, X% less/more than estimated [leaving a funding gap, of XX million / generating a surplus of XX million]. In 2018, X received \$X X% higher/lower than the estimate.  Y/N (with exact figure reported), based on the following definition: "share of total contributions received in a given year that is part of a financial commitment that covers multiple years." (DESA survey)
<b>7. Fully comply with cost recovery rates as approved by respective governing bodies</b>	Average # of cost recovery support fee waivers granted per UNDS entity per year	Number of cost recovery waivers granted during the year (with \$ value of waivers combined). Note additional element of reporting (\$ value) compared to indicator

### UN DEVELOPMENT SYSTEM COMMITMENTS

<b>Relevant Commitment</b>	<b>Relevant Funding Compact Indicators</b>	<b>Methodology / Approach<sup>12</sup></b>
<b>1. Enhance cooperation for results at country level</b>	Fraction of UNSDG entities reporting at least 15% of development related expenditures on joint activities  % of RCs that state that at least 75% of CPDs are aligned to the UNDAF in their country	DCO to use UNINFO tool to track and report - joint activities are implemented by two or more UN agencies, including Joint Programmes as well as communication, advocacy, analysis etc. DCO to track the number of joint activities compared with the total number of activities (per CF and for the total CF currently in UNINFO); The total budget share of joint activities versus the total budget of the CF split between total planned and total expenditures. The question is asked in DESA survey of UN entity headquarters. For the purpose of the Compact reporting however, it is more appropriate and less transaction cost heavy to track the commitment at the RCO/UNCT level (rather than agency HQ level) through UNINFO.  Survey of RCs by DCO. Survey of entity specific CPDs.
<b>2. Increase collaboration on joint and independent system-wide evaluation products to improve UN support on the ground</b>	% of UNSDG Evaluation Offices engaging in joint or Independent System Wide Evaluations (ISWE)	Y/N with number of joint evaluations and/or ISWE underway and specific example(s); Use the following definition of ISWE: "a systematic and impartial assessment of the relevance, coherence, efficiency, effectiveness, results, and sustainability of the combined contributions of United Nations entities, to achieve the goals and targets set out in the 2030 Agenda for Sustainable Development". A joint evaluation implies the participation of at least 2 UN entities; an ISWE implies the participation of a majority of UN entities with a mandate related to the evaluation topic."
<b>6. Strengthen the clarity of entity</b>	% of UNSDG entities that in their respective governing bodies held	Y/N with entity specific narrative on content of the dialogue, e.g: issues raised / future agenda / alignment with Funding Compact

<sup>12</sup> Methodology/approach is provided by UN Development Coordination Office for overall reporting on the Funding Compact and QCPR.

<b>Relevant Commitment</b>	<b>Relevant Funding Compact Indicators</b>	<b>Methodology / Approach<sup>12</sup></b>
<b>specific SPs and IRRFs and their annual reporting on results against expenditures</b>	structured dialogues in the past year on how to finance the development results agreed in the new SP cycle	
<b>7. Strengthen entity &amp; system-wide transparency &amp; reporting, linking resources to SDG results</b>	% of UNDS entities individually submitting financial data to CEB	Y/N (with date of first report)
	% of UNDS entities publishing data as per the highest international transparency standards	Y/N on reporting to IATI (with date of first report). DESA survey to mention IATI specifically.
	% of UNDS entities with ongoing activities at country level that report expenditures disaggregated by country to the CEB baseline	Y/N (with date of first report)
	% of UNDS entities that report on expenditures disaggregated by SDG	Y/N (with date of first report)
<b>9. Increase accessibility of corporate evaluations and internal audit reports within the disclosure provisions and policies set by governing bodies at the time of report issuance</b>	% of UNDS entities authorized within disclosure provisions and policies who have made their corporate evaluations available on the UNEG website	Y/N with specific example(s) - add narrative related to disclosure provisions and policies
	% of internal audit reports issued in line with the disclosure provisions and policies set by the relevant governing bodies, which are available on a dedicated searchable UN-RIAS platform/ website, pending availability of resources;	% of entity specific internal audits available on UN RIAS platform - add narrative related to disclosure provisions and policies
	% of inter-agency pooled funds posting evaluation reports on the UNEG website	Number of inter-agency pooled funds evaluation reports available on UNEG website divided by total inter agency pooled funds evaluations.
<b>10. Increase visibility of results from contributors of voluntary core resources, pooled &amp; thematic funds and for program country contributions</b>	Specific mention of voluntary core, pooled and thematic fund contributors, and program country contributions in UNCT annual results reporting and entity specific country and global reporting	Y/N for pooled funds if entity is a fund administrative agent or AA and entity specific thematic funds; with specific example(s) / evidence and steps taken or to be taken to meet commitment
	Specific mention of individual contributors in all results reporting by pooled fund and thematic fund administrators and UNSDG recipients	Y/N or N/A (if entity is not a fund administrative agent or AA) and for entity specific thematic funds; with specific example(s) / evidence and steps taken or to be taken to meet commitment
<b>11. Implement the SG's goals on operational consolidation for efficiency gains</b>	Consolidation of common premises (%)	% of entity specific offices with entity specific narrative
	% of UNCTs that have an approved BOS in place, to enable common back offices where	% of entity specific offices with entity specific narrative



Relevant Commitment	Relevant Funding Compact Indicators	Methodology / Approach <sup>12</sup>
	appropriate	
	% of UNSDG entities that report to their governing bodies on efficiency gains	Y/N with entity specific narrative
	% of UNSDG entities that have signed the High-Level Framework on Mutual Recognition	Y/N with year of signature and entity specific narrative
<b>12. Fully implement &amp; report on approved cost-recovery policies and rates</b>	% of UNSDG entities that report annually on the implementation of their approved cost recovery policies and rates to their respective governing body	Y/N (with date of first report)